# Improving Regional Biogenic VOC Emission Estimates Using an Airborne PTRMS Eddy Flux Measurement System

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3	The statements and conclusions in this Report are those of the contractor and not
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6	construed as actual or implied endorsement of such products.
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3	
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1		GLOSSARY OF SYMBOLS AND ACRONYMS
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4	AEC	Airborne Eddy Covariance
5	AvDEC	Airborne virtual Disjunct Eddy Covariance
6	ARB	California Air Resources Board
7	BEF	Basal Emission Factor
8	BEIGIS	The Biogenic Emission Inventory model within a Geographic Information
9		System
10	BER	Basal Emission Rate
11	BTEX	Benzene, Toluene, Ethylbenzene, Xylenes
12	BVOC	Biogenic Volatile Organic Compound
13	CABERNET	California Airborne BVOC Emission Research in Natural Ecosystem
14		Transects
15	CLM	Community Land Model
16	CLM4.0	Community Land Model v. 4.0
17	CWT	Continuous Wavelet Transformation
18	dtiso	Isoprene EFs for deciduous trees (component of BEIGIS EFs)
19	eiso	Isoprene EFs for evergreen trees (component of BEIGIS EFs)
20	EC	Eddy Covariance
21	EF	Emission Factor
22	FT	Free Troposphere
23	FFT	Fast Fourier Transformation
24	FRAP	Fire Resource and Assessment Program
25	GC/MS	gas chromatography/mass spectrometry
26	IRT Nad	Infra-Red Temperature airborne scanner
27	IVOC	intermediate-volatility organic compound
28	MEGAN	The Model of Emissions of Gases and Aerosols from Nature
29	MW	molecular weight
30	NOAA	National Oceanic and Atmospheric Administration
31	O <sub>3</sub>	ozone
32	OH	hydroxide radical
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1	PAR	Photosynthetically Active Radiation
2	PBL	Planetary Boundary Layer
3	PFT	Plant Functional Type
4	PTR-MS	Proton Transfer Reaction Mass Spectrometry
5	REA	Relaxed Eddy Accumulation
6	RH	relative humidity
7	SOA	secondary organic aerosol
8	SoCAB	South Coast Air Basin
9	SJVAB	San Joaquin Valley Air Basin
10	TAS	true air speed
11	USEPA	United States Environmental Protection Agency
12	vDEC	virtual Disjunct Eddy Covariance
13	VOC	Volatile Organic Compound
14	WRF	Weather Research and Forecasting model
15	WRF-Chem	WRF coupled with Chemistry
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1	ABSTRACT
2	Vegetation in California comprises a large source of isoprene, terpenes, and other biogenic
3	volatile organic compounds (BVOC). The emitted BVOC react in the presence of $NO_x$ and
4	sunlight leading to production of ozone and particulate matter. Current models of BVOC
5	emissions for California have major uncertainties, and there are very few emission
6	measurements available to validate existing model inventories. In order to assess and
7	improve the model inventories of BVOC emissions for use in air quality State Implementation
8	Planning (SIP), spatially resolved data on BVOC emissions are required.
9	
10	We contracted to collect a data set using an airborne PTRMS Eddy Flux measurement system
11	over regions of California expected to have substantial isoprene emissions and to use those
12	data to test regional BVOC emission model estimates. In the first year of the project we
13	conducted a field measurement planning exercise to optimize the amount of information that
14	could be gained from airborne flux observations. Oaks are the main source of isoprene in
15	California and they grow dominantly in certain elevations (400-800 m) along the foothills
16	encompassing the Central Valley and along the Coastal Range Mountains. These specific
17	locations and relatively constant elevations make oaks an ideal subject for flux observations
18	from aircraft. We examined oak distribution data used in the BEIGIS and the MEGAN BVOC
19	emission models. We also reviewed recent advances in satellite derived landcover
20	information, including the National Land Cover Dataset (NLCD), and plant species
21	distribution data developed by UC-Berkeley, including oak tree distribution data, for potential
22	use in BVOC emission modeling. Using mainly the USGS National Gap Analysis Program
23	(GAP) landcover database, we planned our survey flights (to measure surface fluxes over long
24	transects at constant altitude) and racetrack flights (vertical profiles to characterize flux
25	divergence) over more or less homogeneous oak woodlands consisting of the Blue Oak
26	Woodlands (BOW), Valley Oak Woodlands (VOW) and Coastal Oak Woodlands (COW).
27	
28	In the second year of the project 40 hours of airborne measurements were conducted using an
29	airborne VOC eddy flux system on board the CIRPAS Twin Otter aircraft to quantify regional
30	BVOC emissions. The California Airborne BVOC Emission Research in Natural Ecosystem
31	Transects (CABERNET) airborne campaign took place in June 2011. Measurements focused
32	on key BVOC species that have a major role in regional air quality and are already included in
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the BEIGIS model. Isoprene and total terpene emissions were measured using an airborne Proton Transfer Reaction Mass Spectrometer - Eddy Covariance (PTRMS-EC) flux system. The paths of the research survey flights and "racetrack" gradient flights covered a fairly complete sampling of the dominant Oak woodlands in California. Weather forecasting was used to ensure all the flights were conducted on cloudless days, generally between 10 am and pm when isoprene emissions are expected to be at their daily maximum.

8 Vertically resolved isoprene flux measurements from the racetracks suggest that first order 9 chemistry can describe the decrease of isoprene fluxes vertically throughout the PBL under 10 typical atmospheric conditions. Concentration gradients throughout the PBL decrease as a 11 result of chemical reactions leading to locally smaller concentration changes due to chemistry. 12 We demonstrate that flux divergence measurements can be used to provide estimates of OH in 13 the planetary boundary layer. Theses flux measurements can therefore provide a new way to 14 determine average OH densities allowing investigating potential OH recycling mechanisms 15 which have been proposed to occur during isoprene oxidation.

16

17 We successfully made airborne eddy covariance flux measurements and used them to map out 18 source distributions of isoprene emissions for the dominant oak emitting ecosystems in 19 California. We observed high concentrations (up to 8 ppbv) and high surface emissions of isoprene ranging from several to more than ten mg  $m^{-2} h^{-1}$  from the oak woodlands in the 20 21 foothills of the Sierra Nevada and Costal Ranges. Consistent with other studies we show that 22 in the Central Valley isoprene emissions are typically undetectably small except for the areas 23 of Eucalyptus trees planted near the highways. The temperature ranges in California cause 24 changes in the isoprene emissions from relatively low to extremely high due to their strong 25 sensitivity to temperature. Calculating fluxes at high spatial resolution with 2 km averaging 26 seemed to be a good optimum for comparing basal emission factors (BEFs) from 27 measurements with models.

28

29 The BEFs were used to assess isoprene emission-factor landcover databases for BVOC

30 emission models. BEFs from the landcover used by MEGAN 2.1 agreed within 10% (r<sup>2</sup>=0.8)

31 with measured BEFs with few discrepancies (either overestimation or underestimation).

32

XV

1	Independently, the area emissions modeled for the same flight times and averaged for the
2	same flux footprints were compared with measured area emissions and showed good
3	agreement. The modeled and measured emissions were analyzed over 48 distinct USEPA
4	ecoregions (level IV) and showed excellent agreement with half the ecoregions showing
5	agreement to 50%, 21% of ecoregions where the model overestimated and 29% where the
6	model underestimated emissions. We show that the landcover has the most critical influence
7	on model-measurement agreement and to a lesser extent the meteorology and LAI. Despite a
8	few local discrepancies, the model currently used by CARB was generally within 20%
9	agreement with the measurements, which is well within the stated model uncertainty (50%).
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1	EXECUTIVE SUMMARY				
2	Vegetation in California comprises a large source of isoprene, terpenes, and oxygenated				
3	biogenic volatile organic compounds, which react with anthropogenic pollutants and				
4	contribute to photochemical ozone and particle formation. Of the BVOCs, isoprene is				
5	assumed to be the most important species affecting regional air quality, and the vast majority				
6	of isoprene emissions are expected to occur from oak trees. Previous VOC measurements				
7	from oak woodlands were made almost exclusively at branch and leaf levels (e.g. Winer et al.,				
8	1992) and measurements at an ecosystem scale were completely unavailable. Direct BVOC				
9	emission measurements from the major oak tree covered regions in California were				
10	specifically desired by the California Air Resources Board to evaluate and improve their				
11	BVOC emission model used for air quality State Implementation Plan (SIP) development.				
12					
13	This contract was developed to meet that need by making the first direct flux				
14	measurements of BVOC from aircraft of approximately 10,000 km of flight path covering the				
15	major isoprene emission regions expected in California. The project team incorporated				
16	expertise from UC Berkeley, the National Center for Atmospheric Research, the Naval				
17	Postgraduate School, and CARB. The field campaign was preceded by thorough preparation				
18	and flight track planning activities to maximize the utility of collected data. Forty hours of				
19	flights were used to measure fluxes from the CIRPAS Twin Otter aircraft over the planned				
20	flight tracks. The field campaign was followed by extensive modeling work resulting in the				
21	novel results presented in publications and summarized in this report.				
22					
23	The early modeling efforts during the flight planning exercise suggested the Sierra				
24	Foothills and Coastal Range areas surrounding the California Central Valley to be the				
25	dominant expected isoprene emission sources in California, but there were significant spatial				
26	differences between CARB's (old) model (BEIGIS) and the original MEGAN model (2.0)				
27	and we demonstrated that these difference were due to the use of different landcover driving				
28	datasets (see Chapter 6.3). Another interest for this project was incorporating the latest				
29	modeling achievements and using improved modeling infrastructure as well new validated				
30	input variables to ensure that BVOC emissions in California are most accurately predicted. To				
31	do this we worked with NCAR and ARB staff to model emissions using the newest MEGAN				
32	model (2.1). Based on the comparison of the 3 BVOC models emission estimates for				
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1 isoprene, the regions of highest predicted emissions, and the areas where the models

2 disagreed, 8 research flights were planned. Flights included horizontal transects along with

3 some "racetrack profiles" to measure vertical flux divergence.

4

5 Vertically resolved flux measurements during the "racetrack profiles" (see Chapter 3) 6 suggest that first order chemistry can describe the decrease of isoprene fluxes throughout the 7 PBL under typical atmospheric conditions. Experimentally determined Dahmköhler numbers 8 were in the range of 0.3 to 0.9. Typical entrainment velocities observed during 3 research 9 missions focused on vertical profiles were 5.5, 9.6 and 1.4 cm/s respectively. This first 10 component of the results was a truly successful proof of concept and provides fundamental 11 new knowledge that will be useful in future airborne eddy covariance measurements of 12 biogenic volatile organic compounds. These results were practically utilized in the second 13 step to characterize heterogeneous surface emissions along the horizontal transect flights 14 using wavelet decomposition and helped develop a more robust landcover representation of 15 reactive trace gas fluxes. Another novel finding from this research was that the flux 16 divergence measurements can be used to provide estimates of OH in the planetary boundary 17 layer. Theses flux measurements can therefore provide a new way to determine average OH 18 densities.

19

20 After refining the experimental flux calculation methodology we moved on to the science 21 behind the flux measurements by examining the straight survey transects (Chapter 5). We 22 were able to calculate the regional emissions over the majority of oak woodlands in California 23 at a fine 2-km spatial resolution. Specifically we have covered most extensively the areas 24 identified as code 6 in the level III US EPA ecoregion classification. Indeed the oak 25 woodlands in these ecoregions were confirmed to be dominant isoprene emission regions with effective measured emission factors of more than 4 mg  $m^{-2} h^{-1}$  and occasionally up to around 26 10 mg m<sup>-2</sup> h<sup>-1</sup>, and thus exceeding the emission factors reported from some rainforest sites 27 28 (e.g. Karl et al., 2007; Langford et al., 2010). We observed that large changes in temperatures 29 (and radiation) as well as the preceding meteorological history caused a broad range of observed emissions from less than 1 mg m<sup>-2</sup> h<sup>-1</sup> on a cool day to about 15 mg m<sup>-2</sup> h<sup>-1</sup> on a hot 30 31 day over a densely populated oak area. We confirmed model predictions based on branch 32 enclosure measurements and knowledge of oak tree distributions identifying the location of xviii

1 the isoprene emission hotspots. Interestingly, isoprene emissions were very low or close to 2 zero for most areas in the Central Valley, agricultural areas, and Mojave Desert areas, but we 3 did not fly directly above urban areas where vegetation might be a non-negligible source. In 4 this report and all the initial publications we focused almost exclusively on isoprene, because 5 we covered its sources most extensively and because it has been an excellent model 6 compound to test the flux technique. However, isoprene is not the whole story as we also measured other VOCs such as monoterpenes. While we have not achieved sufficient coverage 7 8 of the monoterpene dominated areas (mainly coniferous areas further up the Sierras) to 9 provide statistically representative flux datasets, we showed that concentrations observed over 10 mixed conifer forested areas (e.g. Blodgett Forest) exceeding 100 pptv indicative of 11 significant fluxes. Another interesting VOC whose fluxes we could reliably measure was 12 methanol which we discuss briefly in Chapter 5 (Additional Analyses). We hope to use these 13 data in further research. The preliminary results of methanol fluxes suggest that dairies in the 14 Central Valley are major methanol emission source along with areas of actively growing 15 coniferous vegetation which we identified. These data require more work to address scientific 16 impact of methanol, its advection from the central valley and potential deposition to 17 vegetative areas. Finally the aromatics (BTEX) measured on one of the flights will be useful 18 for a separate study linking greenhouse gas emissions and their tracers (Chapter 6). The heat 19 fluxes that were derived using the wavelet technique not only served as a confirmation of 20 frequency co-spectra similarity to those of BVOC fluxes but also turned out to be useful for 21 the determination of the highly resolved convective velocity scale  $(w^*)$  which is an important 22 component of accurate footprint calculation which is subsequently required for the 23 appropriate integration and comparison of the modeled versus measured emissions over the 24 corresponding areas.

25

In the final part of our project we used the measured isoprene emissions and isoprene emission factors to compare to those from the models (Chapter 5). A critical challenge resulted from the lack of existing airborne footprint approaches for comparing measured to modeled fluxes, and thus we put significant effort into creating improved and accurate footprint representations. Measured emission factors were obtained by applying the reverse form of the Guenther et al. (2006) algorithm (see chapter 6.4) to the measured surface fluxes using the same temperature and PAR datasets as those used by the models. Subsequently

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1 these emission factors were compared by ecoregion to those used by biogenic models 2 including the CARB model to drive the simulated emissions. Independently the instantaneous 3 emissions were simulated for the flight times and integrated over the same airborne emission 4 footprint areas to compare modeled fluxes with measurements more directly. Despite the use 5 of temperature and radiation at a much coarser time resolution than the airborne 6 measurements, the model-measurement agreement that was obtained was extremely good, 7 except for a few cases where landcover is likely inaccurate and could be improved. Mean 8 measured and modeled emissions agreed within 50% for half of the ecoregions, while for 9 21% of the ecoregions the model overestimated mean measured emissions and for 29% the 10 model underestimated emissions. On average the agreement of models with measurements 11 was within 19% over the whole dataset. Excellent quantitative agreement was obtained 12 between measured and modeled emissions in the homogenous terrains of oak savannahs in the 13 Sierra Nevada Foothills where the majority of previous measurements at the branch scale 14 were performed. Less excellent quantitative agreement was obtained in the northern Coastal 15 Ranges (with model landcover tending toward overestimation) and in the Central and Central-16 Southern Coastal Ranges (with landcover tending toward underestimation).

17

18 Sensitivity tests were conducted using a 20% change in temperature, 20% change in PAR 19 and 50% change in LAI, and were shown to alter the total mean of the simulated fluxes by up 20 to 43%, 21%, and 40%, respectively. Although the change in these input variables would not 21 improve the overall agreement significantly, it could significantly impact specific regional 22 agreements. The quality of the model output is directly tied to the input datasets and based on 23 our analysis we conclude that the most important or uncertain input database is the landcover. 24 The aircraft flux measurements were done in late spring, immediately after a period of 25 relatively cold and cloudy weather, when the oak tree emission potentials were likely 26 increasing due to seasonally increasing temperatures.

27

This project has been a successful application of the direct AEC flux technique to regional measurements of fluxes over the majority of Californian oak woodlands and other relevant regions.

One important conclusion for CARB is that the fundamental isoprene emission modeling framework used by them is effective. The observation to model comparison does demonstrate where some improvements may be possible in the model inputs driving the landcover emission potentials. We expect that this successful and pioneering demonstration that airborne measurements are a viable tool for spatially and temporally explicit validation of emission models and landcover assessments will attract numerous follow-up studies in the US and worldwide. Further research is needed to provide more airborne flux measurements to achieve better statistics in each ecoregion, measure a much broader suite of BVOCs including both biogenic and anthropogenic emissions which lead to ozone formation, and during additional seasons including hotter periods when ozone pollution is more likely to be out of compliance with air quality regulations. 

xxi

#### 1

## TASK SUMMARY AND WORK DESCRIBED IN THIS REPORT

The major objective of the project was to obtain a high quality isoprene flux data set covering the major isoprene emitting regions in California, and use it to test the validity of replacing CARB BEIGIS model with the community MEGAN model. The research included a field measurement planning exercise to optimize the amount of information to be gained from airborne flux observations, conducting field measurements, then comparing observed fluxes to modeled fluxes in order to assess and improve CARB's BVOC emission inventory.

8

9 The work done for each of the 5 tasks identified in the contract is briefly summarized below:

10 Task 1. Field campaign planning

11 The initial step in field campaign planning was to utilizing improved landcover databases

12 (Gap Analysis Program (GAP) landcover database) and Emission Factors for isoprene in the

13 old California ARB BEIGIS model and the NCAR MEGAN 2.0 model for elucidating areas

14 where isoprene flux flight measurements would be the most useful, focusing on where the

15 models disagree and where there are the most suitable terrains for testing the airborne flux

16 technique (flat homogenous terrains and high emissions). Satellite derived landcover

17 products, including the National Land Cover Dataset (NLCD), and plant species distribution

18 data developed by UC-Berkeley, including oak distribution data, and MODIS LAI products

19 were also compared. The flight tracks were planned to overlap with above-canopy BVOC

20 measurement towers operating in California. More than 12 regions were selected for airborne

21 VOC flux measurements and some tracks were chosen to overlap on different days.

22 Task 2. Field Measurements

23 VOC eddy covariance (EC) measurements were conducted on aircraft during June 2011 with 24 40 hours of flight time divided into 8 different days to quantify and improve regional BVOC 25 emissions information. Area emissions were characterized over racetrack patterns of  $\sim 30$  km 26 (with each side of the racetrack being  $\sim$ 15 km) resulting in reliable emission measurements 27 resolving spatial scales appropriate for BVOC emission model verification. To account for all 28 footprints encountered in the campaign and for compatibilities with the models the datasets 29 were averaged to  $2 \text{ km}^2$  resolution. The flight tracks were designed mainly to measure 30 isoprene emissions from the areas in California with substantial Oak tree densities. We 31 covered a wide range of oak landscapes including those with low, moderate and high plant

1 densities. Additional locations in California were also sampled to characterize emissions from

2 croplands and conifer forests.

## 3 Task 3. Data Reduction

4 Eddy covariance fluxes were calculated from raw wind and concentration measurements. Two 5 mathematical methods were used to reduce the raw data to actual emission fluxes. The first 6 method is based on conventional Fast Fourier Transformation (FFT), which computes an average flux over an entire flight leg (approx. 15 km or longer). The second method is based 7 8 on wavelet transformation, which computes an instantaneous correlation between two 9 quantities over a chosen bandwith and results in highly resolved flux over discrete shorter 10 length scales. 11 **Task 4. Data Analysis** 

12 The measured fluxes were mapped onto landcover maps and isoprene emissions were directly

13 correlated with modeled emissions. Using the CARB BEIGIS VOC emission model we

14 performed linear regression analysis to evaluate the level of agreement between measured and

15 modeled isoprene emissions above different landcover types. For the areas in California

16 dominated by oak woodlands isoprene seemed the most important VOC, while monoterpene

17 fluxes were too small to measure, so the tracer ratio for specific monoterpenes and related

18 compounds could not be applied. However, methanol fluxes which are assumed to account for

19 approximately half of oxygenated VOC emissions by mass were directly measured.

## 20 Task 5. Final Reports

21 This report is submitted in fulfillment of Task 5.

22 In addition, multiple papers and posters have been presented at various workshops, meetings

and seminars. The peer-reviewed publications using the CABERNET data to date include:

- 24 1) Peischl, J., Ryerson, T. B., Holloway, J. S., Trainer, M., Andrews, A. E., Atlas, E. L.,
- 25 Blake, D. R., Daube, B. C., Dlugokencky, E. J., Fischer, M. L., Goldstein, A. H., Guha,

26 A., Karl, T., Kofler, J., Kosciuch, E., Misztal, P. K., Perring, A. E., Pollack, I. B.,

- 27 Santoni, G. W., Schwarz, J. P., Spackman, J. R., Wofsy, S. C., and Parrish, D. D.:
- 28 Airborne observations of methane emissions from rice cultivation in the Sacramento
- 29 Valley of California, Journal of Geophysical Research: Atmospheres, 117, D00V25,
- 30 10.1029/2012jd017994, 2012.

