

# **Coupling 3D radiative transfer models with soil vegetation transfer models for sparse vegetation and validating with hyperspectral remote sensing and eddy covariance flux data**

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# Introduction

- Most land surface models use 1D canopy scheme
  - Horizontally homogeneous with randomly distributed leaves
  - Computing energy and carbon exchanges.
- This simplified modeling makes it difficult to evaluate the radiation environment in spatially heterogeneous landscapes

*Oak savanna, CA (US-Tonzi)*

LAI=0.77



Larch at Yakutsk  
Suzuki et al., IJRS 2004

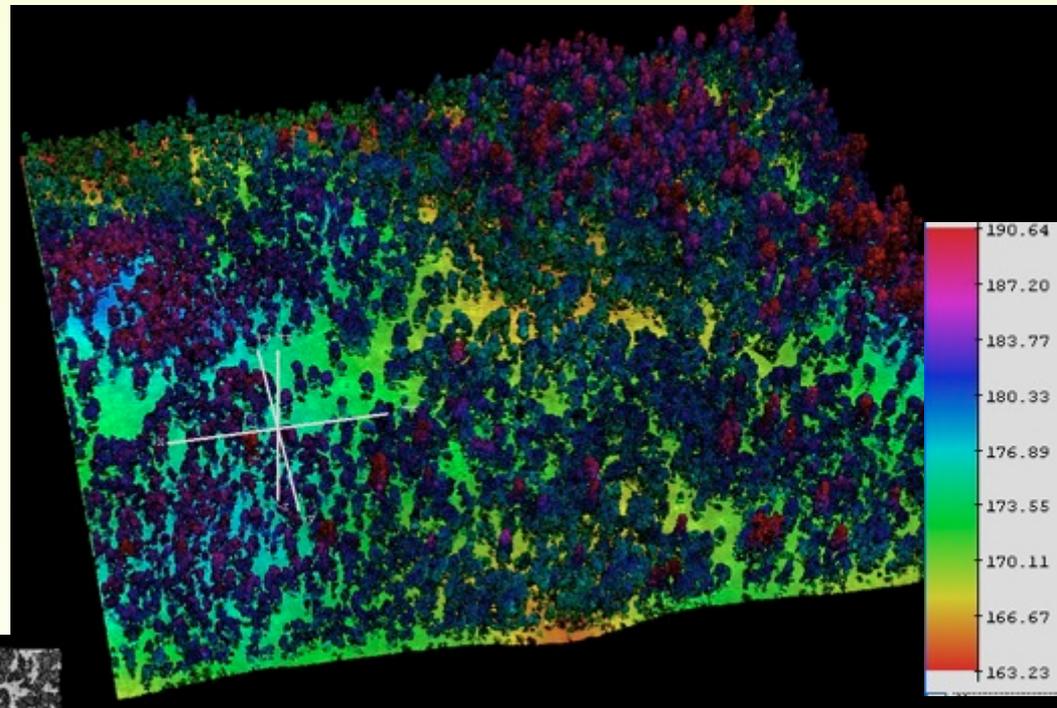
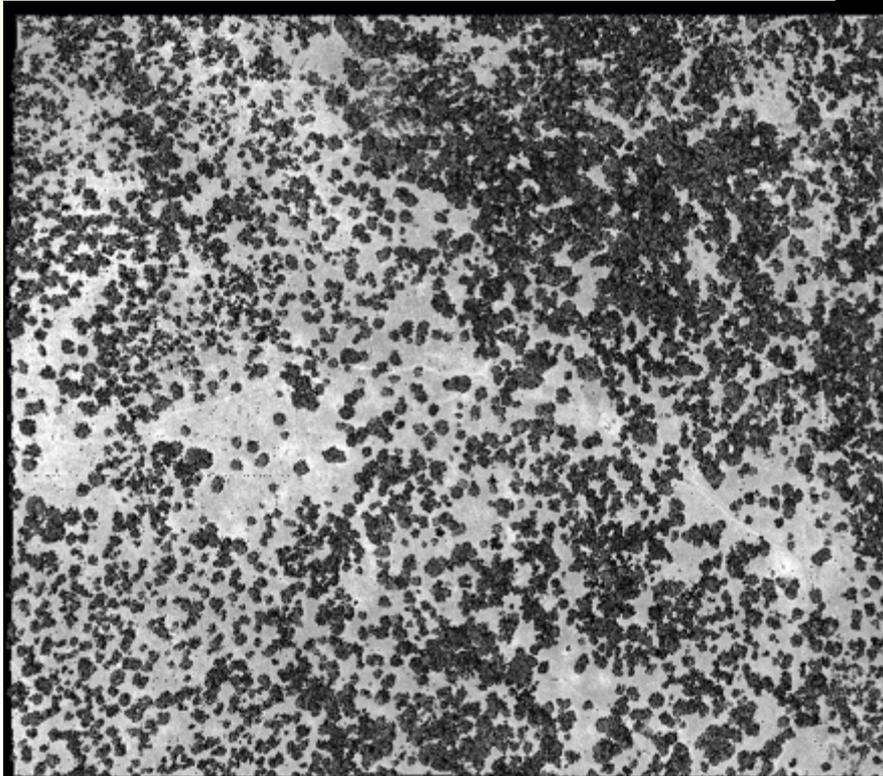