1	2)	Karl, T., Misztal, P. K., Jonsson, H. H., Shertz, S., Goldstein, A. H., and Guenther, A.
2		B.: Airborne flux measurements of BVOCs above Californian oak forests:
3		Experimental investigation of surface and entrainment fluxes, OH densities and
4		Dahmköhler numbers, J Atmos Sci, 10.1175/jas-d-13-054.1, 2013.
5		
6	3)	Misztal, P. K., Karl, T., Weber, R., Jonsson, H. H., Guenther, A. B., and Goldstein, A.
7		H.: Airborne flux measurements of biogenic volatile organic compounds over
8		California, Atmos. Chem. Phys. Discuss., 14, 7965-8013, doi:10.5194/acpd-14-7965-
9		2014, 2014.
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11		
12		
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14		
15		

# 1 1 Introduction

2

3 The overall objective of this project is to directly quantify biogenic volatile organic 4 compound (BVOC) fluxes across spatial scales relevant for air quality models and use this 5 information to assess and improve models for regional emission estimates in California. 6 Vegetation in California comprises a large source of isoprene, terpenes, and oxygenated volatile organic compounds, but these BVOC emissions have not been measured extensively 7 8 in a manner suitable for testing the California Biogenic Emission Inventory GIS (BEIGIS) 9 model used for air quality State Implementation Plan (SIP) development. The proposed 10 research objectives are to first conduct a field measurement planning exercise to optimize the 11 amount of information that can be gained from airborne flux observations, and then to 12 conduct field measurements. 13 14 Volatile Organic Compounds (VOCs) play important roles in atmospheric chemistry such as 15 fueling tropospheric ozone production, forming secondary organic aerosols, and acting as 16 important radical sinks in regions near sources. The global annual source strength of gas-17 phase biogenic volatile organic compounds (BVOC) is around 1 Pg (1015 g) (Guenther et al., 18 2012). One half of these mass emissions (500 Tg) is constituted by a single highly reactive 19 hemiterpene, isoprene (2-methyl-1,3-butadiene). The other half is represented by hundreds to 20 thousands of compounds which span the atmospheric lifetime ranges from a few seconds (e.g. 21 sesquiterpenes) to months (e.g. benzene), and are actively exchanged in both directions 22 (emission and deposition) between the biosphere and atmosphere (Park et al., 2013). 23 Currently, BVOC measurements (mostly of emission) have been reported at ecosystem scales 24 primarily from fixed tower sites which offer very good temporal resolution, but lack spatial 25 resolution across the broader landscape that is critical for understanding regional 26 photochemistry. 27 28 Since the discovery of substantial isoprene emissions from forested regions (Rasmussen, 29 1970), and subsequent progress in understanding isoprene biochemistry (Loreto and Sharkey, 30 1990), much research has been conducted to understand the emissions of isoprene and the 31 factors that drive them at the leaf level, including in California (Arey et al. 1991a; 1995;

1 Baker et al. 1999; Karlik and Winer 2001; Kurpius and Goldstein 2003; Goldstein and Schade 2 2000; Schade et al 1999; 2000; Schade and Goldstein 2001; Winer et al. 1992). This work has 3 led to BVOC emission models such as BEIS (Pierce et al. 1998), MEGAN (Guenther et al. 4 2012) and BEIGIS (Scott and Benjamin 2003) that are driven by information about weather 5 conditions, plant distributions, leaf area, and the temperature and light response of isoprene 6 emissions from plants. There have been isoprene flux measurements at the canopy scale in a 7 variety of locations worldwide: Northwestern U.S. oak savanna (Lamb et al. 1986), 8 Northeastern US mixed forest (Goldstein et al 1998), North Central US mixed forest 9 (Westberg et al., 2001; Apel et al, 2002), Amazonian tropical forests (Rinne et al. 2002; Kuhn 10 et al., 2002), Central Africa rainforest (Serca et al., 2001), Borneo rainforest (Langford et al., 11 2010), etc. However, in California, no ecosystem scale fluxes have ever been reported for an 12 oak dominated ecosystem that could be used to verify the modeled statewide isoprene 13 emission inventory. 14 15 A California BVOC model called BEIGIS (Scott and Benjamin 2003) predicts significant 16 emissions of isoprene from oak woodlands distributed throughout the foothills of the Coast 17 Range and the Sierra Nevada mountains (Figure 1a). However, with the exception of a single 18 site in a pine plantation (Schade et al 1999; 2000; Schade and Goldstein 2001; Goldstein and 19 Schade 2000), and measurements in a few crops (Karl et al., 2008; Fares et al., 2011; Fares et 20 al., 2012; Park et al., 2013), there have been no measurements of BVOC fluxes from 21 California landscapes at a larger spatial scale than individual leaves and branches. The goal of 22 our work was to measure the distribution of isoprene flux across the oak woodland areas of 23 California in order to test and improve the landscape-scale emission models that are used for 24 regional air quality assessments. California is a region where these observations are 25 particularly needed because of its varied landscape, with BVOC emissions from biogenic 26 areas dominated by Oaks ( $\sim$ 7% of land area), and with anthropogenic VOC emissions from 27 the activity of ~35 million people living in the state. Furthermore, the accuracy of isoprene

1 emission estimates is important for regional simulations of ozone production.





Figure 1. Tracks flown during CABERNET overlaid over (a) BEIGIS Isoprene Emission Factor (EF)
landcover; and (b) oak-woodland ecosystems differing in spatial oak species homogeneity (according
to GAP database).

6

Although the vast majority of eddy covariance measurements have been conducted on the
ground, Airborne Eddy Covariance (AEC) is an established technique which has been used
extensively in the last several decades to measure fluxes (e.g. of energy, ozone, carbon
dioxide, etc.) directly using an aircraft (e.g. Lenschow et al., 1981; Desjardins et al., 1992;
Pattey et al., 2002; Metzger et al., 2013). The first successful implementation of AEC for
VOC was by Karl et al. (2009) over Mexico using a C130 aircraft.

14 In Chapter 4, we present the first regional BVOC flux measurements focused on transects

15 over areas expected to dominate emissions in California (Figure 1). Vertical "racetrack"

16 profiles were used for testing the flux methodology and derivation of flux divergence terms,

17 which were recently described in a separate paper (Karl et al., 2013) where we demonstrated

18 that our PTR-MS configuration in CABERNET was appropriate for measuring isoprene

19 fluxes.

We report the observed spatial distribution of airborne fluxes and emission factors and
 demonstrate that they match well the emission factors from landcovers estimated using a
 California Air Resources Board implementation of the MEGAN model. The data have been
 used to improve landcover and accuracy of VOC inventories in California, which is more
 thoroughly explored in Chapter 5.

6

7 The motivation for conducting this regional flux study in California was driven by: 1) the 8 need for spatially resolved data on BVOC emissions from oak woodlands which have a large 9 impact on regional ozone concentrations, 2) our lack of information on how BVOC emissions 10 respond to variations in landcover (plant functional type distributions, LAI, etc). 11 and 3) verification that the state's modeled emissions for isoprene are accurate which are

- 12 critical for use in air quality State Implementation Planning (SIP).
- 13

14 A number of direct flux measurements of conserved tracers (e.g. heat, water) have 15 demonstrated their usefulness to investigate the horizontal and vertical structure of the 16 atmosphere utilizing aircraft (e.g. Lenschow et al., 1980; Desjardin et al., 1997; Metzger et 17 al., 2013). In contrast only few direct flux measurements of reactive tracers exist to date. 18 Faloona et al. (2005) for example used a combination of ozone and dimethyl-sulfide eddy 19 covariance measurements to estimate accurate entrainment rates in the stable marine 20 Boundary layer. Mauder et al. (2007) investigated the influence of heterogeneous terrain on 21 ozone deposition fluxes and the influence of meso-scale fluxes on the energy balance closure. 22 Due to the lack of suitable flux measurements most micrometeorological studies on reactive 23 species in the mixed layer have been conducted with large eddy simulations (LES) (e.g. 24 Schumann et al., 1989, Peterson et al., 1999, Patton et al., 2000; Vinuesa et al., 2003). In the 25 past, landscape scale non methane volatile organic compounds (NMVOC) emissions were 26 often estimated using mixed layer gradient techniques based on the results of LES simulations 27 (e.g. Greenberg et al. 1999; Guenther et al., 1996). New advances in mass spectrometric 28 methods have recently allowed investigation of urban anthropogenic NMVOC emissions 29 based on direct airborne eddy covariance measurements (Karl et al., 2009). Such 30 measurement systems provide a unique opportunity to constrain emissions of NMVOC that 31 enter the atmosphere via biogenic and anthropogenic sources over regional spatial scales that 32 are not directly observable by any other known methods.

2 Here we expand airborne flux measurements of BVOC to investigate the atmospheric fate of 3 reactive compounds above forested areas which are thought to the dominant global source of 4 BVOC. The California Airborne BVOC Emission Research in Natural Ecosystem Transects 5 (CABERNET) experiment took place over extended oak belts surrounding Central valley (34° 32' to 39° 21' N; 117° 28' to 123° 17' W) during June 2011. The study focused on isoprene 6 7 (2-methyl - 1,3 - butadiene), a biogenic hydrocarbon, which is thought to be emitted to the 8 atmosphere in similar amounts compared to methane (Guenther et al., 2006). Isoprene fluxes 9 were measured on-board of the CIRPAS Twin Otter (http://www.cirpas.org/twinOtter.html) 10 using the virtual disjunct eddy covariance method (Karl et al., 2002). Chapter 3 presents the 11 first direct airborne isoprene flux measurements focused on vertical flux profiles while 12 Chapter 4 reports the first regional fluxes of isoprene and other BVOC from survey transects 13 and Chapter 5 demonstrates their comparison to modeled fluxes.

# 14 **2** Methods and theory

## 15 2.1 Study region

1

16 Oaks are the main source of isoprene in California and they grow dominantly in certain 17 elevations (400-800 m) along the foothills encompassing the Central Valley and along the 18 Coastal Range Mountains. These specific locations, relatively constant elevations, and high 19 emission rates make oaks an ideal subject for flux observations from aircraft. Using the USGS 20 National Gap Analysis Program (GAP) landcover database, we planned our survey flights (to 21 measure surface fluxes over long transects at constant altitude) and racetrack flights (vertical 22 profiles to characterize flux divergence) over more or less homogeneous oak woodlands 23 consisting of the Blue Oak Woodlands (BOW), Valley Oak Woodlands (VOW) and Coastal 24 Oak Woodlands (COW). The total percentage of the sum of their primary, secondary and 25 tertiary levels was used to map out the most homogeneous areas where oaks are the only or 26 the dominating tree species (see Sect. 2.2 on flight track planning). Despite this biological 27 homogeneity the oaks have highly irregular distribution patterns characterized by varying 28 spatial densities. Figure 2 shows a typical oak ecosystem as seen from the Twin Otter flying 29 over Tonzi Ranch tower, where ground flux measurements of isoprene were simultaneously 30 performed for comparison with the aircraft observations (see Chapter 4.2.2).

Apart from relatively homogeneous (in terms of the species) oak woodlands mostly in the foothill bands, further away there are transition areas with coniferous regions where, according to the GAP database, the oaks grade in to Blue Oak – Ponderosa Pine (BOP) habitats and/or Montane Hardwood-Conifer (MHC), and/or Montane Hardwood (MHW).

- 6 These areas are represented in Figure 1b.
- 7

1



8

9 Figure 2. A typical oak savannah ecosystem seen from the twin-otter. Note spatial differences in oak

densities. The photo is showing Tonzi Ranch tower, where REA flux measurements took place (see
 Chapter 4.2.2).

# 12 **2.2** Climatology during field campaign

- 13 Environmental context is important to take into account when analyzing measured BVOC
- 14 fluxes because the history of temperature and photosynthetically active radiation (PAR) are
- 15 the main drivers of potential vegetative emissions (Sharkey et al., 1999; Fuentes and Wang,
- 16 1999), and seasonal variability in climate is known to affect gross ecosystem production in
- 17 this region (Goldstein et al., 2000). The climatological conditions in California in June 2011

were relatively colder than in June of the previous year. The preceding month and the first
 week of June 2011 were particularly cold followed by gradual increase in the temperature
 throughout the campaign with particularly hot sunny weather on the final flight of the
 campaign. Along with the warming, the environment was becoming dryer.

# 5 2.3 Flight track planning

6 The CABERNET airborne campaign took place in June 2011. The paths of the research 7 survey flights and "racetrack" gradient flights are portrayed over the BEIGIS isoprene 8 emission factor map (Figure 1a) and California map of oak woodland distribution (Figure 1b). 9 Weather forecasting was used to ensure that all the flights were conducted on cloudless days, 10 and where possible for the mean wind direction to be perpendicular to the flight paths. A test flight on June 1<sup>st</sup> was performed over the ocean to calibrate the sensors using pitch and yaw 11 12 maneuvers, according to Lenschow, 1986. These were used to test the accuracy of coefficients 13 for wind vector transformations to ensure the vertical wind speed is not affected by aircraft 14 motion. More detailed information on these maneuvers made during CABERNET can be 15 found in Karl et al. (2013).

16

17 The true air speed (TAS) was kept as constant as possible on all the flights. For the entire 18 campaign the TAS ranged from around 52 to 67 m/s with an average of 58 m/s, and a 19 standard deviation of 2.3 m/s. The measured air temperature at aircraft altitude ranged from 20 19.4 to 25.9 °C (mean: 22.5 °C, s.d.: 1.28 °C) while the temperature at 2 m above the surface 21 (WRF model) was wider in range (from 10.9 to 34.8 °C) and higher by 3.6 °C average 22 temperature. 23 24 The available forty hours of flight time was divided into eight research flights (RF) which 25 were carried out for approximately 4-5 hours each during the mid-day. The individual flown 26 tracks are described in chapters 2.3.1 to 2.3.8. 27 28 Summary information specific to planning of each research flight (RF) is provided in Table 1. 29 30 31

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3	
4	
5	

# 9 Table 1. Selected flight parameter data specific to each research flight.

	DEI	DEC	DE2	DE4	DEC	DEC	DEZ	DEO
	RF1	RF2	RF3	RF4 Issue 14	RF5	RF6	RF/	RF8
June 8 June 9 June 10 June 14 June 15 June 16 June 20 June 2						June 21		
I emperature close to the surface (2 m WRF) (°C)								
mean	20.6	(23.1)	24.4	27.8	28.5	24.8	29.7	32.5
(median)	(21.5)	(23.8)	(25.3)	(28.6)	(29.4)	(25.4)	(30.3)	(33.4)
s.d.	3.21	3.21	3.46	2.88	3.24	3.96	2.64	3.54
min	11.3	10.9	11.4	11.7	12.2	11.8	12.1	11.7
max	25.9	28.0	29.6	32.1	33.8	31.4	34.9	37.2
5 <sup>th</sup> percentile	14.4	17.1	17.7	23.4	22.6	16.8	26.0	27.0
95 <sup>th</sup> percentile	24.6	27.1	28.5	31.1	32.3	29.6	32.4	36.0
			Altituc	le (m a.g.l.)	P			
mean	603	551	831	529	511	836	852	462
(median)	(437)	(449)	(685)	(470)	(489)	(721)	(730)	(396)
s.d.	436	309	575	233	193	461	565	210
min	127	119	126	209	127	55.3	50.0	160
max	2410	1830	2790	1720	1460	2610	1870	1540
5 <sup>th</sup> percentile	251	266	285	301	278	291	289	268
95 <sup>th</sup> percentile	1670	1300	2090	949	712	1640	1830	887
•		Re	lative humidity	at aircraft alti	itude (%)			
mean	49.9	51.1	45.5	46.5	35.1	31.1	33.7	28.9
(median)	(42.7)	(51.1)	(46.9)	(46.7)	(36.5)	(29.9)	(34.2)	(26.6)
s.d.	17.6	16.2	15.7	7.62	9.11	13.3	11.0	8.87
min	21.2	18.4	12.0	18.4	11.1	9.78	8.8	13.2
max	100	100	95.6	83.4	68.6	99.2	85.8	68.6
5 <sup>th</sup> percentile	31.2	27.1	18.0	33.3	17.3	13.0	11.7	18.5
95 <sup>th</sup> percentile	86.1	95.6	70.2	58.0	48.2	45.9	47.7	48.1
Other flight characteristics								
Take off time								
UTC	17:30	18:15	18:10	18:05	18:00	19:05	19:05	18:55
(local/PDT)	(11:30)	(12:15)	(12:10)	(12:05)	(12:00)	(13:05)	(13:05)	(12:55)
Touchdown time	22.20	22.15	22.10		<b>22</b> 20	0.0 <b>7</b>		
UTC	22:20	22.45	22:10	22:35	22:30	0:05	00:30	23:30
(local/PDT)	(16:20)	(16:45)	(16:10)	(16:35)	(16:30)	(18:05)	(18:30)	(17:30)
Flight focus	Survey	Survey	Survey,	Survey	Survey	Racetrack	Racetrack	Survey
i light locus	Survey	Survey	Racetrack	Survey	Burvey	Rucettuek	Rucenuek	Survey
Total length	983	908	802	896	875	1020	835	935
(KIII) DDL haight								
range (km)	0.9 - 2.8	1.4 - 1.7	0.8-1.1	0.4-1.9	1.1-1.1	1.6-1.7	1.2-1.2	0.7-1.4
VOC-related m/z	69, 33,	69, 71, 33,	(0.71.75	69, 71, 33,	69, 71, 33,			69, 71,
measured	79, 93,	81, 137,	69, /1, /5,	81, 137,	81, 137,	69, 71, 87	69, 71, 75	33, 137,
(10 Hz)*	107	87	33	87	45			87

 $\frac{(10 \text{ Hz})^2}{m/z}$  10/ 3/ 3/ 43 \*m/z 21, 32, and 37 were also measured on every flight at 10, 20 and 20 Hz respectively. 1

### 2 2.3.1 RF 1 – June 8

3 Research flight 1 occurred on the coolest (but still clear) day of the field study and passed to 4 the WSW across the Central Valley, then above the most southern segment of the oak band of 5 the Sierra Nevada foothills and further towards the shrublands of the Mojave Desert. The 6 returning leg diagonally cut through some of the more polluted regions of the Central Valley, 7 passing over oil fields, dairies and other anthropogenic VOC sources. It may be relevant that 8 the preceding period prior to June 8 was particularly cold so the biogenic emission capacity 9 was expected to be increasing on this flight and the flight the next day. The VOCs measured 10 included some anthropogenic VOC masses not measured in other flights, comprised of 11 isoprene (m/z 69), methanol (m/z 33), benzene (m/z 79), toluene (m/z 93) and C-8 aromatics 12 (C2-benzenes, benzaldehyde) (m/z 107).

13

### 14 **2.3.2 RF 2 – June 9**

15 Research flight 2 occurred during cool-weather and measured fluxes to the north east passing 16 near the Walnut Grove tower (WGC), Tonzi Ranch Tower (TRT) and the Blodgett Forest site 17 (BF). This flight continued up to 40 °N latitude of the northern Sierra Nevada foothill oak 18 band and returned on the same path providing data near the WGC, TRT and BF sites located 19 approximately half way and seen by the aircraft twice over a 2 hour period. The region 20 covered by this RF overlapped about 50% with RF 3 and 4. The compounds measured 21 included: isoprene (m/z 69), methyl vinyl ketone and methacrolein (MVK + MACR) (m/z 71), 22 methanol (m/z 33), monoterpenes (m/z 81, 137), and methyl butenol (MBO) (m/z 87).

23

#### 24 2.3.3 RF 3 – June 10

Research flight 3 was half spent doing the first vertical "racetrack" profile flight, and the rest was devoted to segments overlapping spatially with the ground WGC and TRT based towers and with RF 2 and 4. The racetrack legs were relatively long in order to oversample and then determine the optimal track lengths for wavelet flux determination with this particular aircraft. Targeted compounds were isoprene (m/z 69), MVK+MACR (m/z 71), hydroxyacetone (m/z 75), and methanol (m/z 33).

#### 1 2.3.4 **RF 4 – June 14**

2 Research flight 4 was a survey that shared the same initial route to the San Joaquin as the two 3 previous flights and after reaching the Sierra foothills it continued South right over the oak 4 woodlands until intersection with the route used in RF1. This provided extensive coverage of 5 a portion of the oaks on the eastern edge of the central valley. The return flight followed the 6 same path until reaching Bakersfield to the left and then proceeded straight across the Central 7 Valley above some of the many dairies in the region. Isoprene (m/z 69), MVK+MACR (m/z8 71), methanol (m/z 33), monoterpenes (m/z 81, 137), and MBO (m/z 87) were the measured 9 compounds.

10

### 11 **2.3.5 RF 5 – June 15**

Research flight 5 went to the North through the San Francisco Bay Area and near Santa Rosa to measure emissions from oak woodlands in the coastal regions. After reaching the most northern point the plane flew towards the San Joaquin Delta region near rice paddies. A biomass burning episode from one rice field was explored with the aircraft to observe methanol, acetaldehyde, and possibly furan (see supplementary video). Measured compounds were isoprene (m/z 69), MVK+MACR (m/z 71), methanol (m/z 33), monoterpenes (m/z 81, 137), and acetaldehyde (m/z 45).

19

## 20 2.3.6 RF 6 – June 16

21 Research flight 6 was focused on performing a vertical gradient racetrack profile over 22 relatively homogeneous oak terrain in the Sierra foothills near Madera. The racetrack 23 consisted of 5 sequential segment lengths of 15 km at evenly distributed altitudes within the 24 PBL. The racetrack started at the top level directly following a saw-tooth sounding. The plane 25 performed one lap at each height on the decent down and again on the ascent back up. When 26 the top level was reached another saw-tooth sounding was performed and the whole racetrack 27 sequence was repeated. Since this paper is focused on the results from survey transects, the 28 reader is referred for details of vertical profile racetrack results to Karl et al. (2013). Just three 29 masses were measured: isoprene (m/z 69), MVK+MACR (m/z 71), and MBO (m/z 87).

### 1 **2.3.7 RF 7 – June 20**

- 2 Research flight 7 was also focused on racetrack profiles and was situated in a similar location
- 3 to the racetrack in RF 6, but was rotated for the predicted wind direction to be perpendicular
- 4 to the straight side of the track. One main difference was that this racetrack saw higher
- 5 temperatures than RF6 four days earlier which was reflected in observed higher
- 6 concentrations. However, the flux divergence terms obtained from both racetracks 6 and 7
- 7 were very similar. The measured masses corresponded to the following targeted compounds:
- 8 isoprene (m/z 69), MVK+MACR (m/z 71), and hydroxyacetone (m/z 75).
- 9

# 10 **2.3.8 RF 8 – June 21**

- 11 Research flight 8 was a survey towards the south of Monterey covering the coastal oak
- 12 savannahs during the hottest day of all RFs. While in previous flights concentrations of a few
- 13 ppb of isoprene were observed, the instantaneous maximal concentrations in this RF reached
- 14 8 ppb. The following compounds were targeted on this flight: isoprene (m/z 69),
- 15 MVK+MACR (*m*/*z* 71), methanol (*m*/*z* 33), monoterpenes (*m*/*z* 137), and MBO (*m*/*z* 87).
- 16

# 17 2.3.9 Racetrack flight planning

- 18 The vertical profiling strategy was developed to optimize aircraft speed and maneuverability
- 19 with sufficient horizontal averaging statistics. Figure 3 depicts a map showing the racetracks
- 20 in the separate panels next to the CABERNET study area.

![](_page_35_Figure_0.jpeg)

1

2 Figure 3. Map showing the area covered by CABERNET research flights. Racetrack patterns

- 3 flown during RF 3, 6 and 7 are highlighted in separate panels
- 4

5 Research flights (RF) RF3, RF6 and RF7 were planned so that profiles could be flown between 12-16h local time. RF3 targeted an area NW of Sacramento between waypoints 39° 6 04' 53" N, 121° 09' 32" W and 39° 13' 50" N, 121° 07' 12" W. RF6 and RF7 were 7 8 conducted east of Madera between waypoints 37° 02' 31" N, 119° 44' 95" W and 37° 14' 10" 9 N,  $119^{\circ}$  50' 05" W. The profiles were flown at 10:10-12:40, 10:35 - 15:00 and 12:40 - 15:0010 PST during RF3, RF6 and RF7 respectively. On the incoming leg, a saw tooth sounding was 11 performed in order to determine the height of the planetary boundary layer (PBL) at the start 12 of the profiling maneuver. A stacked profile consisting of 3 (RF3) or 5 (RF6 and RF7) levels 13 was then spaced equally between 300 m a.g.l and the height of the PBL. A racetrack of 40 km 14 (RF3) or 16 km length (RF6 and RF7) and 2 km width was flown at each level descending 15 from the top. After two profiles (down and up) another sounding in the form of a spiral was 16 conducted. Thus, one profile was completed in about 45 min during RF6 and RF7 and in 70 17 min during RF3. Following the last profile another saw tooth sounding was flown on the 18 outbound leg. The shorter length during RF6 and RF7 was a final compromise between 19 constraints imposed by footprint, stationarity and available operational time on the aircraft.
1 The PBL height typically varied by less than 15 % during RF3, RF6 and RF7 profiling

2 maneuvers.

# 3 2.4 Aircraft

4 A two-engine UV-18A Twin Otter (the military version of model Series 300) research aircraft 5 (Figure 4) was operated by the Center for Interdisciplinary Remote Piloted Aircraft Study 6 (CIRPAS) of the Naval Postgraduate School out of the airport located in Marina, CA near 7 Monterey, CA. The aircraft is equipped with micrometeorological sensors and is capable of 8 flux measurements (Karl et al., 2013). The CIRPAS payload during CABERNET included 9 total temperature measured by a rosemount probe, dew point temperature (chilled mirror, 10 EdgeTech Inc., USA), barometric, dynamic, and radome-angle pressures based on barometric 11 and differential transducers (Setra Inc., USA), TAS, mean wind, slip- and attack angles 12 measured by a radome flow angle probe, GPS pitch, roll and heading (TANS Vector platform 13 attitude, Trimble Inc., USA), GPS latitude, longitude, altitude, ground speed and track 14 (NovAtel, Inc., USA), and latitude, longitude, altitude, ground speed and track, pitch, roll and

15 heading measured by C-MIGITS-III (GPS/INS, Systron, Inc., Canada).



17 Figure 4. CIRPAS flux-enabled twin otter.

- 18
- 19 Air was drawn from a 3-inch isokinetic pipe inlet extending above the nose of the plane.
- 20 Ambient air gets diffused from a 2.047 inch ID orifice at the tip (area ratio of about 2) to
- 21 another diffuser with an area ratio of 5, resulting in a flow speed inside the tube of about 10% 13

of the aircraft speed ( $\sim 60 \text{ m s}^{-1}$ ). Vertical wind speed was measured by a five-hole radome 1 2 probe with 33° half-angles at the nose of the aircraft. The measured vertical wind speed is 3 unaffected by the aircraft movement and flow distortion at the nose, as long as corrections 4 based on "Lenschow maneuvers" are applied (Lenschow, 1986). More detailed descriptions 5 of this particular aircraft can be found elsewhere (Hegg et al., 2005; Reid et al., 2001). 6 The aircraft payload is relatively large allowing for extensive set of instrumentation and 7 between 1 and 3 research crew on board. The list of instrumentation included: 1) NCAR's 8 airborne PTR-MS for VOC fluxes; 2) an adsorbent-cartridge automatic sampler for GC-MS 9 VOC speciation and validation of contributions to m/z measured by PTR-MS; 3) Picarro 2 Hz 10 methane/CO2 analyzer; 4) Slow ozone analyzer (2B Tech) and dry chemo-luminescent fast-11 ozone sensor (NOAA); and 5) Water-based Condensation Particle Counter (CPC, TSI Inc.). 12 The cartridges were installed in banks of four with double-ended shut-off style quick connect 13 fittings to attach them to the instruments chassis. Each bank was rapidly swappable, when the 14 aircraft was on the ground. 15 The VOC cartridge sampler containing 8 adsorbent tubes was manually activated during the 16

17 flight and was recorded by a datalogger analog input to mark the timing of each sample, 18 which was drawn automatically through the cartridge for 8 min at a 335 sccm constant flow. 19 In addition, one tube served as a blank for each flight and one tube was kept open inside the 20 cabin for passive absorption of VOCs present in the cabin air to help in the identification of 21 potential tube leaks.

## 22 **2.5** Our Measurement Approach: airborne eddy covariance flux

23 measurements

Mass spectrometric techniques have proven to be extremely valuable for the measurement of atmospheric chemical fluxes due to their high data acquisition rates. In particular, chemical ionization mass spectrometers used to detect atmospheric trace gases have found a wide application to the study of many processes important in the atmosphere. In the last 10 years, Proton Transfer Reaction Mass Spectrometry (PTR-MS) has been used extensively for quantifying the VOC composition during various ground and airborne field campaigns including INDOEX, TEXAQS2000, TEXAQS2006, ITCT2001, MINOS, NEAQS,

MILAGRO, ITCT2004, BLODGETT (e.g., Holzinger et al. 2006, Holzinger et al. 2007, de
 Gouw et al. 2003). The PTR-MS measurements are typically combined with GC
 measurements, canister samples or cartridge sampling system to collect air samples for an
 offline analysis by a GC-MS to aid in VOC speciation and validation of the PTR-MS masses.
 2.5.1 Proton Transfer Reaction Mass Spectrometry (PTR-MS)
 The Proton Transfer Reaction Mass Spectrometer (PTR-MS) is a fast sensor which can

8 measure concentrations of VOC in a high frequency (10 Hz) virtual disjunct mode (Karl et al.,

9 2002). Unlike a disjunct sampler which rapidly grabs a sample periodically, a PTR-MS

10 instrument can be regarded as a virtual disjunct sampler where the ambient air is sampled

11 continuously but m/z are analyzed sequentially by the quadrupole detector, creating a disjunct

12 dataset with high frequency data (e.g. 10 Hz) separated by a relatively longer gap (e.g. 2 Hz).

13

14 The PTR-MS instrument (Figure 5) consists of three parts: The ion source where ions are

15 produced by a hollow cathode discharge using water vapor as the molecular source of ions;

16 the drift tube where proton transfer reactions to the trace constituents in the air occur; and

17 finally the ion detector which provides sensitive detection of mass specified ion scans of the

18 molecules of interest.

19



- 21 Figure 5. Schematic representation of the three modules of the IONICON PTR-MS.
- 22

23 The hollow cathode ion source converts water vapor in the plasma discharge into  $H_3O^+$  ions

via well-known ion-molecule reactions. Ions extracted from the source enter a short "source15

1 drift region," also filled with water vapor, in which the ions are collisionally equilibrated with 2 water vapor. The drift velocity of the ions is maintained at a sufficiently high value, by the 3 applied electric field, that clustering of the hydronium ions with water molecules to form 4 higher hydrates is efficiently suppressed. Reactant ion purity is thus easily controlled and a 5 drift field of 120 Td is sufficient to ensure that more than 90% of all reactant ions are present as the unsolvated hydronium ion,  $H_3O^+$  and to a small fraction as its monohydrate.  $H_3O^+$  ions 6 transfer protons to nearly all VOCs with rates equal to the respective gas kinetic collision 7 8 frequencies. Reactant ions are injected into the drift tube. The drift tube is maintained at a 9 buffer gas pressure of typically 2 mbar. The air to be analyzed operates as buffer gas. After 10 entering the drift region proton transfer reactions occur between  $H_3O^+$  and any molecules  $R_i$ 11 whose proton affinity exceeds that of water and the product ions  $[R_iH^+]$  are monitored in the 12 downstream quadrupole mass spectrometer. A detailed description about operating conditions 13 and instrument validation was previously documented (de Gouw et al., 2003). 14 15 The instrument deployed in CABERNET was NCAR's high sensitivity PTR-MS (Karl et al., 16 2009). Its internal vacuum inlet system was specifically redesigned to enable stable operation 17 across a wide range of altitudes and to ensure internal lag-time of less than 100 ms. The 18 instrument outputs an analog signal, which contained synchronization and error flagging 19 information which were decoded at the time of post processing. This allowed the PTR-MS 20 data to be merged with the data produced by the other sensors on the aircraft. This was 21 particularly important for calculating the fluxes, which are covariances between the PTR-MS 22 output and the turbulence data measured by the aircraft. 23 The instrument was typically operated at 2.3 mbar drift pressure and 560V drift voltage. 24 Isoprene was calibrated using a multicomponent NMVOC standard, which contained a 25 mixture of methanol, acetonitrile, acetaldehyde, acetone, isoprene, methyl vinyl ketone, 26 methyl ethyl ketone, benzene, toluene, m,o,p-xylenes and camphene. Typical instrument 27 sensitivities for isoprene were 15 ncps/ppbv (normalized counts per second per ppbv), which for a primary ion signal of about  $2 \times 10^7$  cps (counts per second) led to a sensitivity of 300 28 29 cps / ppby. The disjunct sampling interval was 0.5 s at 5 Hz sampling time. Lenschow et al. 30 (1984) reviewed instrumental requirements to accurately measure fluxes in the mixed layer. 31 The required instrument sensitivity for uncorrelated noise to be negligible is  $S = Q / (\langle c \rangle \Gamma)$ ,

- 32 where  $\langle c \rangle$  is the concentration,  $\Gamma$  the integral time scale and Q the total counts required. Q
  - 16

1 must be greater than  $\langle c \rangle^2 / (4\sigma_c^2)$ , thus one can derive a critical threshold for the mixed layer,

2 where

3

$$Q > 0.15 \ \frac{w_*^2 \cdot \langle c \rangle^2}{F^2} \tag{1}.$$

4 During CABERNET F/<c> ratios for isoprene were in the range of 0.09 and 0.4 m/s. Typical 5 length scales in the mixed layer are 100 m, leading to  $\Gamma$  of 100 (m) / 60 (m/s) = 1.6 s for this 6 study. For w\*=2 m/s and typical isoprene concentrations of 1 ppbv we calculate required 7 count rates of 2.3 - 46 counts within one integral timescale. The integrated count rate over  $\Gamma$ 8 during this study was 300\*0.2/0.5\*1.6 = 192 counts, thus sufficient for measuring isoprene 9 fluxes. Figure 6 shows a typical example of the covariance between isoprene and vertical 10 wind velocity measured at 1000 ft above ground.



11

Figure 6. Normalized covariance between vertical wind (w') and isoprene concentrations (c')
measured during RF3.

14

15 The delay time through the isokinetic pipe was estimated to be <0.2s. The PTR-MS was

16 connected to this flow via a 3m long <sup>1</sup>/<sub>4</sub>" Teflon (PFA) line (I.D.: 0.5 cm) pumped by a

17 sampling pump at a flow rate of about 1.5 l/min. This led to an overall delay time of about 2.4

18

s.

19

20 The instrument operation and routine were kept consistent for each flight. Current FAA

21 regulations do not allow for the instrumentation to be running overnight, requiring specific

- 22 steps to achieve stable instrument operation quickly after an instrument start-up. A flight-
- 23 optimized vacuum system and internal capillary components result in fast transfer time from
- 24 the inlet to the drift tube and independence of ambient pressure variations on the drift-tube 17

1 pressure at high altitudes. The valves between the water reservoir and the ion source reduce 2 the time to achieve ion source stability and low oxygen ion levels in the drift tube. 3 Approximately three hours before the take-off the instrument was powered up, and approximately 1 hour before the take-off, if the  $O_2^+$  signal went below 6% of the primary 4 ions, an SEM and ion source check with optimization was followed by a dynamic calibration 5 6 using two VOC standards (Apel-Riemer), one high concentration (available during pre-flight) containing low-fragmenting compounds for daily sensitivity curves (i.e. benzene (1.11 ppm), 7 8 toluene (1.07 ppm), xylenes (4.22 ppm), trimethylbenzene (1.94 ppm), dichlorobenzene (2.61 9 ppm), and trichlorobenzene (1.14 ppm)) diluted with VOC-free air and another low-10 concentration standard containing isoprene (10.0 ppb) (also available in-flight) which was 11 also used as a back-flushing gas during the take-offs and touch-downs to prevent the exhaust 12 plumes from contaminating the inlet. Zeros were measured using three different sources: Pt-13 catalyzed ambient air; ultra-pure compressed air (Air Liquide); ambient air at the top of the 14 tooth sounding well above the PBL height. The calibrated normalized sensitivities for 15 calibrated VOCs experienced day-to-day variabilities of less than 30%. The average sensitivity for isoprene was 15.1 ncps ppbv<sup>-1</sup> as a sum of m/z 69 (13.4 ncps ppbv<sup>-1</sup>) and m/z 41 16 17 (2.2 ncps ppbv<sup>-1</sup>). The m/z 41 ion was used to assess the stability of isoprene fragmentation 18 but only m/z 69 was used in the calculation of concentrations. These sensitivities resulted in 19 detection limits of less than 10 pptv for isoprene corresponding to one km of flight. The 20 primary ion count rates monitored at m/z 21 were around 20,000,000 cps (±20%) so the absolute sensitivities were approximately 20 times higher than the normalized sensitivities 21 (i.e.  $\sim 300$  cps ppbv<sup>-1</sup> for isoprene). The sensitivities for compounds not present in the standard 22 23 were approximated for each day from combining sensitivity curves of the daily calibrations 24 with sensitivity curves from post-campaign calibrations using several different standards at a 25 range of humidities. The accuracy of sensitivities was estimated at  $\pm 10\%$  for direct calibration (5% standard certification + 5% from dilution) and  $\pm 30\%$  for the approach combining post-26 27 campaign calibrations. The settings, sensitivities and further methodological remarks are 28 included in Appendix E.

29

## 30 2.5.2 Airborne eddy covariance (AEC)

31 The preferred micrometeorological method for measuring trace gas fluxes in the turbulent

32 boundary layer is eddy covariance (EC). This approach is a direct measurement of the

fluctuating vertical wind velocity and trace gas concentration. The flux is determined from the
 mean covariance between vertical wind velocity (w) and concentration (c) fluctuations and
 can be expressed as

 $F = \overline{w'c'} \tag{2}$ 

5

4

6 where w' is the difference between the instantaneous and mean vertical wind speed and c' is 7 the difference between the instantaneous and mean trace gas concentration. Here we use w'c'8 to represent the time average of the product of these two variables. The major components of 9 an EC flux system are: 1) an instrument that measures vertical wind speed with a fast 10 (typically <100 ms) response time; 2) an instrument that measures the targeted atmospheric 11 constituent with a fast response time; and 3) a system to receive and store the data (e.g., 12 datalogger or computer). Instruments with slower (> 100 ms) response times can be used to 13 measure the flux associated with lower frequencies but will underestimate the total flux. In 14 some cases this may result in an acceptable error while in other cases an attempt can be made 15 to account for the loss of flux due to inadequate sensor response (Moore, 1986; Rowe et al., 16 2011). The correction involves using another scalar that is measured with a fast response 17 sensor and then estimating the reduction in flux that results if a digital filter is used to 18 simulate response time of the slower instrument.

19

20 EC is used extensively to measure sensible and latent heat fluxes, and has recently been used

21 for networks dedicated to quantifying carbon dioxide fluxes from various landscapes

22 (Baldocchi, 2003). Commercial fast response instruments are available for some compounds

23 (e.g. CO<sub>2</sub>, ozone, isoprene) and others can be built for other chemical species. EC is

24 generally preferred as the most direct method which does not require parameterizations.

25 Fluxes of VOC with short lifetimes can be estimated from flux divergence measurements

26 (Lenschow et al., 1980).

27

28 Wyngaard and Brost (1984) proposed that the surface fluxes could also be estimated from

29 measurements of vertical concentration profiles in the daytime mixed boundary layer (MBL)

30 that lies above the surface layer and can extend up to several km. This method assumes that

31 the mean vertical gradient of a VOC in the MBL is determined by the depth of the MBL  $(z_i)$ ,

32 the convective velocity scale ( $w^*$ ), and the fluxes at the bottom and the top of the MBL. We 19

1 used vertical profiles of temperature and humidity measured during "saw-tooth soundings" 2 (steep climbs through PBL and part of the free troposphere [e.g. up to 3 km] at a constant 3 angle followed by the similarly steep decent) to directly characterize  $z_i$  and measured sensible 4 heat fluxes to quantify  $w^*$ . The MBL gradient-flux technique assumes that boundary layer 5 mixing is dominated by convective turbulence and that boundary layer conditions evolve 6 slowly compared to the convective turnover time of about 10 minutes. The results are not affected by vertically homogeneous horizontal advection or time dependence in the mean 7 8 concentration and the method can account for entrainment.

9

A time scale at a fixed point in the PBL can be related to a length scale by multiplying the time scale by the average wind speed, as long as the "frozen turbulence" hypothesis known as Taylor's hypothesis (e.g. Panofsky and Dutton, 1984) is fulfilled. This hypothesis enables approximate conversion from turbulence's temporal to spatial statistics. Since aircraft can fly an order of magnitude faster than the mean wind, Taylor's hypothesis is more easily fulfilled, so the length scales can be calculated by multiplying the measured time scale by the true airspeed.

17

#### 18 2.5.2.1 Area source emission measurements

19 Area source emission was measured using the airborne eddy covariance technique. Eddy 20 covariance was used to directly measure fluxes of predetermined compounds. Because 21 quadrupole systems analyze mass to charge ratios sequentially, only several compounds can 22 be selected for inclusion into the flux mode to keep the disjunct gap relatively small. As the 23 project was focused on California vegetation and in particular oak woodlands, the number of 24 masses ranged from three to six during eight research flights. Isoprene (m/z 69) was measured 25 on all the eight research flights, MVK+MACR (m/z 71) and methanol (m/z 33) on seven 26 flights. Other VOCs measured on a smaller number of flights included monoterpenes (m/z 81, 27 137), MBO (m/z 87), acetaldehyde (m/z 45), benzene (m/z 79), toluene (m/z 93), and C8-28 aromatics (m/z 107). Spatially resolved eddy covariance fluxes were calculated using Wavelet 29 Analysis (Mauder et al., 2007) along flight tracks through the mixed layer. The horizontal 30 spatial resolution depends on the blending height (e.g. Claussen M, 1990), which can be calculated according to  $(u^*/U)^2 \ge L$ .  $(u^*$  - friction velocity, U - horizontal wind speed at 31 32 blending height, L – horizontal scale of the surface heterogeneity).

- 2 According to Lenshow et al. (1994) the altitudes and speeds must be low to keep the random
- 3 and systematic errors minimal. For example, our CIRPAS twin otter aircraft flew on average
- 4 at about 400 m altitude and lower at approx. 60 m/s speed which boosted the overall
- 5 sensitivity for VOC fluxes by several factors compared to faster and higher-flying aircraft.
- 6 The low altitudes at CABERNET resulted in footprints of the order of the resolution required
- 7 for validating model landcover inputs (e.g. 1-2 km).
- 8 2.5.2.2 Corrections related to aircraft motion
- 9 After each research flight, in-flight calibrations of the radome pressure system were
- 10 performed by conducting a side slip and pitch maneuver (e.g. Lenschow et al., 1978).
- 11 Reverse heading and speed maneuvers were conducted during the test flight. Figure 7 shows
- 12 corrected vertical wind velocity during a side slip and pitch maneuver.
- 13



16 Figure 7. Side slip and pitch maneuver during RF6: Top panel depicts normalized roll and

17 altitude signals, lower panel plots the motion corrected vertical wind speed w.