Black spruce, Alaska, May 18, 2011  
Sin Nagai and the PEN project



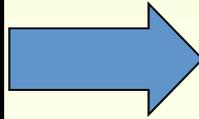
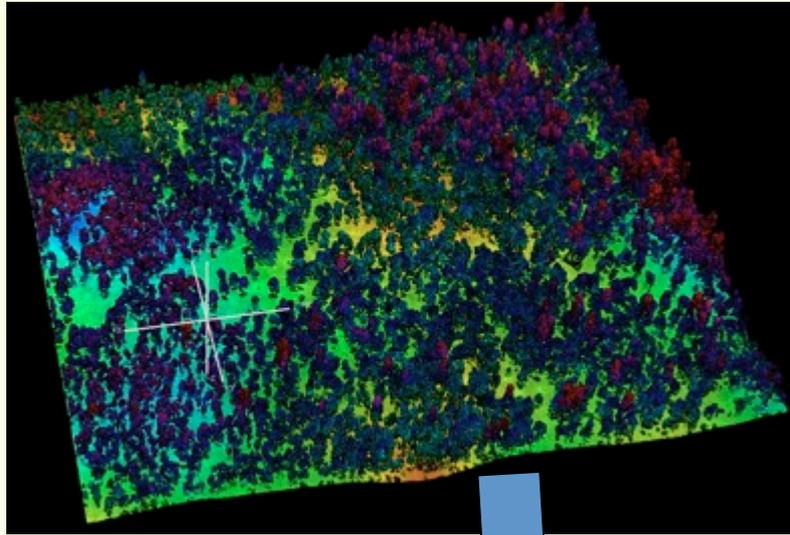
# Introduction (cont.)

- The 1D models are efficient in computing radiation, energy and carbon fluxes
  - Under current computation, this is the only way to run globally over decades, and couple with the earth system models
  - There are some approaches to consider the 3D effect (clumping index in RT schemes)
- However, it is not easy to quantify where and how we need to include the 3D effect
  - 3D models are useful to find where 1D models give unreliable answers
- Recent LiDAR techniques make it possible to obtain the canopy structure information
  - Canopy heights, tree positions, crown shapes

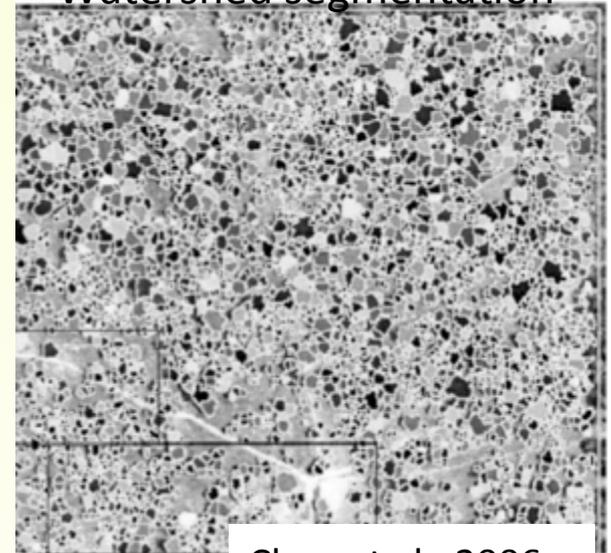


Airborne LiDAR images obtained at the oak savanna site in California.  
April, 2009