18

#### 19 2.5.3 Airborne virtual Disjunct Eddy Covariance (AvDEC)

- 20 The difference between virtual and conventional disjunct eddy covariance is that sampling
- 21 flow is continuous but the dataset becomes disjunct because the quadrupole detector cycles 21

1 through the m/z sequentially, producing regular gaps between high-frequency data points. For 2 the small number of m/z scanned by the PTR-MS detector, AvDEC measurements are nearly 3 equivalent to continuous AEC. In order to minimize the disjunct error the relative gap vs. sampling frequency should be kept minimal by limiting the number of m/z in the duty cycle 4 5 and keeping the integration time long. We kept the number of VOC-related m/z between 3 and 6 6 at 0.1 s dwell time. In addition, on each flight, we monitored three control masses: 7 hydronium ions (m/z 21), oxygen ions (m/z 32), and water vapor (m/z 37) at 0.1, 0.05, and 8 0.05 s, respectively, so the total cycle length varied from 0.5 to 0.8 s between different flights. 9

10 Generally slow aircraft speeds can reduce the bias due to disjunct sampling strategies.

11 Following Lenschow et al. (1994), we calculate the relative error of fluxes measured by the

12 disjunct eddy covariance method. The integral timescale ( $\Gamma$ ) obtained from figure 1 is 1.66 s,

13 which at an airspeed of 60 m/s, comes close to a length scale of 100 m. The disjunct sampling

14 interval ( $\Delta$ ) divided by  $\Gamma$  was therefore 0.3. The error ( $\delta F_{disjunct}$ ) due to disjunct sampling,

15 where the averaging interval (T) is much larger than  $\Gamma$ , can therefore be calculated in its

16 asymptotic form, where  $\Delta < \Gamma$ :

 $\delta F_{disjunct} = 2 \cdot \frac{\Gamma}{T}$ 

17

19

Equation 4 leads to  $\delta F_{disjunct}$  of 6  $^{0}/_{00}$  for RF6 and RF7 and 2.5  $^{0}/_{00}$  for RF3. We conclude that a disjunct sampling interval of 0.5 s was sufficiently small to have a negligible effect on the calculated fluxes.

(3)

23

24 We deploy conventional fast fourier transform (FFT) and wavelet analysis to investigate 25 turbulent fluxes. Briefly, following general considerations outlined by Torrence and Compo 26 (1998) and considering bias rectification proposed by Liu et al. (2007), we implemented a 27 wavelet transformation routine using the Morlet wavelet (Thomas and Foken, 2005). Two 28 advantages of wavelet transforms include that (1) it does not rely on the ergodic hypothesis 29 and therefore does not require stationarity, and (2) it allows investigating time resolved 30 spectral contributions to the measured flux. Figure 8 shows a typical example of a normalized 31 power spectrum for sensible heat and isoprene flux using conventional FFT and wavelet 32 transformation. It should be noted that the spectral analysis of VOC data is limited to the 22

- 1 Nyquist frequency imposed by the disjunct sampling interval of 0.5 s. Integrated co-spectra
- 2 (i.e. fluxes) typically agreed to within  $\pm 10\%$  between FFT and wavelet transforms for the
- 3 profiling flights. The rationale for using wavelet analysis is that a substantial part of the
- 4 CABERNET mission was dedicated to the investigation of horizontally segregated patterns of
- 5 isoprene surface fluxes (Chapter 4).



Figure 8. Normalized cospectra of isoprene (red) and temperature (blue) with vertical wind. Dashed
lines indicate spectra calculated by wavelet transformation, solid lines are obtained by conventional

9 FFT. The top axis indicates the spatial scale corresponding to an aircraft speed of 70 m/s.

10

6

11 The advection flux was calculated parallel and perpendicular to each racetrack. For RF03,

12 RF06 and RF07 the advection fluxes were on the order of 28%, 4.9% and 3.8% relative to the

13 average turbulent flux of isoprene. Due to a limited vertical resolution of 3 levels in total and

14 only two obtained in the PBL at z/zi = 0.7 and z/zi=0.95, further analysis of concentration

15 gradients will therefore mainly focus on RF6 and RF7.

16

17 Sufficiently strong north-westerly winds during RF6 and RF7 prohibited the establishment of

- 18 the Fresno eddy (e.g. Lin et al., 1995) leading to consistent upslope winds during these
- 19 research flights. Profiles were flown during cloud-less conditions.

#### 1 2.5.4 Fast Fourier Transform (FFT)

2 Fast Fourier Transform (FFT) is the conventional method to compute airborne flux. This 3 method provides a single value for a given segment of flight, which limits the spatial 4 resolution. The optimal integration stretch would be a sufficiently long pass to capture the 5 optimal range of frequency distribution, but not so long that the turbulent structures are 6 affected by diurnal effects. Therefore, resolution finer than 10 km would be challenging and 7 uncertain using the FFT approach. Another challenge in this method is that it is prone to non-8 stationarities. However, as an independent method it can be very useful for comparison with 9 fluxes obtained from wavelet analysis (see Sect.2.5.5).

10

#### 11 2.5.5 Continuous Wavelet Transform (CWT)

Wavelet analysis, originally demonstrated to work with seismological data, has recently
become increasingly popular in environmental and biological applications. Examples can be
found in the analysis of the turbulent structures (Thomas and Foken, 2005; Mauder et al.,
2007; Steiner et al., 2011; Metzger et al., 2013), and analysis of environmental processes at
multiple scales (Stoy et al., 2009; Vargas et al., 2010).

17

18 The mathematic principle for the one-dimensional wavelet transform of a given signal f(t) can 19 be presented as:

20 
$$T_p(a,b) = \int_{-\infty}^{+\infty} f(t) \overline{\Psi_{p,a,b}(t)} dt, \qquad (4)$$

21 where  $T_p(a,b)$  are wavelet coefficients and  $\Psi_{p,a,b}(t)$  is the wavelet function given by:

22 
$$\Psi_{p,a,b} = \frac{1}{a^p} \Psi\left(\frac{t-b}{a}\right), \qquad (5)$$

23

where  $\Psi((t-b)/a)$  is termed "the mother wavelet", of which shape and locations are determined by the scale parameter of the wavelet *a* and by the translation parameter *b*. The normalization factor  $1/a^p$  keeps the energy of the original mother wavelet (for *p*=1). A general description of wavelet methodology can be found in Torrence and Compo (1998). For example, the Mexican-Hat mother wavelet works well with detection of single events, for example in the analysis of coherent structures of ejections and sweeps from a closed-canopy forest (Steiner et al., 2011). On the other hand, the complex Morlet function wavelet is suited to analysis of variance spectrum (Thomas and Foken, 2007). Nordbo and Katul (2013) looked at periodicities of long-term  $CO_2$  fluxes from soil. They showed that the intrinsic smoothing property of the wavelet produces results that are more easily interpretable, without the need of excessive manipulation of the original signal (e.g. averaging, smoothing, and tapering) or without restrictive assumptions (e.g. periodicity, stationarity).

7

8 The CWT method has an advantage over FFT in that it does not require homogeneity or 9 stationarity, and can reconstruct the time domain to provide specific information on where in 10 space/time and on which frequency the flux occurs. The wavelet flux method allows for the 11 reconstruction of both the frequency and time domains of the flux within an integrated 12 straight stretch of the desired length, and therefore can produce "instantaneous" or "discrete" 13 fluxes which can be directly compared with model estimates. From the pragmatic point of 14 view, integration of a stretch or a flight segment (e.g. of 100 km) results in not just a single 15 flux value but delivers spatially resolved fluxes at discrete intervals sometimes informally 16 referred to as instantaneous fluxes. Considering the footprint and wavelet scaling parameters, it is possible for an aircraft flying low at approximately 60 m s<sup>-1</sup> to provide meaningful spatial 17 18 flux representation at the 1-2 km resolution needed for investigating landscape heterogeneity 19 in high resolution biogenic emission models, although in principle even shorter intervals 20 could also be resolved. An average of the wavelet fluxes can be compared to the Fourier flux 21 from the same stretch. Given the independent approaches, the agreement between the methods 22 adds to the confidence of the flux estimates and the ratio can be used as an additional measure 23 of data quality.

24

25 Finally the cross-spectra from the two methods can be compared. If no high-frequency 26 attenuation losses exist, the cross-spectra should be similar. The wavelet approach can also be 27 used for the correction of the FFT high-frequency spectral attenuation if it is related to tubing 28 effects or factors other than the instrument response (Nordbo and Katul, 2013). Here, as the 29 mother wavelet we used the Morlet wavelet. More detailed methodology of wavelet analysis 30 used in this work has been presented by Karl et al. (2013) which was a further development 31 from Karl et al. (2009). Vertical flux divergence of isoprene is expected to be primarily 32 controlled due to its relatively short lifetime and was measured directly using "racetrack"

- 1 profiles at multiple altitudes. It was found to be linear and in the range 5% to 30% depending
- 2 on the ratio of aircraft altitude to PBL height  $(z/z_i)$ . We estimated the contribution of the
- 3 storage term to the isoprene flux divergence to be of the order of 2-5%, negligibly small
- 4 compared to sensible heat fluxes. Fluxes were generally measured by flying consistently at
- 5 400 m  $\pm$ 50 m (a.g.l.) altitude, and extrapolated to the surface according to the determined flux
- 6 divergence. The wavelet coefficients were optimized for the CWT analysis to perform well on
- 7 stretches between 15 and 200 km with a typical ratio of FFT single flux value to CWT
- 8 instantaneous flux average of between 1.0 and 1.3.
- 9

### 10 **2.5.6 Flux footprints**

11 The footprint for each flux point was derived using the Horst and Weil (1992) approach and

12 depends on the wind speed, relative altitude to the PBL height, and the convective velocity

13 scale. Here we use scaling developed for the mixed layer according to:

14 
$$dx_{0.5} = 0.9 \frac{u \cdot z_m^{2/3} \cdot h^{1/3}}{w^*},$$
 (6)

15 where  $dx_{0.5}$  is the half width of the horizontal footprint, *u* the horizontal windspeed,  $z_m$  the 16 height above ground, *h* the PBL height and  $w^*$  the convective velocity scale which is derived 17 from the wavelet heat flux in each transect.

18

19 The source contribution area can be approximated by projecting an upwind-pointed half dome 20 with the  $dx_{0.5}$  parameter representing a radius of that half dome.

21

As an example this leads to a footprint of 3.1 km for h=2000 m,  $z_m$ =1500 m, u = 3.5 m/s and w<sup>\*</sup> = 1.7 m/s encountered during RF6. The upwind fetch was on the order of 12 km for RF6 and RF7.

25

The footprint is represented by the half-widths which can be regarded as a distance between the points of the Gaussian curve where the flux falls to the half of its maximum. Therefore,

- the flux contribution is not the same within the halfwidth. The area of such a footprint is
- approximately 90% of the flux contribution relative to the entire footprint (the full Gaussian).
- 30 This approximation assumes a symmetrical footprint, but in reality the footprint area is larger
- 31 along the direction that the wind is blowing.
  - 26

#### 2 **2.5.7** Error analysis (quality of fluxes)

3 As with eddy covariance on the ground, AEC fluxes must undergo a rigorous quality 4 assessment, if not more so. The total uncertainty in reported airborne flux is the superposition 5 of errors from calculation of concentrations (10% for calibrated compounds [5% standard 6 accuracy+5% dilution system], 30% from relative lab-based sensitivity-relative transmission 7 approach), survey-flight-specific random (5%) and systematic (1%) errors related to relative 8 altitude within the PBL and to the aircraft leg, random error related to disjunct measurement 9 (less than 1%). For reactive tracers which require divergence corrections to yield the surface 10 flux, uncertainty in PBL estimation (interpolated from saw-tooth soundings) is +/-100 m 11 which translates to 10% of up to 30% of the divergence correction, thus  $\sim$ 3%. We estimate the 12 total accuracy for the reported surface fluxes to be 20% for calibrated compounds and 40%

13 for other compounds.

14

15 The calibrated concentration data filtered for interferences (e.g. a biomass burning episode;

16 see supplementary video) were used with corrected vertical wind speed data to derive

17 covariance functions for each eligible stretch. The segments to be integrated (see example in

18 Figure 9) were selected based on constant roll angle of the aircraft between turns, and on

- 19 consistency of altitude, excluding maneuvers with significant altitude changes such as
- 20 soundings.





23 large changes in altitude such as sawtooth soundings.

1 Of segments prescreened for validity, only those with a clear peak in the covariance function 2 (Figure 10a) within the lag-time window of 5 s were accepted. The segment data were 3 subsequently examined for similarities in the variances of concentration and vertical wind 4 speed (Figure 10b) together with the time series of wavelet frequency cross-spectra (Figure 5 10c) within the cone of influence (COI) which is the region where the end of the power 6 spectrum may be impacted by edge effects. Rather than excluding the part falling outside the 7 COI, each of the ends of the time series are padded with zeros and excluded afterward, so the 8 results are not affected by the COI. By comparing the wavelet cross-spectra with average 9 cross-variance (Figure 10d) it is possible to determine where in the wavelet period (inverse of 10 frequency) the flux contribution occurs, enabling for example the visualization of the updrafts 11 associated with high emissions.



12

Figure 10. Flux quality control for an example flight leg (the segment from Figure 2). a) Clear peak in
the covariance function; b) variances of w and isoprene; c) time-resolved wavelet cross spectra; and
d) average cross-variance.





Figure 11. Spectral quality control of the example flight segment. Left panel: Comparison of cross
spectra for isoprene flux and heat flux using the FFT and CWT methods independently; Right panel:
Cumulative cross spectra for isoprene flux and heat flux using the FFT and CWT methods
independently.

7 Additional quality measures were the ratio of the FFT and CWT fluxes (Figure 12, upper 8 panel), which for isoprene were usually  $1 \pm 15\%$  for survey transect flights. Identical values 9 from the two methods were not expected as the FFT flux is affected by nonstationarities and 10 inhomogeneities in contrast to the CWT flux, but the generally good agreement adds 11 confidence to the results. Occasionally, a ratio higher than 1.15 was seen on short segments, 12 or over a nonhomogeneous transect, or when the fluxes were close to zero. In sporadic cases 13 when the fluxes were strongly non-stationary (characterized by the ratio higher than 1.3), the 14 FFT flux was tagged as rejected and the CWT flux was only accepted if all the other quality criteria were fulfilled. 15

16

17 The generally good quality of fluxes in CABERNET was due to a combination of factors such 18 as instrument sensitivities, response times, slow aircraft speeds and proximity to the source by 19 flying at relatively low altitudes and finally lack of spectral interferences (e.g. from 20 propellers). Figure 12 (lower panel) shows the application of flux divergence (only reactive 21 compounds such as isoprene) coefficients from racetrack profiling to derive the surface fluxes 22 from the aircraft fluxes. In the remainder of the manuscript when discussing fluxes, we focus 23 exclusively on the CWT fluxes due to the much higher spatial resolution of the flux and also 24 because of their higher accuracy in cases with inhomogeneity and non-stationarity. 25



Figure 12. Isoprene flux processing. Upper panel: determination of the FFT/CWT flux ratio; lower
panel: application of flux divergence coefficients (derived in racetrack profiles) to scale fluxes from
aircraft altitude to surface fluxes using aircraft altitude and PBL height.

### 7 2.6 Simultaneous ground measurements

8 Ground based measurements coinciding with aircraft passes in time and space were

9 performed at two sites: The 525-m tall Hearst-Argyle Tower in Walnut Grove, California

10 (WGC) located in the San Joaquin Delta region (38.2636, -121.4899, elevation 1 m) and the

11 23-m tall Tonzi Ranch Tower (TRT) (38.4308, -120.9656, elevation 177 m) located in the

12 relatively homogenous oak forest savannah between the Sierra Nevada foothills and the San

13 Joaquin Delta.

14

#### 15 **2.6.1 Walnut Grove Tower**

16 A PTR-MS was measuring vertical profiles of VOC concentrations at WGC as part of a

17 separate study and we flew by the tower on RF 2 and RF 4 to take advantage of the

- 18 opportunity to intercompare measurements. The vertical profile data from WGC also provides
- 19 a broader perspective on the diurnal cycle and vertical distribution of BVOCs over

20 California's Central Valley than can be obtained from the aircraft data which focused almost

21 exclusively on midday and a specific altitude.

1 Briefly, the setup at WGC featured a PTR-MS analyzing air from 5 different heights (10, 131, 2 262, 394 and 525 m) for 2 min at each level per 10 min measurement cycle. There were 24 3 m/z monitored at 0.1 s dwell time each. The measurement footprint of the Tower increases 4 with height and the top levels can pick up VOCs from the Central Valley's extensive 5 agricultural, industrial, wetland, dairy, biomass burning and other activities from as far as the 6 San Francisco Bay area hundreds of km away. The immediate vicinity of several km are mostly farmlands and wetlands with patchy biogenic sources constituted by mixed deciduous 7 8 trees and broadleaf trees such as California Laurel (Umbellularia californica) therefore being 9 only a small relative portion of the footprint at lower levels. The twin otter flew close to the 10 tower on RF2 and more closely on RF4 (13:18) at 513 m (during an initial climb before the 11 tooth sounding) coinciding with the sampling of the tower at the top level (525 m) for which 12 the intercomparison is shown in Chapter 4.1.5.

- 13
- 14 2.6.2 Tonzi Ranch Tower
- 15

Tonzi Ranch tower is part of the FLUXNET long-term flux measurement network (Baldocchi and Ma, 2013; Baldocchi et al., 2006). During CABERNET, BVOC fluxes were measured for the first time at this site using a compact relaxed eddy accumulation (REA) system custom built by NCAR and deployed at 23 m height to measure half-hourly flux data. The aircraft flew near the tower during RF2 and RF3. The closest flights which were compared passed right above the tower on June 9 at 11:41:15 at 280 m a.g.l., and on the returning leg on the same day at 13:33:19 at 410 m a.g.l. The intercomparison is discussed in Chapter 4.2.2.

## 24 2.7 Our modeling approaches

Modeling of BVOC emissions involves a framework including emission factors, short-term
and long-term emission algorithms and a canopy environment model, along with data bases of
landcover and meteorological driving variables (Figure 13). We explored use of the following
models and input databases to simulate isoprene emissions for California:
BEIGIS (California ARB Model) using the GAP landcover database for oaks, with Forest
Inventory Analysis (FIA, USGS product) species composition

1 2) MEGAN 2.0, landcover 2.1 (Guenther et al. 2006) based on WestGAP landcover database

2 and FIA

3 and

4 3) MEGAN 2.1, landcover 2.2 (Guenther et al. 2012) – based on the NLCD/FIA/plant

5 functional type (PFT) datasets. As this model provided the most currently advanced

6 landcover, this model was thoroughly explored, and it was then adapted and used by CARB

7 and UC Berkeley to evaluate statewide emissions estimates of isoprene in comparison to our

8 CABERNET measured fluxes.

9

10 These three model architectures are extremely similar because they all evolved from the same 11 roots. Differences between the model outputs occur mainly due to differences in the landcover 12 driving variables (plant species composition, leaf area index) and meteorological driving 13 variables (light, temperature). When comparing different models with observations, it is 14 important to first determine the effects of different input variables that are used and perform 15 extensive sensitivity studies. The resolution and evaluation of these driving variable 16 databases is particularly critical in the areas close to the mountains that typically have high 17 gradients of temperature and where meteorological stations may not be as densely spaced 18 compared to near the urban areas or where gradients in temperature are smaller. Since the 19 major isoprene source regions in California are predominantly oak savannas in the foothills 20 where temperature estimates are uncertain, this can lead to a major contribution to 21 uncertainties in isoprene emission estimates. 22 23 To evaluate the accuracy of the landcover used as the basis for the models' emission factor, 24 we used the 2km resolution measured flux data normalized for temperature and PAR

according to the Guenther et al. (2006) algorithm to create "observed" CABERNET airborne

26 basal emission factors (BEFs). To evaluate the meteorlogical driving variables, we compared

27 hourly temperature data simulated by the WRF 4x4 km model with available weather station

28 data along some of the CABERNET flight tracks.

Landcover Driving Variables (species composition, LAI)

Meteorological Driving Variables (light, temperature) Emission Model (Emission factors, Short-term and Long-term Emission Algorithms, Canopy Environment)

Figure 13. Simplified diagram showing the basic modeling process

2 3

1

4

5 **2.7.1 Models used** 

6

7 2.7.1.1 BEIGIS

8 The Biogenic Emission Inventory processing model (BEIGIS) (Scott and Benjamin, 2003) 9 was developed by CARB. BEIGIS uses California land use/land cover, leaf mass, and 10 emission rate databases with a geographic information system (GIS), is a regional model specific to California, and is spatially resolved at 1 km<sup>2</sup> and temporally at 1 hour. The initial 11 12 set of BEIGIS inputs includes GIS-based maps of land use and land cover types. They are 13 based on a USGS Gap Analysis Project (GAP) biodiversity database which covers natural 14 areas of California (Scott et al., 1993; Davis et al., 1995; Chung and Winer, 1999). The 15 database was generated from summer 1990 Landsat Thematic Mapper satellite images, 1990 16 high altitude color infrared imagery, vegetation maps based on historical field surveys, and 17 other miscellaneous vegetation maps and ground surveys. The urban and crop areas are not 18 represented by the GAP database and use independent maps. These maps are subsequently 19 used to assign mostly branch-scale emission factors which in the case of GAP covered areas 20 come from a compilation by Benjamin et al. (1996) and a specific leaf weight (to convert LAI 21 to biomass density) database (Nowak et al., 2000). The landscape emission factor layers are 22 subsequently formed and are used with environmental correction algorithms (Guenther et al., 1993; Harley et al., 1998) using hourly temperature and solar radiation datasets gridded at 4 23 24 km<sup>2</sup>. A canopy environment model is not used in BEIGIS, and it is assumed that the branch-25 scale emission factors account for shading and canopy environment effects. 26

1 The model has many similarities to the predecessor of the MEGAN model (Guenther et al., 2 1993, 1995) since it is using similarly derived emission factor maps (GAP/FIA, branch-scale 3 emission factors) and a similar framework for application of light and temperature algorithms, 4 except that the BEIGIS model was specifically optimized for California. This includes using 5 an 8-day LAI and phenology database, where specific phenology masks are applied to 6 deciduous trees and shrubs, grasses and herbaceous plants to turn on and off their emissions at 7 different times of year, while evergreen trees and some shrubs are assumed to have emissions 8 all year.

9

## 10 2.7.1.2 MEGAN 2.0

11 The Model of Emissions of Gases and Aerosols from Nature (MEGAN) v. 2.0 (Guenther et 12 al., 2006) was used in the initial stages of our study to plan CABERNET flight tracks and was 13 also tested in the early stages of measurement model comparisons using the observed 14 airborne BEFs. MEGAN is designed for both global and regional emission modeling and has global coverage with 1 km<sup>2</sup> spatial resolution. This version of MEGAN defined emission 15 16 factors as the net flux of a compound into the atmosphere which was intended to account for 17 losses of primary emissions on their way into the above canopy atmosphere. The model uses 18 an approach that divides the surface of each grid cell into different PFTs and non-vegetated 19 surface. The PFT approach enables the MEGAN canopy environment model to simulate 20 different light and temperature distributions for different canopy types (e.g., broadleaf trees 21 and needle trees). In addition, PFTs can have different LAI and leaf age seasonal patterns 22 (e.g., evergreen and deciduous). MEGAN2.0 accounts for regional variations using 23 geographically gridded databases of emission factors for each PFT. The standard MEGAN 24 global classification included 7 PFTs, but for regional modeling a classification scheme can 25 have any number of PFTs.

26

#### 27 2.7.1.3 MEGAN 2.1

28 The MEGAN version 2.1 model (Guenther et al., 2012) includes enhancements to MEGAN.

29 The main architecture of the model is very similar (see Figure 14) but there are several

- 30 significant differences in how emission factors are represented, deposition is accounted for
- 31 (relevant for species such as methanol but not isoprene), more generic PFTs are used for
- 32 global modeling, and most importantly a new land cover database (v.2.2) is included that was 34

derived by combining high resolution imagery (60 m, and 30 m) with species composition
data. The base MEGAN version 2.1 land cover v.2.2.includes more than 2000 ecoregions
which allows the emission factor for a PFT (e.g. temperate needleleaf trees) to differ in each
region. The MEGAN landcover product is further described in Sect. 2.7.2.1.

6 While the previous version of MEGAN (v2.0) defined emission factors as the net flux of a compound into the atmosphere, the MEGAN2.1 emission factor represents the net primary 7 8 emission that escapes into the atmosphere but is not the net flux because it does not include 9 the flux of chemicals from the above canopy atmosphere down into the canopy. Emission 10 factors based on scaled up leaf level emissions inherently exclude the deposition component. 11 In order to use above canopy flux measurements to establish emission factors, an estimate of 12 the deposition flux is added to the above canopy flux measurements to determine the 13 MEGAN2.1 emission factors. For isoprene this deposition flux estimate is equal to zero. 14



15

16 Figure 14. Schematic of MEGAN 2.1 model components and driving variables (taken from Guenther

18

<sup>17</sup> *et al., 2012)* 

1 2.7.1.4 CARB's MEGAN 2.1 adaptation

2	The MEGAN2.1 model as described in Section 2.7.1.3 is run at 2 km <sup>2</sup> resolution using
3	meteorology at 4 km <sup>2</sup> . This adapted version incorporates the advances in MEGAN2.1 into the
4	CARB's modeling infrastructure. This model most closely agreed with the measured fluxes
5	and was thus used for the final model – measurement comparison. This model is also
6	currently used for all BVOC emission modeling by CARB. The model fluxes for exact times
7	and footprints to simulate observed fluxes are calculated in the GIS environment as follows:
8	1) convert the grid cell emissions to grid cell fluxes
9	2) calculate the area weighted average flux (based on intersecting the grid with the flux
10	footprint)
11	3) convert the flux to an emission rate by multiplying by the ArcGIS area for each flux
12	footprint.
13	The flux footprint corresponding to each aircraft measurement is calculated as the half-width
14	of the Gaussian (see earlier Sect. 2.5.6), and the halfwidth area acounts for 90% of the flux
15	contribution. In order to account for the footprint's remaining emission contribution, 10% is
16	added on top of each simulated grid emission.
17	
18	2.7.2 Driving variables
19	When comparing BEIGIS with MEGAN2.0 it became obvious that the driving variables used
20	with the models are much more important for prediction accuracy than the different model

21 architectures. This is consistent with reports comparing different process-based models (e.g.

22 MEGAN vs other global emission models) which differ in the modeling framework but give

similar estimates when exactly the same input variables are used (Arneth et al., 2011).

24 2.7.2.1 Landcovers

25 The land cover used to drive the model has a critical influence on model performance because

26 it defines the type of vegetation or PFT, land fraction, and finally determines the emission

27 factor. Up-to-date land cover products should give more accurate results because the land

28 cover can change due to growing and senescing vegetation, fires, and land-use change or plant

29 species composition change. The airborne flux measurement-model comparison provides an

30 opportunity to identify any inaccuracies in landcover databases which can then be used to

31 improve them.

1 Land covers used by the models in this study are presented in Figure 15. The GAP database 2 (see Sect. 2.7.1.1) can be used to construct the spatial distribution of oak woodland areas 3 (Figure 15a), and these are extremely similar to the BEIGIS emission factors (Figure 15b) 4 which were based on GAP. While the global MEGAN2.0 landcover 2.1 (Figure 15c) was 5 also based on FIA and WestGAP datasets and interestingly showed almost identical EF means 6 for isoprene as BEIGIS isoprene EFs, the standard deviations were much different with EF distribution that were more smoothed out across many areas of California. The latest 7 8 MEGAN2.1 landcover 2.2 (Figure 15d) is a state-of-the-art product which showed the most 9 accurate match with airborne fluxes (see Sect. 5). This land cover is based on a high 10 resolution (60 m) PFT database using the CLM4 PFT scheme generated for the US for the 11 year 2008 and is available with the MEGAN2.1 input data (http://bai.acd.ucar.edu/MEGAN/) 12 (Guenther et al., 2012). The database was created by combining the National Land Cover 13 Dataset (NLCD, Homer et al., 2004) and the Cropland Data Layer (see 14 http://nassgeodata.gmu.edu/CropScape/), which are based on 30-m LANDSAT-TM satellite 15 data, with vegetation species composition data from the Forest Inventory and Analysis 16 (www.fia.fs.fed.us) and the soil database of the Natural Resources Conservation Services 17 (http://sdmdataaccess.nrcs.usda.gov/). The processing included adjusting the NLCD tree 18 cover estimates in urban areas to account for the substantial underestimation of the 19 LANDSAT-TM data (Duhl et al., 2012). This was accomplished using the regionally specific 20 adjustment factors for urban NLCD developed by Greenfield et al. (2009) using high 21 resolution imagery.



Figure 15. Example land covers available for models. a) GAP's oak woodlands, b) BEIGIS emission
factors (as dtiso+eiso) derived from the GAP/FIA database, c) MEGAN2.0 isoprene emission factors
derived from landcov2.1, and d) MEGAN2.1 isoprene emission factors obtained from the most recent
landcover2.2.

7 A CAIN database from the UC Davis repository (http://cain.ice.ucdavis.edu/caml) contains 8 exactly the same habitats as the GAP database but was independently derived. The CAIN 9 database augmented several datasets linked to the National Biological Information 10 Infrastructure (NBII) which was linked to the Fire Resource and Assessment Program 11 (FRAP). This database was also based on the FIA, and complements the GAP database, in 12 particular in southern CA. The northwest region of CA is more extensively represented by 13 GAP. Combination of the GAP and CAIN dataset therefore is useful in the context of BVOC 14 emission modeling in California. 15 16 Another data base that may be useful for representing vegetative areas of California but has

not been used as extensively is the "Existing Vegetation Data" product called CALVEG38

developed by USGS (http://www.fs.usda.gov/main/r5/landmanagement/gis). In addition there
 are multiple independent databases compiled as "vegetation collections data sets"( available
 at: http://daac.ornl.gov/cgi-bin/dataset\_lister.pl?p=21) and vegetation data sets belonging to
 NASA's CASA model (<u>http://geo.arc.nasa.gov/sge/casa/</u>) that could be further explored for
 use in BVOC modeling.

- 6
- 7 2.7.2.2 Temperature and radiation

8 Hourly temperature data were simulated by WRF at 4x4 km resolution. Based on comparison 9 (Figure 16) with weather station close to race-track profile in RF6 and RF7, we found that WRF spatial resolutions lower than  $8 \text{ km}^2$  can lead to temperature inaccuracies of more than 3 10 11 <sup>o</sup>C during peak periods. Similar conclusions were made by Yver et al. (2013). Another dataset 12 that has been explored for the use in modeling was the remote infrared sensor on the airplane 13 during the CABERNET flights (IRT Nad). However, this sensor was calibrated for the ocean 14 skin temperature and significantly overestimated ground temperature with variable offsets, 15 and therefore was not used in the analysis. For validation of WRF temperature data a 16 California meteorological model (CALMET) was used by CARB (J. Avise). Despite mostly 17 good agreement, areas were identified with large discrepancies. Since CALMET interpolates 18 in 2D the temperature surface from the available met stations, inaccuracies may be expected 19 in areas were stations are not densely represented. The approach which was found to be 20 optimal was use of the 4 x 4 km WRF model nudged by CALMET. This approach was used 21 to derive the temperature dataset applied here for comparison of emission factors and 22 emission rates. 23

24 Photosynthetically Active Radiation satellite datasets used for modeling were those recently

validated by Wang et al. (2012) and Guenther et al. (2012).



subecoregions of the 7<sup>th</sup> ecoregion (Central California Valley) in brown, 5<sup>th</sup> ecoregion (Sierra
Nevada) in green, and the 14<sup>th</sup> ecoregion (Mojave Basin and Range) in pink. Of the 48
subecoregions flown over during the CABERNET campaign, 29 subecoregions were of the
6<sup>th</sup> ecoregion which comprises most of the oak woodlands in California.

5

The primary distinguishing characteristic of the  $6^{th}$  ecoregion is its Mediterranean climate of 6 hot dry summers and cool moist winters, and associated vegetative cover comprising mainly 7 of isoprene emitting oak woodlands. The 6<sup>th</sup> ecoregion also includes non/low- isoprene 8 9 emitting chaparral and grasslands which occur in some lower elevations and patches of pine 10 are found at the higher elevations. Surrounding the lower and flatter Central California Valley (7<sup>th</sup> ecoregion), most of the region consists of open low mountains or foothills, but there are 11 some areas of irregular plains and some narrow valleys. Large areas in the 7<sup>th</sup> ecoregion are 12 used as ranch lands and grazed by domestic livestock. Relatively little land in this ecoregion 13 14 has been cultivated, although some valleys are major agricultural centers such as the Salinas 15 area or the wine vineyard centers of Napa and Sonoma. Natural vegetation includes coast live 16 oak woodlands, Coulter pine, unique native stands of Monterey pine in the west, and blue 17 oak, black oak, and grey pine woodlands to the east (EPA, 2014). 18

- 10
- 19



#### Legend

5d Northern Sierra Mid-Montane Forests 6r East Bay Hills/Western Diablo Range 80j Semiarid Uplands Isop flux available 5e Northern Sierra Lower Montane Forests 6s San Francisco Peninsula 81a Western Sonoran Mountains Isop flux anavailable 6t Bay Terraces/Lower Santa Clara Valley 5f Northeastern Sierra Mixed Conifer-Pine Forests 81b Western Sonoran Mountain Woodland and Shrubland ca\_eco\_l4 5g Central Sierra Mid-Montane Forests 6u Livermore Hills and Valleys 81c Western Sonoran Basins 5h Central Sierra Lower Montane Forests 6v Upper Santa Clara Vallev 81d Sand Hills/Sand Dunes CA\_Ecoregion\_level4 L4 KEY 5i Eastern Sierra Great Basin Slopes 6w Monterey Bay Plains and Terraces 81e Upper Coachella Valley and Hills 13aa Sierra Nevada-Influenced Semiarid Hills and Basins 5j Eastern Sierra Mojavean Slopes 6x Leeward Hills/Western Diablo Range 81f Imperial/Lower Coachella Valleys 13ab Sierra Valley 5k Southern Sierra Subalpine Forests 6y Gabilan Range 81g Lower Colorado/Gila River Valleys 5 Southern Sierra Upper Montane Forests 6z Diablo Range 81h Sonoran Playas 13ac Upper Owens Valley 5m Southern Sierra Mid-Montane Forests 78a Rogue/Illinois/Scott Valleys 81i Central Sonoran/Colorado Desert Mountains 13ad Mono-Adobe Valleys 5n Southern Sierra Lower Montane Forest and Woodland 78d Serpentine Siskiyous 81i Central Sonoran/Colorado Desert Basins 13ae Bishop Volcanic Tableland 13h Lahontan and Tonopah Playas 50 Tehachapi Mountains 78e Inland Siskiyous 81k Arizona Upland/Eastern Sonoran Mountains 85a Santa Barbara Coastal Plain and Terraces 6a Tuscan Flows 78g Klamath River Ridges 13u Tonopah Basin = 13v Tonopah Sagebrush Foothills 6aa Eastern Hills 78h Border High-Siskiyous 85b Oxnard Plain and Vallevs 6ab Pleasant Valley/Kettleman Plain 78i Western Klamath Low Elevation Forests 85c Venturan-Angeleno Coastal Hills 13x Sierra Nevada-Influenced Ranges 13y Sierra Nevada-Influenced High Elevation Mountains 6ac Temblor Range/Elk Hills 78j Western Klamath Montane Forests 85d Los Angeles Plain 6ad Grapevine Transition 78k Eastern Klamath Low Elevation Forests 85e Diegan Coastal Terraces 14a Eastern Moiave Basins 6ae Tehachapi Foothills 78I Eastern Klamath Montane Forests 85f Diegan Coastal Hills and Valleys 14b Eastern Mojave Low Ranges and Arid Footslopes 85g Diegan Western Granitic Foothills 6af Salinas Valley 78m Marble/Salmon Mountains-Trinity Alps 14c Eastern Mojave Mountain Woodland and Shrubland 85h Morena/Boundary Mountain Chaparral 6ag Northern Santa Lucia Range 78n Scott Mountains 14e Arid Valleys and Canyonlands 6ah Santa Lucia Coastal Forest and Woodland 85i Northern Channel Islands 14f Mojave Playas 780 Klamath Subalpine 6ai Interior Santa Lucia Range 78p Duzel Rock 85i Southern Channel Islands 14g Amargosa Desert 6ai Southern Santa Lucia Range 78g Outer North Coast Ranges 85k Inland Valleys 14h Death Valley/Mojave Central Trough 6ak Paso Robles Hills and Valleys 78r High North Coast Ranges 851 Inland Hills 14i Mesquite Flat/Badwater Basin 14i Western Mojave Basins 6al Salinas-Cholame Hills 7a Northern Terraces 85m Santa Ana Mountains 7b North Valley Alluvium 14k Western Mojave Low Ranges and Arid Footslopes 6am Cuyama Valley 8a Western Transverse Range Lower Montane Shrub and Woodland 6an Carrizo Plain 7c Butte Sink/Sutter and Colusa Basins 8b Western Transverse Range Montane Forest 14 Western Mojave Mountain Woodland and Shrubland 6ao Caliente Range 7d Southern Hardpan Terraces 8c Arid Montane Slopes 14m Western Mojave High Elevation Mountains 6ap Solomon-Purisima-Santa Ynez Hills 7e Sacramento/Feather Riverine Alluvium 8d Southern California Subalpine/Alpine 14n Mojave Lava Fields 6ag Santa Maria/Santa Ynez Vallevs 7f Sutter Buttes 8e Southern California Lower Montane Shrub and Woodland 140 Mojave Sand Dunes 6ar Upper Sacramento River Alluvium 7g Yolo Alluvial Fans 8f Southern California Montane Conifer Forest 1a Coastal Lowlands 6b Northern Sierran Foothills 7h Yolo/American Basin 8g Northern Transverse Range 1i Northern Franciscan Redwood Forest 6c Southern Sierran Foothills 7j Delta 9g Klamath/Goose Lake Basins 1 King Range/Mattole Basin 6d Camanche Terraces 7k Lodi Alluvium 9h Fremont Pine/Fir Forest 1k Coastal Franciscan Redwood Forest 11 Fort Bragg/Fort Ross Terraces 6e Tehama Terraces 71 Stockton Basin 9i Southern Cascades Slope 6f Foothill Ridges and Valleys 9j Klamath Juniper Woodland/Devils Garden 7m San Joaquin Basin 1m Point Reyes/Farallon Islands 9k Shasta Valley 6g North Coast Range Eastern Slopes 7n Manteca/Merced Alluvium 1n Santa Cruz Mountains 6h Western Valley Foothills/Dunnigan Hills 70 Westside Alluvial Fans and Terraces 91 Pit River Valleys 10 San Mateo Coastal Hills 7p Granitic Alluvial Fans and Terraces 9m Warner Mountains 6 Clear Lake Hills and Vallevs 4d Cascade Subalpine/Alpine 6j Mayacmas Mountains 7q Panoche and Cantua Fans and Basins 9n High Elevation Warner Mountains 4e High Southern Cascades Montane Forest 6k Napa-Sonoma-Lake Volcanic Highlands 7r Tulare Basin/Fresno Slough 4f Low Southern Cascades Mixed Conifer Forest 90 Likely Tableland 6 Napa-Sonoma-Russian River Valleys 7s Kern Terraces 9p Modoc/Lassen Juniper-Shrub Hills and Mountains 4g California Cascades Eastside Conifer Forest 7t South Valley Alluvium 4h Southern Cascades Foothills 6m Sonoma-Mendocino Mixed Forest 99 Adin/Horsehead Mountains Forest and Woodland 5a Sierran Alpine 6n Bodega Coastal Hills 7u Antelope Plain 9r Adin/Dixie Low Hills 60 Marin Hills 9s Modoc Lava Flows and Buttes 7v. Southern Clavey Basins 5b Northern Sierra Subalpine Forests 6p Bay Flats 80d Pluvial Lake Basins 9t Old Cascades 5c Northern Sierra Upper Montane Forests 6 Suisun Terraces and Low Hills 80g High Lava Plains

1

- 2 Figure 17. USEPA Ecoregion map with overlaid CABERNET flight tracks covering most of code 6 ecoregions The shapefiles used to produce the map in
- 3 ArcGIS were downloaded from ftp://ftp.epa.gov/wed/ecoregions/ca/.

Experimental investigation of surface and entrainment fluxes, 3 2 OH densities and Dahmköhler numbers (published in Karl et al., 2013) 3 4 **3.1** Flux profiles 5 6 7 3.1.1 Heat and isoprene fluxes 8 Figure 18 depicts the average of all measured kinematic heat fluxes ( $\langle w'\theta' \rangle$  and  $\langle q'w' \rangle$ ) as 9 10 well as isoprene fluxes for RF6. We generally define the height of the PBL as minimum of the 11  $\langle w' \theta \rangle$  flux at the inversion. The  $\langle w'q \rangle$  flux generally decreased at the inversion layer. 12 The <w'q'> flux profile suggests a relatively wet PBL, when compared to the free 13 troposphere (FT), and follows a very similar flux profile compared to idealized LES runs (e.g. 14 Patton et al., 2004).



15

16 Figure 18. Kinematic heat ( $\langle w'\theta' \rangle$  - red,  $\langle w'q' \rangle$  - blue) and isoprene ( $\langle w'c' \rangle$  - green) fluxes for

- 17 RF6. Error bars (Isigma) indicate the variability of all flux profiles flown during RF6
- 18
- 19 Reducing the buoyancy flux equation to,

44

$$1 \qquad \frac{\partial \langle \Theta \rangle}{\partial t} = -\frac{\partial \langle w'\Theta' \rangle}{\partial z} \tag{7}$$

2 we can test whether the flux divergence of the buoyancy flux is comparable to the heating rate 3 of the planetary boundary layer (PBL) by assuming a linear flux profile ( $\langle w'\theta' \rangle = a - b z$ ). 4 This leads to a simple expression where the heating rate is equal to the linearized PBL slope of  $\langle w'\theta' \rangle$  in Figure 18. From Figure 18 we obtain b=0.6±0.1 K. The observed temperature 5 change  $\frac{\partial \langle \Theta \rangle}{\partial t}$  was 0.4±0.2 K. Isoprene fluxes linearly decrease throughout the PBL owing to 6 its short atmospheric lifetime. From the slope we can calculate the lifetime, OH density and 7 8 Damkoehler number, which is defined as the ratio of the mixing timescale to the chemical 9 timescale. Table 2 summarizes these quantities for RF3, RF6 and RF7. Calculated 10 entrainment velocities were 5.5, 9.6 and 1.4 cm/s for RF3, RF6 and RF7 respectively.

11

12 Table 2. Summary of PBL height,  $w^*$ ,  $\tau$ , OH densitiy, Damkoehler number, isoprene fluxes and 13 entrainment velocity for RF3, R6 and RF7.

	PBL height	w	Т	OH	Da	$F(z/z_i)$	Ve		
	[m]	[m/s]	[s]	[molecules/cm <sup>3</sup> ]		[ppbv m/s]	[cm/s]		
RF3	1000±100					-(0.32 $\pm$ 0.08) * $z/z_i$ +	5.5±1.0		
		2.0±0.3	2300±50	(4.4±0.3)E+06	0.2	(0.33±0.08)			
RF6	2000±100					-(0.31±0.05) *	9.6±1.5		
		1.7±0.3	1520±50	(6.6±0.3)E+06	0.9	$z/z_i$ +(0.28±0.05)			
RF7	1200±100					$-(0.21\pm0.03) * z/z_i$	1.4±0.3		
		2.0±0.3	1400±50	(7.2±0.3)E+06	0.5	$+(0.22\pm0.03)$			

14

15

16 In-situ OH observations still remain challenging (Mauldin et al., 2012, Williams et al., 2011) 17 and recent debate about the magnitude of the oxidizing capacity of the atmosphere in isoprene 18 dominated environments has fueled speculation about unknown OH recycling mechanisms 19 (Lelieveld et al., 2008, Hofzumahaus et al., 2009). Vilà-Guerau de Arellano et al. (2011) 20 performed a sensitivity analysis illustrating the interrelationship between physical and 21 chemical constraints on OH loss and production rates in the PBL; they suggest that vertical 22 transport terms need to be considered when interpreting field datasets of isoprene 23 concentrations. Our measurements demonstrate that the isoprene flux divergence follows a 24 linear dependency as has been suggested previously by LES modeling studies (e.g. Vinuesa, 45

1 2003). In addition, we show that isoprene flux divergence measurements can be successfully 2 used to assess the average oxidizing power of the PBL without any assumptions. We estimate 3 OH densities in the range of  $4 - 7 \ge 10^6$  molecules / cm<sup>3</sup>. Damköhler numbers up to 0.9 4 suggest that the chemical reactivity of isoprene is comparable to its turnaround time in the 5 PBL. We further investigate the influence on the modified diffusion coefficient for a 1<sup>st</sup> order 6 PBL parameterization scheme. 7

8 3.1.1.1 Comparison between measurements and PBL scheme

9 Figure 19 compares measured  $d\theta_v / dz$  profiles with a calculated profile based on one

10 commonly used PBL scheme (Yonsei University YSU: Hong et al., 2006). The YSU scheme

11 is based on earlier 1<sup>st</sup> order non-local diffusion schemes (e.g. Troen and Mahrt et al. 1986),

12 which have been extensively used in mesoscale models. Overall the model is in reasonably

13 good agreement with CABERNET measurements.



15 Figure 19. Measured (black) and simulated (blue)  $d\theta_v / dz$  profiles. The solid lines represent RF6 and 16 the dashed lines RF7.

17

14

18 We normalize data shown in Figure 19 by defining a local diffusion coefficient according to:

19

20 
$$K \coloneqq -\frac{\langle w'\theta_v' \rangle}{\partial \theta_{v/\partial z}}$$
(8)

21 Figure 20 depicts K plotted as a function of PBL height for RF6 and RF7.

22 For a reactive species, such as isoprene, the diffusion coefficient has to be modified.



Figure 20. Local diffusion coefficient plotted vs z/zi, where zi is the PBL height. Blue solid lines
represent measured diffusion coefficient for RF6 and RF7, cyan dashed lines are the corresponding
modeled diffusion coefficients.

1

6 Following Kristensen et al. (1997) and Hamba (1993) we adopt the following expression for

- 7 a first order loss:
- 8  $K_{reactive} = \frac{K}{1+Da}$  (9)
- 9 Figure 21 illustrates the effect of chemically reactive species, which leads to a decrease of the
- 10 local diffusion coefficient.



12 Figure 21. Normalized diffusion coefficient for isoprene for RF6 (blue) and RF7 (red). Bars indicate 1

13  $\sigma$ . The solid cyan and magenta lines represent the diffusion coefficient for a non-reactive species for

1 RF6 and RF7 respectively. The dashed blue (RF6) and red (RF7) line depict the diffusion coefficient

- 2 corrected by the Damköhler number.
- 3

4 The local diffusion coefficient based on flux observations is smaller for isoprene than for a 5 conserved tracer. Correction according to eq. 9 improves the discrepancy. As a consequence 6 the rate of the local concentration decrease for a reactive species is smaller than for a 7 conserved species. Kristensen et al. (1997) postulated that, in the surface layer, the error of an 8 estimated flux based on a non-corrected diffusion coefficient should be equal to the 9 Damköhler ratio. Based on these results we discuss the impact on bottom-up, top-down 10 diffusion functions (e.g. Moeng et al., 1994; Patton et al., 2003) that have been used for mixed 11 layer gradient and mixed layer variance methods to estimate fluxes (Greenberg et al., 1999; 12 Spirig et al., 2004; Karl et al., 2007). Fluxes estimated based on the mixed layer gradient 13 method rely on inverting mixed layer concentration measurements by splitting the 14 contribution into a bottom up (BU) and top down (TD) diffusion process. Parameterization of 15 BU-TU diffusion functions are typically based on idealized LES model results (e.g. Moeng et 16 al., 1994; Patton et al., 2004; Vinuesa et al., 2003). Here the correction for the mean tracer 17 inversion is assumed to be proportional to the Da number (eq. 6). The isoprene concentration gradient (dc/dt) in the mixed layer was  $2.4 \cdot 10^{-4}$  ppbv/m and  $2.8 \cdot 10^{-4}$  ppbv/m for RF 6 and RF 18 19 7 respectively. Based on the measured flux divergence we calculate a theoretical concentration gradient of  $1.4 \cdot 10^{-4}$  ppbv/m and  $1.75 \cdot 10^{-4}$  ppbv/m, thus 37% and 41% lower 20 21 than measured. After correction for the effect of chemical reactivity the difference between 22 modeled to measured dc/dt improves to 18% higher and 8% lower for RF6 and RF7 23 respectively. As a conservative limit, isoprene fluxes in the Amazon basin obtained by the 24 MLG method (Karl et al. 2007) have previously been corrected by about 14%. Taking estimated OH densities of  $1.2 \cdot 10^6$  -  $5 \cdot 10^6$  molecules/cm<sup>3</sup> and w\* of 2 m/s the Da number was 25 26 on the order of 0.08-0.19 during the TROFFEE Amazon experiment (Karl et al., 2007). Based 27 on measurements presented here we conclude that a simple first order correction based on the 28 Da number (eq. 7) can be used to correct isoprene fluxes obtained by the MLG method 29 reasonably well. 30 The mixed layer variance method is generally less susceptible to reactive losses. For example

- 31 corrections for the variance budget suggested by Vinuesa et al. (2003) would imply a bias of
- 32 20% and 9% for RF6 and RF7 respectively. A larger influence on the variance of a species
  - 48
1 can originate from surface heterogeneity. For example, Patton et al. 2005 have investigated 2 the impact of heterogeneous surface characteristics on the variance. They found changes of up 3 to a factor of 2 for the streamwise velocity variance. Here the variance of isoprene could have 4 to some extent been impacted by surface heterogeneity during RF7. For conditions described 5 in Table 1 the measured standard deviation for isoprene in the ML was about 41 % higher for 6 RF7 than what would have been calculated based on MLV functions, which were developed 7 for homogeneous conditions. During RF6 the measured standard deviation was on average 8 within 10% of the calculated standard deviation based on MLV functions. 9 This dataset suggests that the MLV method is more susceptible to experimental uncertainties 10 over complex and heterogenous terrain compared to the MLG method. This has also been 11 tentatively suggested by Patton et al. (2005). More modeling and experimental evidence 12 however is needed to fully constrain uncertainties associated with BU-TD parameterizations 13 under these conditions. 14

## 15 **3.2 Conclusion**

16 We present novel eddy covariance measurements of isoprene during the CABERNET

17 experiment. Vertically resolved measurements suggest that first order chemistry can describe

18 the decrease of isoprene fluxes throughout the PBL under typical atmospheric conditions.

19 Experimentally determined Dahmköhler numbers were in the range of 0.3 to 0.9.

20 Concentration gradients throughout the PBL decrease as a result of chemical reactions leading

21 to locally smaller concentration changes due to chemistry. Modification of turbulent diffusion

22 coefficients have been proposed according to theoretical considerations developed for the

23 surface layer (Kristensen et al. 1997). This improves the measurement to model comparison

for a 1<sup>st</sup> order non-local diffusion scheme. It is demonstrated that measurements of isoprene

25 flux divergence can be used to study entrainment processes over land. Typical entrainment

velocities observed during 3 research missions were 5.5, 9.6 and 1.4 cm/s respectively. We

27 propose that future airborne eddy covariance measurements of biogenic volatile organic

28 compounds can be used to characterize heterogeneous surface emissions using wavelet

29 decomposition and help develop a more robust landcover representation of reactive trace gas

30 fluxes. In addition we demonstrate that flux divergence measurements can be used to provide

31 estimates of OH in the planetary boundary layer. Theses flux measurements can therefore 49 provide a new way to determine average OH densities allowing to investigate potential OH
 recycling mechanisms which have been proposed to occur during isoprene oxidation.

3

# 4 **4** Airborne flux measurements of Biogenic Volatile Organic

5 **Compounds over California** (In review, Misztal et al., 2014)

6

## 7 4.1 Observed Concentrations of BVOC from PTR-MS

8 The spatial distributions of concentrations for VOC measured on most research flights are
9 shown in Figure 22. We show and discuss in this section the individual compounds measured
10 in CABERNET in terms of their concentrations.

11

## 12 **4.1.1 Isoprene**

Isoprene concentrations were low, typically less than 50 ppt (0.05 mg m<sup>-2</sup> h<sup>-1</sup> in fluxes) in the 13 14 Central Valley over agricultural terrains and over urban areas but were very high over the oak 15 woodlands which cover approximately 7% of California, and were the focus of the 16 CABERNET campaign flight plans. In general, observed isoprene concentrations over oak 17 woodlands ranged from less than 1 ppb on cool days up to several ppb on warmer flights. A 18 maximum of 8 ppb was observed on the hottest day. The aircraft also saw marked increases of 19 isoprene near some highways with eucalyptus trees planted alongside. Although no study of 20 regional scale emissions of VOC in California was previously conducted, the pattern of 21 concentrations observed during CABERNET is consistent with an expected pattern based on 22 extrapolation of earlier studies from enclosures of dominant plant species of California which 23 suggested oaks (mostly blue oaks), and to some degree eucalyptus trees, to be likely the most 24 important isoprene emitters in California (e.g. Karlik and M Winer, 2001). The broad range of 25 temperatures encountered in different flights (mean range 21 - 33 °C) was responsible for 26 quantitative differences in concentrations over the overlapping segments. The actual 27 concentration at the surface is expected to be significantly higher than observed at aircraft 28 height, as is shown to be the case when flying near the tall tower at Walnut Grove where the 29 top levels (394 and 525 m) saw very tiny concentration of isoprene consistent with the 50

concentrations seen by aircraft although the lowest tower levels (10 and 131 m) saw much
 higher concentrations (Figure 23b). However, the areas with significant biogenic emissions of
 isoprene covered a relatively small fetch within the footprint of the Walnut Grove tower.

5

### 6 4.1.2 Monoterpenes

7 Measurements of monoterpenes from aircraft are subject to several challenges which include 8 1) relatively small source strength, for example,  $\sim 10\%$  relative to isoprene measured over 9 coniferous regions; 2) relatively lower PTR-MS sensitivity compared to lighter compounds 10 when using a quadrupole MS; 3) relatively shorter atmospheric lifetimes for some 11 monoterpenes. The majority of the CABERNET aircraft tracks focused on isoprene emitters 12 (e.g. oak woodlands) and not monoterpene emitters (e.g. coniferous forests), so the 13 monoterpene signals were small and therefore we have not attempted to derive fluxes. 14 However, averaging concentration signals to a 0.5 km resolution along the flight path was 15 sufficient to decrease detection limits for monoterpenes to a few ppt and to demonstrate the 16 presence of emissions from the densely forested areas, for instance, on a track towards 17 Blodgett forest and on parts of the mixed conifer habitats along Coastal Ranges. Very high 18 concentrations of monoterpenes exceeding 300 ppt were found in the GC cartridges on the 19 flight legs passing near the Mojave Desert scrublands but m/z 81 and 137 were not included in 20 ions measured by the PTR-MS on that flight. 21

### 22 **4.1.3 Other VOCs**

Although we focus on isoprenoids, the aircraft PTR-MS also measured concentrations (and fluxes) of other compounds with non-biogenic or partially biogenic sources such as dairies (methanol), isoprene photochemistry (MVK+MACR, hydroxyacetone), MBO to exclude interferences with isoprene, and sporadically other compounds such as acetaldehyde or aromatics. The data for these compounds are available and are expected to be reported in future publications.



1 b)





. .



1 c)



1 d)



2



5

## 6 4.1.4 Inter-comparison of concentrations from PTR-MS and GC-MS

7 Measured concentrations of isoprene by GC-MS from cartridge samples collected at constant

8 flow rate for 8 minutes during the flights generally agreed well with PTR-MS measurements

- 9 averaged for the same periods, but there were occasional outliers most probably caused by
- 10 cartridge sampling or analysis issues. The comparisons for each flight are presented in
- 11 Appendix B. Linear fits (excluding tubes which were found leaking or not sampled) ranged
- 12 from R<sup>2</sup> 0.79 for RF 1 (which was the flight with coldest weather and consequently lowest 55

isoprene concentrations) to 0.98 for RF4, and was typically around R<sup>2</sup> 0.9. The slope of the
comparison ranged from 0.9 to 1.15 so within the combined measurement uncertainties
(10+10%). The analysis of the cartridges helped also in the exclusion of potential
interferences at measured *m/z*.

5

### 6 4.1.5 Comparison with Walnut Grove Tower

7 WGC monitored VOC concentrations by a PTR-MS at 5 different heights (10, 131, 262, 394 8 and 525 m). The twin otter flew close to the tower on RF2 and RF4 (13:18). The ground-9 airborne comparison was focused on methanol, isoprene, and MVK+MAC. Overall, the 10 comparison for methanol suggested agreement within 30%. However, looking at simultaneous 11 fine resolution data from the two PTR-MS instruments (Figure 23a), a dip in methanol 12 concentration was seen consistently by both the aircraft and the tower when the plane was 13 closest to the tower's top level, with excellent measurement agreement  $(11.6\pm1.16 \text{ ppbv seen})$ 14 by the tower at 525 m vs 11.9±1.19 ppbv measured by the aircraft at 513 m). The variability 15 of the methanol concentration over a five minute segment adjacent to the tower was within 16 several ppby, giving insight into spatial variability of methanol at that time and altitude. The 17 WGC region is mostly agricultural with a variety of sparsely distributed trees. The 18 measurement during the aircraft pass at 13:18 showed very little isoprene (below 50 ppt) at 19 the top level of the tower (as mentioned in 3.1.1, and Supplementary Figure 23b) even though 20 concentrations close to 1 ppb were observed at the 10 m level. The agreement for 21 MVK+MAC (0.18 ±0.02 ppbv aircraft vs 0.20±0.02 ppbv 525 m tower) was also good. 22







2 Figure 23. (a) Intercomparison of concentrations at Walnut Grove tower coinciding with top level of

3 the tower. The aircraft altitude was 510 m. The sampled heights were switched every 2 min, giving 3-4

4 measurement cycles per height represented by the circles. In black line denoted are the 10 Hz

5 concentration data from aircraft. Note that it is typical for the correct background subtraction on the

6 high frequency data which are close to zero to lead to some negative values due to the superposition

7 from the Poisson noise (symmetrical around the mean value) which cancels out after averaging (b)

8 *Vertical profile for concentration of isoprene shows that at the aircraft altitude (white line) and* 

9 position (aircraft symbol) only small isoprene concentrations were observed later in the day and at the

lower heights of the tower the concentrations of isoprene were high, demonstrating complementary
 value from combined tower-aircraft measurements.

12

1

13

## 14 **4.2 Observed fluxes**

15 Isoprene and methanol showed the strongest fluxes of all measured compounds. In this paper

16 we focus on reporting isoprene surface fluxes.

### 1 4.2.1 Isoprene fluxes

2 The observed surface emission rates of isoprene over oak woodlands ranged from around 1 to 15 mg m<sup>-2</sup> h<sup>-1</sup>. The measured isoprene flux distribution shown in Figure 7 (CWT fluxes, 2 km 3 resolution) visually confirms earlier predictions that isoprene emissions are almost 4 5 exclusively produced by oak with a limited contribution from eucalyptus trees. For example, 6 when entering the Sierra Nevada foothill oak band isoprene emissions rose remarkably above the low background in the Central Valley. Karlik and McKay (2002) used an isoprene 7 emission factor from branch enclosure for blue oak of 27  $\mu$ g g<sup>-1</sup> h<sup>-1</sup>, and leaf areas and weights 8 9 from 14 blue oak trees from Sierra Nevada to estimate a leaf-level emission factor of  $\sim 8 \text{ mg}$  $m^{-2}(leaf) h^{-1}$ , corresponding to a landscape emission factor of ~4 mg m<sup>-2</sup>(land) h<sup>-1</sup> for a setting 10 11 were oaks occupied half of the land surface area. In CABERNET the airborne emission factors for isoprene over oak woodlands varied from less than 1 to  $\sim 10 \text{ mg m}^{-2} \text{ h}^{-1}$  with the 12 average EF comprising all the flights over areas with oak presence (>=20% coverage of oak 13 species according to GAP database) of 1.8 mg m<sup>-2</sup> h<sup>-1</sup>. However, the woodlands varied in 14 species homogeneity, and more significantly, in the fraction (i.e., sparseness and patchiness) 15 16 of tree coverage. It is necessary to emphasize that while the LAI of oak covered land surfaces has a relatively small range, about 3 to  $6 \text{ m}^2 \text{ m}^{-2}$ , the fraction of the land surface covered by 17 oaks can range from < 0.1 to 1. For example, Karlik and McKay (2002) using a precise 18 19 method of calculating the areas of leaves from 14 trees divided by the areas of their crowns, measured an LAI of 4.3 m<sup>2</sup> m<sup>-2</sup> for oak crown areas but the oaks only covered 42% of the land 20 surface resulting in an area average LAI of 1.8 m<sup>2</sup> m<sup>-2</sup>. For the more sparse terrains the LAI 21 22 can often be lower than  $1 \text{ m}^2 \text{ m}^{-2}$ . Compared with the forests with closed canopies, modeling 23 emissions from oak woodlands in California can be regarded as a specific case to which 24 assessment by airborne flux measurements are particularly applicable. Measured airborne 25 emissions reflect the true emissions from these California ecosystems of variable LAI ranging from less than 1 to about 5  $m^2 m^{-2}$ . 26

27

### 28 4.2.2 Comparison of isoprene fluxes at Tonzi Ranch Tower

29 The aircraft flew over the Tonzi Ranch Tower twice, allowing two snapshot comparisons

30 between the airborne CWT and ground based REA flux measurements. It is important to note

- 31 that the airborne CWT averages over ~0.5 minute (2 km), while the ground based REA
- 32 averages over 30 minutes, and that the footprints related to each measurement are necessarily
  - 59

1 quite different, likely do not have the same oak biomass density, and thus the comparison is 2 not expected to be perfect. In the first instance, the half-hourly REA flux was in excellent 3 agreement with the 2-km average wavelet surface flux over the tower (i.e. 0.12 mg m<sup>-2</sup>  $h^{-1}$ REA vs 0.12 mg m<sup>-2</sup> h<sup>-1</sup> aircraft) while on the returning flight the ground based flux was 1/34 5 of the aircraft flux (i.e. 0.26 mg m<sup>-2</sup> h<sup>-1</sup> REA vs 0.87 mg m<sup>-2</sup> h<sup>-1</sup>). Interestingly, the next halfhour REA flux was  $0.96 \text{ mg m}^{-2} \text{ h}^{-1}$ , much closer to the aircraft value. This may be due to a 6 shift in wind direction and variability in oak biomass density around the tower but it should 7 8 also be noted that the uncertainty in a single REA flux measurement is high and individual 9 values are typically averaged to improve accuracy. These comparisons obviously suffer from 10 significant uncertainties due to different footprints at different altitudes, different temporal 11 coverage, and even temperature/PAR homogeneities. Nevertheless, the comparison provides 12 insight about the variability's in measurements at different scales, confirms observations at 13 these scales are in a similar range, and indicates the complementing power of having both 14 airborne and tower measurements. A larger period of overlap in a future campaign is needed 15 for gaining better statistics on such comparisons.

16

## 17 4.3 Conclusions

18 We successfully made airborne eddy covariance flux measurements and mapped out 19 horizontally varying source distributions of isoprene emissions for the dominant oak emitting 20 ecosystems in California. The extensive oak woodlands in California are the most important 21 regional source of isoprene which may be particularly relevant for air quality near heavily 22 polluted regions of Central Valley. We observed high concentrations (up to 8 ppbv) and high surface emissions of isoprene ranging from several to more than ten mg  $m^{-2} h^{-1}$  from the oak 23 24 woodlands in the foothills of the Sierra Nevada and Coastal Ranges. Consistent with other 25 studies we show that in the Central Valley isoprene emissions are typically undetectably small 26 at aircraft level except for the areas of Eucalyptus trees planted near the highways. The 27 temperature ranges in California cause changes in the isoprene emissions from relatively low 28 to extremely high due to their strong temperature sensitivity. The ability of CWT for 29 calculating fluxes at high spatial resolution (e.g. 2 km averaging) provides an optimal data set 30 to compare BEFs from measurements with models. The data from this study have been used

# 4 5 Constraining isoprene emission factors from oak woodlands 5 over California.

6

## 7 5.1 CABERNET BEFs vs Landcover BEFs

8

## 9 5.1.1 Comparison of isoprene emission factors to MEGAN landcover 2.2

10

### 11 5.1.1.1 Spatial 2-km representations

12 Isoprene emission model estimates are based on basal emission factors, landcover 13 characteristics, and the changes in emission associated with the environmental parameters 14 temperature and photosynthetically active radiation (PAR). The measured airborne surface 15 fluxes from the CABERNET campaign can be compared directly to the modeled fluxes as we 16 shown in section 6.1.4. However, it is also instructive to normalize the measured fluxes for 17 temperature and radiation using the Guenther et al. (2006) activity factor to derive airborne 18 basal emission factors (BEFs) which can then be directly compared to emission factors used 19 by various models (e.g. the MEGAN emission factors version 2.2). A spatial comparison of 20 measured versus modeled BEF's is shown in Figure 24. This comparison approach has some 21 uncertainty due to the temperature and PAR datasets and the algorithm used for calculating 22 the activity coefficient, which are much higher than the uncertainty of the measured surface 23 fluxes because of high sensitivity to errors in temperature and PAR, but it is useful because 24 we can then compare the measured BEF (essentially the measured emission potential for that 25 ecosystem) to the BEF used to drive the model. The qualitative comparison clearly shows a 26 remarkable correspondence between airborne BEFs derived at 2 km spatial resolution with 27 landcover BEFs at a similar resolution. The transition from the low emitting environment in 28 the Central Valley to highly emitting areas occupied by oak woodlands is clear (as shown

1 earlier in Figure 1). The most accurate matches can be seen, for example, in the central part of 2 the Sierra foothills and on the southern Coastal Range, to the south east of Monterey Bay and 3 in the oak savannas near San Francisco Bay (East Bay hills, and Diablo Valley). The BEFs 4 decline to zero over water bodies (e.g. San Francisco Bay, or lakes on the central-northern 5 Sierras). There are some areas which do not agree well, for example, in the north-east over the 6 Sierras which are dominated by conifers where airborne BEFs were somewhat lower than 7 predicted. On the other hand, there are areas where the aircraft observed higher BEFs (e.g. 8 beginning of the Central Coastal Range track going the south of the Monterey Bay in the 6ag 9 ecoregion) that are most likely related to inaccuracies in the oak landcover database.

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2 Figure 24. a) Comparison of airborne BEFs with MEGAN's landcover 2.2 for isoprene (airborne

BEFs are subject to additional uncertainties introduced from T, and PAR used in normalization).b)
magnified area denoted by the black rectangle in a).

3 California landscapes differ in plant distributions, plant functional types, and fractional 4 coverage of vegetation. It therefore makes sense to look at model-observation comparisons 5 separately for distinct ecological zones. We flew over 48 distinct subecoregions (level IV) 6 nested within 4 distinct larger ecoregions (level III). Ecoregion 6 comprises most of the oak woodlands in the Central California Foothills and Coastal Mountains, and we flew over 29 of 7 8 its 44 subecoregions (6a-6ar). Ecoregion 7 is characterized by very low isoprene emission 9 potential and includes most of the Central California Valley, and we flew over 14 of its 10 subecoregions. We also transected 2 subecoregions of the Sierra Nevada (code 5) and 3 of the 11 Mojave Basin and Range (code 14). As shown in Figure 25, the measured isoprene BEF's were much higher over the  $6^{th}$  and  $5^{th}$ 12 ecoregions than over the 7<sup>th</sup> and 14<sup>th</sup>. Within the 6<sup>th</sup> ecoregion's subecoregions there was 13 significant variability of BEFs ranging from near zero to above 10 mg m<sup>-2</sup> h<sup>-1</sup>. The BEFs from 14 landcover 2.2 in most cases fell in the same range as measured BEFs, but in some cases they 15 16 were higher. However, the landcover BEF means are the averages of the entire area of each 17 ecoregion while measured BEFs represent only the part of those areas where CABERNET 18 flights were done. This could be particularly important for the Sierra foothills where the 19 footprint was often overlapping with the less dense portions of the oaks in the lower part of 20 the foothills, and therefore may not be representative of the subecoregion average. 21 Comparison of the measured versus modeled emissions integrated over the same flux 22 footprint areas are shown in Sect. 5.1.4. Nevertheless, this BEF comparison is independent of 23 the footprint calculation and is indicative of the relatively good agreement we observed 24 between measured and modeled isoprene emissions for most ecoregions. 25



Figure 25. Basal emission factor comparison. The box plots represent CABERNET variations within each ecoregion (blue box: 25-75th percentile; black
line: 5th - 95th percentile; red line is the median and red crosses are the outliers). The green dots represent ecoregion's mean EF according to MEGAN2.1
landcover2.2.

1	By looking at scatter plot of average modeled and measured BEFs (Figure 26), it is possible
2	to assess if the model does a reasonable job over each of these different ecoregions. Robust
3	statistics which give a smaller weight to outliers and a higher weight to the points falling
4	within the bounds of the regression model suggests that the measurement-model agreement is
5	very good ( $R^2=0.8$ , slope 1.1). The majority (70%) of ecoregions fall within 95% confidence
6	intervals of the fit, while the remaining ecoregions occur more or less equally in the region of
7	model overestimation or underestimation. Overall the model agrees with observations within
8	10% which is well within the stated 50% model uncertainty and the 20% measurement
9	uncertainty that we estimated (section 2.5.7).
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3 The outliers occur on the two sides of the fit showing no clear systematic offset for model or measurement. Note: the number of averaged points in each

4 ecoregion may be different and not necessarily representative of the entire ecoregion.

67

## 1 **5.1.2** Comparison of CABERNET emissions with CARB's adaptation of MEGAN 2.1 2

3 One of the project goals was to verify the accuracy of isoprene emission inventories used by 4 CARB. For this reason, the emissions were simulated by MEGAN2.1 for exactly the same 5 times and areas matching the CABERNET flux footprints to be compared with analogous 2-6 km measured emissions. Out of numerous simulations which were conducted at 4 km and 1 7 km resolutions and different footprint approaches, the best model-observation agreement was 8 achieved for 2km resolution and the most accurate footprints based on wavelet heat flux, wind 9 speed and  $z/z_i$ . In this report we use non-directional symmetrical footprints. Upwind half-10 dome oriented footprints are currently under development and may be utilized in future peer 11 reviewed publication of this research. Although the half-dome approach should be the most 12 accurate it is less practical in terms of the application to the existing modeling infrastructure. 13 We determined that the full-dome approach we use here should be similarly accurate except 14 for a few areas at the boundaries of the oak woodland fetch or if there is drastic 15 inhomogeneity in land cover as indicated later in the analysis. 16 In Figure 27 and Figure 28 the time series of simulated and measured emissions are shown to 17 be generally in extremely good agreement (plotted along the complete flight tracks). Some 18 local discrepancies are observed in specific areas along the flight track and are discussed

19 further in Sect.5.1.4.









4 Figure 28. Comparison of 2km emissions (view at higher magnification) along sections of the flight
5 tracks.

### 1 5.1.3 Sensitivity runs

2 The modeled emissions are prone to uncertainties in the driving variables (temperature, PAR,
3 LAI), so we performed sensitivity analyses to estimate their effect on the simulations.

4

### 5 5.1.3.1 Temperature

6 A  $\pm 20\%$  sensitivity analysis was done for the temperature input (Figure 29) and showed that 7 the measured emissions were within the range of modeled emissions for most of the dataset. 8 The several occasional model overestimation or underestimation episodes were likely 9 unrelated to the temperature (or LAI or PAR) but rather due to the landcover inhomogeneity 10 or the footprint mismatch. The temperature dependence of isoprene emissions is exponential 11 so the highest sensitivity is expected to be in the higher temperature region. For example at 20 12 °C 20% would correspond to a 4 °C difference while at 30 °C to a 6 °C difference. Because of 13 the exponential character a 20% change in temperature could lead to changes in emissions as 14 large as 100% above 30 °C. The highest errors in temperature used for simulations would be 15 likely to occur in the areas close to the mountains where large gradients of temperatures occur

16 on the order of a few km and shift spatially during a day.





Figure 29. Comparison of isoprene emission time series for measurement and model with +/-20% sensitivity to temperature.

5 5.1.3.2 PAR

- 1 Similarly a  $\pm 20\%$  sensitivity analysis for the PAR input was tested in the model simulations
- 2 and the comparison of time series is shown in Figure 30. The resulting range of emissions was
- 3 narrower than in the case of temperature sensitivity but the general picture was similar. It
- 4 seems that a systematic offset in PAR (or temperature) would not improve significantly the
- 5 generally excellent agreement, but it could improve or worsen the local agreement. For the
- 6 cloudless skies in CABERNET it is unlikely that inhomogeneities in the spatial distribution of
- 7 PAR could be significant although there could be impact of aerosol haze layer and high
- 8 clouds in some areas.



*Figure 30. Comparison of isoprene emission time series for measurement and model with +/-20% sensitivity to PAR.*

- 5 5.1.3.3 LAI

- 1 The LAI and the cover fraction of oak woodlands can vary greatly in the Sierra foothills (As
- 2 discussed earlier in Sect. 4.2.1), and it is expected that the LAI products from MODIS may
- 3 not work ideally for oak terrains and may not discriminate from grasses. A  $\pm$ 50% uncertainty
- 4 in LAI is therefore expected, thus we apply this uncertainty to the model and compare with
- 5 the measurements. As indicated by Figure 31 this range in LAI did not result in changes in
- 6 modeled emissions larger than a factor of 2 and no constant systematic offset was observed. It
- 7 is therefore assumed that the LAI used in the simulation was sufficiently accurate.



*Figure 31. Comparison of isoprene emission time series for measurement and model with +/-50% sensitivity to LAI.*

### 1 5.1.4 Regional model performance over ecoregions

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3 To test the regional performance of the model the data have been grouped over ecoregions 4 and the resulting variabilities are shown independently for each of these ecoregions in Figure 5 32a (full scale) and Figure 32b (lower emission scale). The direct comparison of measured-6 modeled fluxes suggests agreement is remarkably good in most cases not only for the 7 midrange from the statistical distribution but also in the case of episodic spatial events (e.g. 8 see 6ai, 6b, 6r, and 6z). The direct flux comparison agrees generally quite well with the BEF 9 comparison approach earlier presented in Figure 25, but a few exceptions are apparent such as 10 for 6ao and 6h. These two subecoregions showed the highest discrepancy between the model 11 and measurement in terms of the emissions but not in terms of BEFs. Since the major 12 difference was in footprint integration, it is assumed that in these two regions the 13 inhomogeneity of footprint could be the cause of the discrepancy, in particular in 6h (Western 14 Valley Foothills/Dunnigan Hills) which is very close to the boundary with Central Valley, 15 where changes in elevation and PBL homogeneity could cause variable footprints on scales 16 less than 2 km. Further analysis of the directional footprint may help decrease this local 17 discrepancy where simulated emissions significantly overestimated the measured emissions. 18 In the second instance, 6ao (Caliente Range) modeled emissions underestimate measured 19 emissions, but this was not apparent earlier with BEF comparisons. In this case it is possible 20 that the proximity to the Sierra Madre Mountains resulted in a non-homogenous footprint (but 21 in the opposite direction). Nevertheless, these two most differing ecoregions were represented 22 by only 4 and 5 points, for 6h and 6ao, respectively, so represent only 10 km of the track and 23 perhaps could even have been omitted from this comparison. The high similarities between 24 BEFs and fluxes in the remaining vast majority of subecoregions suggests that the footprint 25 approach works well and shows that the inventory which CARB is using agrees extremely 26 well with observations. 27 Although isoprene emissions were generally very low in the Central Valley, ecoregions 7m 28 and 70 had considerable measured emissions which were not predicted by the model. These 29 ecoregions correspond to the San Joaquin basin and Westside Alluvial Fans and Terraces, 30 respectively and the landcover database is likely missing isoprene sources which were within

31 the aircraft flux footprint, although may still be accurate when averaged over entire ecoregion

32 7m or 7a (Figure 25). Another interesting observation is that the emissions simulated by

CARB for flux footprint areas follow more closely the measured emissions, than the
 measured BEFs from the flights compared with BEFs averaged over entire ecoregions. To
 compare the BEFs in the same way would require using the similar footprint approaches as
 with the emissions but in any case both independent methods are consistent in the overall very
 good agreement between measured and modeled emissions.









10 Figure 32 Box plots showing distribution of emissions in each of the level IV ecoregions. The boxes

11 correspond to midrange (25<sup>th</sup> to 75<sup>th</sup> percentiles), the whiskers indicate variability outside the lower

- 12 *and upper quartiles, and the circles denote outlying emission hotspots.*
- 13

1 We quantitatively compare measured and modeled fluxes in Figure 26a-c, and the X-Y plot in 2 Figure 33 shows a robust regression. Because the comparison is more like the BEF case 3 which looked at BEFs averaged over entire level IV ecoregions rather than for the corresponding areas of the flux footprint the  $R^2$  is 0.96 with more than 70% of the points 4 within the 95% confidence intervals. The 6h and 6ao ecoregion outliers are the most 5 6 outstanding and have been discussed above. In the lower emission graph regions 5h, 6r, 6j, 7 6k, 6z simulated emissions seem to be overestimated. Region 5h is the Sierra Lower 8 Mountain Forest ecoregion, and the other four are located in the northwestern coastal part of 9 CA which is characterized by less homogenous coastal oak terrains, and could therefore be 10 more sensitive to accuracies in spatial footprint positioning since some but not all of these 11 overestimates were the case in the BEF comparison. This relatively small number of 12 overestimates is balanced by underestimates (e.g. regions 7m, 7c, 14f, 6ag) where in some 13 cases the modeled emissions were close to zero, suggesting inaccuracies of the landcover. 14 Approximately 30 ecoregions showing extremely good agreement demonstrate the emissions











3 Figure 33. Scatter plots for the ecoregion averaged emissions. The vertical error bars represent the

4 50% model uncertainty and the horizontal error bars represent the 20% uncertainty of the

- *measurement*.

1 Quantitative comparisons between measured and modeled fluxes including summary statistics

2 for each ecoregion are presented in Table 3

3

		CABERNET				MEGAN							
Ecoregion	Ν	Mean	Median	SD	Min	Max	Mean	Median	SD	Min	Max		
Total	1746	1.38	0.416	2.74	0	26.2	1.64	0.360	4.34	0	59.9		
Good agreement													
5e	29	1.21	0.992	1.22	0.000	4.36	0.852	0.622	0.842	0.000	3.83		
5h	26	1.48	1.11	1.509	0.039	5.85	2.27	1.96	1.70	0.175	5.69		
6aa	28	0.113	0.000	0.231	0.000	0.949	0.095	0.026	0.216	0.000	0.962		
6al	44	0.562	0.381	0.730	0.000	3.13	0.460	0.215	0.848	0.000	4.82		
6ap	31	1.16	0.749	1.15	0.006	4.51	1.08	0.720	1.18	0.049	5.31		
6b	196	2.33	1.31	2.67	0.000	15.9	2.30	1.23	2.66	0.008	14.2		
6c	181	1.24	0.647	1.65	0.000	11.3	0.851	0.383	1.13	0.000	6.62		
6d	24	0.453	0.275	0.440	0.000	1.45	0.364	0.113	0.530	0.000	1.70		
61	22	0.505	0.346	0.569	0.000	2.43	0.770	0.326	1.26	0.000	5.14		
6z	136	0.944	0.252	1.88	0.000	10.6	1.70	0.592	2.66	0.000	11.6		
7a	27	0.266	0.130	0.365	0.000	1.36	0.182	0.074	0.262	0.000	0.857		
Model underestimates													
6ac	36	0.073	0.037	0.093	0.000	0.345	0.000	0.000	0.000	0.000	0.000		
6af	24	0.223	0.00	0.341	0.000	1.03	0.140	0.040	0.214	0.000	0.647		
6ag	30	4.09	1.05	5.47	0.000	20.7	1.22	0.607	1.39	0.067	5.07		
6ai	201	2.83	1.17	4.41	0.000	23.6	1.24	0.307	2.92	0.000	25.5		
6ak	36	0.927	0.513	1.24	0.000	5.66	0.453	0.108	0.975	0.013	4.25		
6g	20	1.10	0.297	1.68	0.000	6.20	0.582	0.247	0.918	0.067	4.19		
7j	35	0.358	0.295	0.337	0.000	1.37	0.015	0.000	0.050	0.000	0.257		
7m	23	1.73	0.234	2.65	0.000	7.58	0.000	0.000	0.000	0.000	0.000		
7o	38	0.683	0.203	0.994	0.000	3.93	0.004	0.000	0.014	0.000	0.085		
7p	22	0.053	0.026	0.129	0.000	0.619	0.000	0.000	0.000	0.000	0.000		
7t	23	0.025	0.005	0.066	0.000	0.318	0.000	0.000	0.000	0.000	0.000		
	Model overestimates												
6aj	23	0.665	0.205	0.820	0.008	2.80	4.72	2.59	4.84	0.873	19.4		
6j	41	0.272	0.148	0.382	0.000	1.73	2.11	0.884	5.46	0.031	35.2		
6k	22	1.241	0.423	1.80	0.000	6.69	6.86	1.92	12.7	0.070	54.0		
6r	204	1.516	0.388	3.06	0.000	20.7	3.87	0.854	6.80	0.000	39.9		
78q	32	1.040	0.297	1.64	0.000	6.75	4.67	1.32	10.8	0.060	59.9		

4 Table 3. Summary quantitative statistics for CABERNET and MEGAN emissions  $(kg h^{-1})^*$ 

5 \*Ecoregions with N < 20 (<40 km) were omitted from this table

2 On average for the entire available flux dataset, we conclude that the model overestimates the 3 emissions by only 19% and this seems driven by a few high episodic events in the simulations 4 which were not observed in the measured emissions (see earlier Figure 27). Interestingly, 5 when comparing the median values the model is also very close to the observation with only 6 16% underestimation by the model. This is excellent agreement which is much better than the 7 predicted accuracy of either the modeled or measured values. The analysis points to the 8 importance of regional assessments of the modeled emissions where in some cases 9 discrepancies may occur. For example, the subecoregion which was most extensively covered 10 (~400 km, RF2, RF3, RF4) was 6b (Northern Sierran Foothills) and exhibited almost identical 11 quantitative statistics for the model (mean 2.30, median 1.23, s.d. 2.66, min 0.008 and max 14.2 kg h<sup>-1</sup>), and measurements (mean 2.33, median 1.31, s.d. 2.67, min 0.000, and max 15.9 12 kg h<sup>-1</sup>), and the qualitative correspondence suggests we should have high confidence in the 13 14 combination of the wavelet flux measurement, footprint analysis, and the emission modeling 15 approach. This ecoregion includes the most homogeneously distributed oak woodlands and is 16 therefore perhaps easier to model correctly in terms of properly estimating isoprene emissions 17 in CA. Subecoregion 6d (Camanche Terraces) covered in 50 km of tracks was neighboring to 18 the east with 6b and to the west with 7a, and with much sparser oaks showed lower emissions 19 but still had reasonable agreement between the model (mean 0.364, median 0.113, s.d. 0.530, 20 min 0.000, and max 1.70 kg  $h^{-1}$ ) and measurements (mean 0.453, median 0.275, s.d. 0.440, min 0.000, and max  $1.45 \text{ kg h}^{-1}$ ). On the other hand there are regions where quantitative 21 22 agreement is not as good, such as coastal 6ai (Interior Santa Lucia Range) represented in ~400 23 km of the flight tracks where on average the model underestimated the emissions by 24 approximately a factor of two or 7m (San Joaquin Basin), where the model showed zero 25 emissions (over ~50 km of tracks) and isoprene emissions were measured as high as 7.58 (mean 1.73) kg h<sup>-1</sup>. An opposite example in a different region (6r, East Bay Hills/Western 26 27 Diablo Range) where ~400 km were measured had model overestimation by about a factor of 28 2. This region suffered from fires with the most notable fire storm in 1991. Apart from the 29 changes in landcover, the discrepancies may be caused by inaccuracies in meteorological 30 driving inputs although probably to a lesser degree based on results from our sensitivity study. 31 In cases where the footprint was near the boundary of the oaks the circular footprint approach 32 may be responsible for poorer agreement. An example for a non-homogeneous situation when

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- 1 this might happen is shown in Figure 34. The half-dome footprint approach is currently still
- 2 being developed so for this report it has been assumed that the full-dome footprint should be a
- 3 sufficiently good approximation for most areas, although this issue should be kept in mind.
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- Figure 34. An example of a non-homogenous landcover where half dome footprint faced upwind
  would be superior to full-dome footprint of the same area.
- 9
- 10
- 11

# 12 5.2 Conclusions

- 13 Accurate prediction of isoprene emissions is crucial for air quality modeling and ozone
- 14 forecasting in the state of California. We used direct airborne flux measurements over the

1 main regions in California where emissions are expected to be high to evaluate CARB's 2 inventory based on MEGAN 2.1 that is used for simulation isoprene emissions of those areas. 3 This is the model currently being considered for use by the state of California for simulating 4 BVOC emissions important for development of the SIP for air quality. The approaches that 5 were used in the comparison of the model with observation involved comparison of airborne 6 and landcover BEFs and independently the emissions integrated over the same footprint areas. 7 The agreement that was obtained was extremely good. Mean measured and modeled 8 emissions agreed within 50% for half of the ecoregions, while for 21% of the ecoregions the 9 model overestimated mean measured emissions and for 29% the model underestimated 10 emissions. On average the agreement of model with measurement was within 19% over the 11 whole dataset. The conducted sensitivity tests for a 20% change in temperature, 20% change 12 in PAR and 50% change in LAI altered the total mean of the simulated fluxes by up to 43%, 13 21%, and 40%, respectively. Although the change in these input variables would not improve 14 the overall agreement significantly, it could significantly impact specific regional agreements. 15 The quality of the model output is directly tied to the input datasets and based on our analysis 16 we conclude that the most important or uncertain input database is the landcover. The 17 measurements were done in late spring, immediately after a period of relatively cold and 18 cloudy weather, when the emission potentials were likely changing due to seasonally 19 increasing temperatures. Similar aircraft flux measurements of BVOC emissions should be 20 done again during the hotter part of the summer when isoprene emissions are expected to be 21 highest in order to make sure maximum emissions are properly represented during periods 22 when ozone pollution is the most problematic.

## 23 6 Additional Analyses

## 24 6.1 Methanol emissions from Dairies

Methanol was found to be the most abundant VOC in California with mean concentrations in CABERNET flights of 7.0 ppb (median 6.8 ppb). Maximum concentrations were found in the central valley and often exceeding 10 ppb. The spatial pattern of methanol concentrations was significantly different than that of isoprene and MVK+MAC. Methanol has a longer atmospheric lifetime of about a week which broadens the concentration distribution such that they are not as obviously representative of the spatial patterns of sources (see Sect. 3.4).
#### 1 6.1.1 Methanol fluxes

2 The fluxes derived from wavelet analysis (Figure 35) point more accurately to methanol 3 sources and sinks because their flux footprint is in the order of  $\sim 1$  km while the concentration 4 footprint is more than an order of magnitude larger. These differences in the spatial patterns 5 explain high methanol concentrations (Figure 22c) over the oak bands which come with the 6 upslope winds blowing towards the Sierra Nevada Mountains bringing methanol from the 7 dairies in the Central Valley. Interestingly, these high-methanol concentrations downwind of 8 the valley were often coincident with observations of a depositing flux. This is in contrast to 9 the areas above the dairies which fall within the flux footprint with emissions exceeding 2 mg  $m^{-2} h^{-1}$ . The dominant source of methanol in California seems to be the dairies, although 10 11 methanol emission hotspots were also found near growing conifers with elongating needles 12 and around landfill facilities. Methanol flux was also high over a large beef cattle operation 13 (denoted by a pink triangle in Figure 35) which was not previously included in the CARB 14 emission database (dairy map 2004). Further analysis of the observed methanol 15 concentrations and fluxes is beyond the scope of the current project, but we intend to use this 16 data for more detailed analysis in the future.



2 Figure 35. Methanol fluxes observed in CABERNET. [Preliminary data]

### **3 6.2 Fluxes of aromatics from oil fields**

4 During RF 1 we included PTRMS measurements of benzene, toluene, and C-8 aromatic compounds while flying over the Central Valley. The track of this RF colored by 5 6 concentration of these species is shown in Figure Figure 36. The highest concentrations of 7 aromatics were found on transects through the Central Valley and near the south-eastern oak 8 woodland band not far from Bakersfield. To check for their emissions from oil fields we 9 selected an 80 km stretch for calculating fluxes on the returning leg closest to those areas. 10 Concentrations, fluxes and wavelet cross spectra for toluene, benzene, and C-8 aromatics on 11 an 80 km segment close to oil fields (RF1) are shown in Figure 37. Two events (event 1 and 12 2) occurred simultaneously in concentrations toluene, benzene and C8 aromatics, while a third 13 event (event 3) had concentration enhancements of toluene and C-8 aromatics but no benzene. 14 The wavelet fluxes show that the footprint area of event 1 was associated with a small

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- 1 emission for all the aromatics measured; while the footprint of event 2 was associated with
- 2 strong emission of all those aromatic compounds from nearby oil fields. Finally, event 3
- 3 showed clear emission of toluene and C-8 aromatics but no flux of benzene. We attribute
- 4 event 2 to emission from an oil field with source strengths of 2.4, 1.7, and 2.8 mg m<sup>-2</sup> h<sup>-1</sup> for
- 5 toluene, benzene and C-8 aromatics, respectively. Event 3 occurred close to an industrial
- 6 facility. Further analysis of the observed aromatics from oil fields is beyond the scope of the
- 7 current project, but again we intend to use this data for more detailed analysis in the future.
- 8 We also believe it demonstrates the potential to measure emissions of a much wider range of
- 9 VOCs using wavelet analysis eddy covariance from aircraft.



Figure 36. Toluene, benzene and C-8 aromatics concentration distributions on the RF 1 track.



2 Figure 37. Concentrations, Fluxes and Wavelet cross spectra shown for toluene, benzene, and C-8 aromatics on a 80 km segment close to oil fields (RF1).

3 The wavelet fluxes and their cross spectra deliver more information on the source/sink profile of the encountered aromatics.

## 1 6.3 Early modeling efforts

## 2 6.3.1 Comparison of BEIGIS and MEGAN 2.0 emission factors for California

- 3 Prior to the campaign the emission factors from MEGAN 2.0 (Guenther et al., 2006) and
- 4 BEIGIS (Scott and Benjamin, 2003) were compared and a map showing the differences was
- 5 constructed in order to guide measurement flights towards areas where these models indicated
- 6 high emissions were likely, or where the models disagreed.



- 8 Figure 38. Map showing the difference between MEGAN2.0 and BEIGIS emission factors. The
- 9 BEIGIS emission factors were obtained by summing the emission factors for evergreen trees (eiso)
- 10 and deciduous trees (dtiso) and were roughly converted to land area units my multiplying mg  $g^{-1} h^{-1}$  by
- 11 LAI 5.
- 12

The green areas show where the difference in MEGAN and BEIGIS emission factors was less 1 than 2.5 mg m<sup>2</sup> h<sup>-1</sup>. Some areas between the Sierra foothills and the Central Valley are shown 2 3 in red where BEIGIS suggested higher emission factors than MEGAN. These areas 4 correspond to oak woodlands and became the focus for many of the research flight tracks. For 5 the areas higher into the Sierra Nevada Mountains, the opposite was the case 6 (MEGAN>BEIGIS) but these areas were not selected for the flight tracks because of the very 7 hilly terrain which were considered difficult areas for the flux measurements and because of 8 the limits of the range of the Twin Otter for the available flight-time. The Western Coastal 9 side off the Central Valley also showed interesting differences with MEGAN>BEIGIS in the 10 Central Coast and BEIGIS>MEGAN in the Northern Coast. These areas were also used to 11 guide the flight planning.

### 12 6.4 Application of reverse G06 algorithm to the airborne fluxes

13 Comparison of the measured fluxes to the model emission potentials was done after

calculating BEF's from the measurements. The raw data undergoes the following workflow toobtain airborne BEFs from the airborne fluxes:

16 1) Application of wind corrections from "Lenschow maneuvers"

17 2) Derivation of airborne concentrations from daily calibrations

18 3) Wavelet and FFT flux derivation at aircraft altitude

4) Interpolation of fluxes at aircraft altitude to the surface fluxes using coefficients from 20 racetracks, and  $(z/z_i)$  (i.e. accounting for flux divergence)

- 21 5) Spatial averaging of surface fluxes to 2 km resolution
- 22 6) Derivation of BERs by normalization of the surface fluxes using surface temperature
- 23 and PAR according to MEGAN algorithm which accounts for previous temperature
- and PAR history (equation from Misztal et al., 2011):

 $\gamma_{\rm P}$ 

 $F_{606} = \text{BER} \cdot b_{3} \cdot \exp[b_{2} \cdot (P_{24} - P_{0})] \cdot (P_{240})^{0.6} \cdot \frac{[b_{1} - b_{2} \ln(P_{240})] \cdot \text{PAR}}{\sqrt{1 + [b_{1} - b_{2} \ln(P_{240})]^{2} \cdot \text{PAR}^{2}}} \cdot b_{5} \cdot \exp[b_{6} \cdot (T_{24} - 297)] \cdot \exp[b_{6} \cdot (T_{240} - 297)] \cdot \frac{C_{T2} \cdot \exp[C_{T1} \cdot \left[\frac{1}{T_{opt}} - \frac{1}{T}\right] \cdot \frac{1}{0.00831}]}{C_{T2} - C_{T1} \cdot \left[1 - \exp\left(C_{T2} \cdot \left(\frac{1}{T_{opt}} - \frac{1}{T}\right) \cdot \frac{1}{0.00831}\right)\right]}$ 

γ<sub>T</sub>

25

## 1 7 Summary and conclusions

2 Direct AvDEC fluxes of isoprene and several other VOCs were measured by a PTR-3 MS aboard a CIRPAS Twin Otter aircraft on almost 10,000 km of flight tracks covering most 4 of California's oak woodlands and many nearby landscapes. The aircraft measurements were 5 made on June 8, 9, 10, 14, 15, 16, 20, and 31 as part of the CABERNET project which was 6 the first regional airborne flux study focused on isoprene. The acquired airborne data were 7 processed into concentrations, fluxes, emission factors and grid area emissions and were used 8 to evaluate the landcovers and predictions of the model simulating biogenic VOC emissions 9 in California.

10

### 11 7.1 Refinement of AEC approach for BVOC flux measurements

12 Homogenous oak woodland areas were selected for vertical characterization of fluxes 13 in racetrack profiles. These vertically resolved measurements showed that first order 14 chemistry can describe the decrease of isoprene fluxes throughout the PBL under typical 15 atmospheric conditions with experimentally determined Dahmköhler numbers in the range of 16 0.3 to 0.9. We demonstrated that the eddy covariance measurements of volatile organic 17 compounds can be used to characterize heterogeneous surface emissions using wavelet 18 analysis. In addition we demonstrated that flux divergence measurements can be used to 19 provide estimates of OH.

### 20 7.2 Oak woodlands major isoprene source in California

The survey flight products were comprised of 2-km spatially resolved fluxes which
 were used to map out horizontally varying source distributions of isoprene emissions for the

dominant oak emitting ecosystems in California. High concentrations (up to 8 ppbv) and high surface emissions of isoprene ranging from several to more than ten mg m<sup>-2</sup> h<sup>-1</sup> were observed from the oak woodlands in the foothills of the Sierra Nevada and Coastal Ranges. Consistent with other studies we showed that in the Central Valley isoprene emissions are typically undetectably small at aircraft level except for some areas of Eucalyptus trees planted near the highways from which emissions were detected.

### 7 7.3 Evaluation of input landcovers and model performance

8 Airborne emission factors derived as measured fluxes normalized for temperature and PAR 9 using Guenther et al., 2006 activity factors were directly compared with emission factors from 10 the MEGAN2.1 model landcover used by CARB. Subsequently, grid area averaged emissions 11 for corresponding aircraft footprints were compared with emissions simulated by CARB 12 using an adaptation of the MEGAN2.1 model for the entire CA domain and averaged for 13 specific California ecoregions. Measured BEFs showed generally good correspondence with 14 the landcover and quantitative agreement with the modeled emissions was remarkable over 15 the majority of ecoregions. On average for all ecoregions the model and measurement were 16 within 19% agreement. Regional discrepancies were identified and pointed to some 17 inaccuracies in the landcover. A sensitivity analysis showed that 20% change in temperature, 18 20% change in PAR or 50% change in LAI could have a significant influence on regional 19 agreement and could alter the overall mean flux by up to 43%, 21% and 40%, respectively. 20 The wide range of temperatures encountered in the CABERNET campaign following a 21 significantly cooler period pointed to the need for using the past history of temperature and 22 PAR in the model to adjust the basal emission potentials. A study in a warmer season would

1 be useful to confirm the full emission capacity of Oak ecosystems during the peak ozone

2 season.

We conclude that CARB's modeling approach provides accurate simulations of isoprene for use in modeling ozone formation. Further work on landcover database improvements and more accurate representations of the measurement footprint using a half-dome footprint approach is continuing in collaboration with CARB.

## 8 8 Recommendation for future research

9

10 We have demonstrated the capability for direct VOC flux measurements from an aircraft 11 using PTRMS. The application of this capability to isoprene flux measurements was 12 demonstrated for the first time in this project. More airborne measurements are required to achieve better statistics for measurement-model intercomparisons, and for including more 13 14 urban areas or Eucalyptus dominated regions for isoprene. A Time-of-Flight version of the 15 PTR-MS (PTR-ToF-MS) with high sensitivity suitable for airborne flux measurements is now 16 available which does not require limiting the number of VOCs measured and thus would 17 enable simultaneous measurements of a full range of VOC fluxes and could therefore 18 contribute observations for a much more complete emission inventory. Additional potential 19 foci for future work include: 20 1) Use PTR-ToF-MS to measure a full suite of VOC concentrations and fluxes from aircraft 21 (not limited to a few masses by the quadrupole MS). 22 2) Measure fluxes from a much broader variety of sources to test the ARB's anthropogenic 23 and biogenic emissions inventories for VOC. 24 3) Further modeling with the current data set should focus on optimization of the flux 25 footprint analysis for more accurate spatial comparisons between fluxes measured from 26 aircraft and modeled fluxes. 27 4) Expand the scope of measurements to include GHG's  $CH_4$ ,  $CO_2$ , and possibly N<sub>2</sub>O. Could 28 also include NOx/NOy, or other species for which fast measurements are available and 29 emission inventories may be uncertain. 94

5) A measurement program is needed to focus on sources of oxygenated VOC in the San
 Joaquin Valley, particularly methanol and associated compounds from dairy emissions, and a
 suite of VOC's from agricultural management operations (pruning, harvesting, flowering,
 composting organic material).
 6) An airborne flux study focused on VOC fluxes in urban areas is needed to determine
 biogenic VOC contributions from urban vegetation and compare them to VOC emissions
 from anthropogenic sources.

8

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Figure B.1. Comparison of isoprene concentrations between PTR-MS and GC cartridges.



Figure B.3. Fluxes of isoprene on survey transects (only the lowest racetrack segments shown here) showing good agreement between FFT and CWT methods. Wavelet fluxes typically offered spatial resolution smaller than 1 km (here shown at 200 m resolution) before averaging to 2 km. 108

#### **Appendix B: Abstracts of Associated Published Papers**

 Karl, T., Misztal, P. K., Jonsson, H. H., Shertz, S., Goldstein, A. H., and Guenther, A. B.: Airborne flux measurements of BVOCs above Californian oak forests: Experimental investigation of surface and entrainment fluxes, OH densities and Dahmköhler numbers, J Atmos Sci, 10.1175/jas-d-13-054.1, 2013. Available online: May 7, 2013

### Abstract

Airborne flux measurements of isoprene were performed over the Californian oak belts surrounding Central Valley. We demonstrate for the first time (1) the feasibility of airborne eddy covariance measurements of reactive biogenic volatile organic compounds, (2) the effect of chemistry on the vertical transport of reactive species, such as isoprene, and (3) the applicability of wavelet analysis to estimate regional fluxes of biogenic volatile organic compounds. These flux measurements demonstrate that instrumentation operating at slower response times (e.g. 1-5s) can still be used to determine eddy covariance fluxes in the mixed layer above land, where typical length scales of 0.5-3 km were observed. Flux divergence of isoprene measured in the planetary boundary layer (PBL) is indicative of OH densities in the range of 4-7 x  $10^6$  molecules / cm<sup>3</sup>, and allow extrapolation of airborne fluxes to the surface with Dahmköhler numbers (ratio between the mixing timescale to the chemical timescale) in the range of 0.3-0.9. Most of the isoprene is oxidized in the PBL with entrainment fluxes of about 10% compared to the corresponding surface fluxes. Entrainment velocities of 1-10 cm/s were measured. We present implications for parameterizing PBL schemes of reactive species in regional and global models.

#### [submitted 1/29/2014]

2) Misztal, P.K., T. Karl, R. Weber, H. H. Jonsson, A. B. Guenther, and A. H. Goldstein Airborne flux measurements of Biogenic Volatile Organic Compounds over California

Biogenic Volatile Organic Compound (BVOC) fluxes were measured onboard the CIRPAS Twin Otter aircraft as part of the California Airborne BVOC Emission Research in Natural Ecosystem Transects (CABERNET) campaign during June 2011. The airborne virtual disjunct eddy covariance (AvDEC) approach used measurements from a PTR-MS and a wind radome probe to directly determine fluxes of isoprene, MVK+MAC, methanol, monoterpenes, and MBO over ~10,000-km of flight paths focusing on areas of California predicted to have the largest emissions of isoprene. The Fast Fourier Transform (FFT) approach was used to calculate fluxes over long transects of more than 15 km, most commonly between 50 and 150 km. The Continuous Wavelet Transformation (CWT) approach was used over the same transects to also calculate "instantaneous" fluxes with localization of both frequency and time independent of non-stationarities. Vertical flux divergence of isoprene is expected due to its relatively short lifetime and was measured directly using "racetrack" profiles at multiple altitudes. It was found to be linear and in the range 5% to 30% depending on the ratio of aircraft altitude to PBL height (z/zi). Fluxes were generally measured by flying consistently at 400 m  $\pm$ 50 m (a.g.l.) altitude, and extrapolated to the surface according to the determined flux divergence. The waveletderived surface fluxes of isoprene averaged to 2 km spatial resolution showed good correspondence to Basal Emission Factor (BEF) landcover datasets used to drive biogenic VOC (BVOC) emission models. The surface flux of isoprene was close to zero over Central Valley crops and desert shrublands, but was very high (up to 15 mg m-2 h¬-1) above oak woodlands, with clear dependence of emissions on temperature and oak density. Isoprene concentrations of up to 8 ppb were observed at aircraft height on the hottest days and over the dominant source regions.

While isoprene emissions from agricultural crop regions, shrublands, and coniferous forests were extremely low, high concentrations of methanol and monoterpenes were found above some of these regions.

These observations demonstrate the ability to measure fluxes from specific sources by eddy covariance from an aircraft, and suggest the utility of measurements using fast response chemical sensors to constrain emission inventories and map out source distributions for a much broader array of trace gases than was observed in this study.

This paper reports the first regional direct eddy covariance fluxes of isoprene. The emissions of VOCs measured from aircraft with 2 km spatial resolution can quantify the distribution of major sources providing the observations required for testing statewide emission inventories of these important trace gases. These measurements will be used in a future study to assess BVOC emission models and their driving variable datasets.

# Appendix C: PTR-MS sensitivities and settings during CABERNET

	6/8/2011	6/9/2011	6/10/2011	6/14/2011	6/15/2011	6/16/2011	6/20/2011	6/21/2011
	RF1	RF2	RF3	RF4	RF5	RF6	RF7	RF8
			Normalized se	ensitivities (nc	ps ppbv⁻¹)			
M79	19.816	22.124	19.622	21.913	20.788	18.842	24.852	23.559
M93	18.000	20.097	17.824	19.905	18.883	17.115	22.575	21.401
M105	14.108	13.588	10.719	14.059	13.779	11.146	17.496	15.551
M107	10.155	14.142	11.899	13.096	12.917	11.023	15.783	14.964
M113	10.570	15.216	12.546	13.665	13.224	11.669	16.476	15.435
M121			8.4953	11.468	12.061	9.5474	15.166	14.011
M147	2.4690	3.0201	2.5351	4.4609	4.5862	2.8743	7.4852	6.5489
M181	0.0533	0.1434	0.0836	0.0944	0.1333	0.0783	0.1722	0.1793
M41	1.5316	2.1000	1.5369	2.3115	2.4218	1.9551	2.4882	2.4709
M69	12.303	14.838	12.040	14.309	15.182	12.922	15.322	16.566
M81*	7.0856	7.5255	7.0029	7.8044	8.4026	7.4407	8.6862	9.1489
M137*	7.2781	7.0122	7.2037	6.9632	7.2211	6.9476	7.5266	8.0743
Isoprene	13.835	10.800	13.577	16.6202	17.603	14.877	17.810	19.037
MVK+MACR*	22.014	24.578	21.798	24.343	23.093	20.932	27.609	26.172
Monoterpenes*	14.364	14.538	14.207	14.768	15.624	14.388	16.213	17.223
M33*	15.916	15.916	15.916	15.916	15.916	15.916	15.916	15.916
M45*	25.017	25.017	25.017	25.017	25.017	25.017	25.017	25.017
M87 (MBO)*	3.6625	4.4172	3.5844	4.2596	4.5195	3.8467	4.5613	4.9316
Settings								
p <sub>d</sub> (mb)	2.3	2.3	2.3	2.3	2.3	2.3	2.3	2.3
U <sub>d</sub>	560	540	560	560	560	560	560	560
T (°C)	50	50	50	50	50	50	50	50
H <sub>2</sub> O flow (sccm)	6.4	6.4	6.4	6.4	6.4	6.4	6.4	6.4
M21 (cps)	19,123,000	24,000,000	20,900,000	20,000,000	18,000,000	19,200,000	19,100,000	19,100,000
SEM (V)	2335	2404	2435	2496	2510	2518	2626	2626

Table C1. PTR-MS sensitivities and settings during CABERNET

\*derived from daily sensitivity curves and post-campaign calibrations

### **Appendix D: Data Set Description**

### Data set CABERNET\_IsopreneFluxes\_20110608\_R0.ict

### General description

This electronic dataset contains processed concentrations, and wavelet surface fluxes, of isoprene and footprints. In addition other relevant parameters (coordinates, altitude, wind speed, wind direction, true airspeed, etc.) are provided.

These data have already been shared with the modeling team at CARB (Jeremy Avise and Klaus Scott).

The value "-9999.000" represents unavailable data (e.g. rejected flux due to uneven segment or turn, rejected flux due to low quality, or data below the detection limit).

### Data column description (col. no., name, unit, description)

- 1. UTC\_time, seconds\_past\_midnight 2011-06-08, UTC time
- 2. fluxID, no unit, ID of each 2 km point
- 3. PointX, m, Lambert Conformal Conic projection longitude
- 4. PointY, m, Lambert Conformal Conic projection latitude
- 5. Lat, degree, Latitude
- 6. Long, degree, Longitude
- 7. Alt, m asl, Altitude above sea level
- 8. TAS, m/s, True airspeed
- 9. WS, m/s, Wind speed
- 10. WD, deg, Wind direction
- 11. jdate, doy, Julian day
- 12. Isoprene\_ppbv\_, ppbv, Isoprene Volume Mixing Ratio
- 13. Isoprene\_SurfFlux\_\_mgm\_2h\_1, mg/m2/h, Isoprene Surface Flux
- 14. dx\_05\_m, m, Footprint halfwidth