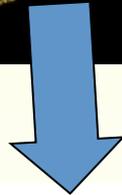
# Tree structure extraction



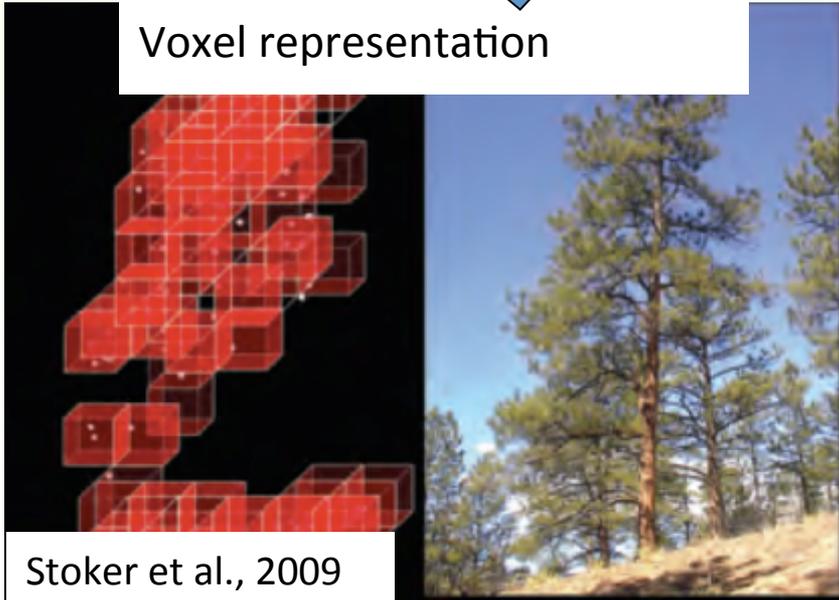
Watershed segmentation



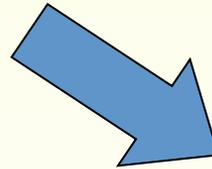
Chen et al., 2006



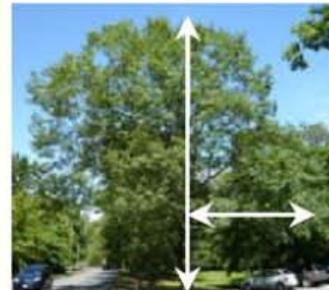
Voxel representation



Stoker et al., 2009

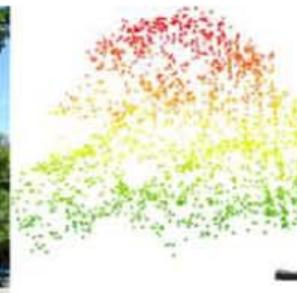


E Oak (*Quercus sp.*)  
Ht: 21.01 m



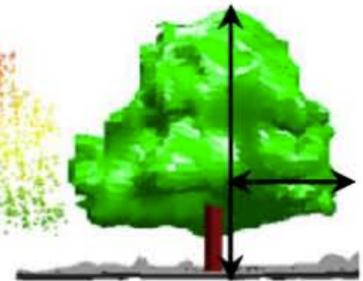
CW: 10.25 m

Photo



LiDAR points

Ht: 18.24 m



CW: 8.16 m

Wrapped surface

Kato et al, RSE 2009

# Questions

- How can we model the landscape scale spatial variability of radiation and energy budgets in heterogeneous ecosystems?
- Can the 3D approach reduce the uncertainties in simulating energy and carbon fluxes of ecosystems through an accurate characterization of radiation environments?

# To answer these questions...

- develop the 3D radiative transfer model (FLiES) coupled with the energy and carbon exchange model (CANOAK)
  - Oak savanna site
  - Airborne LiDAR data, digital photos
- test the performance of 3D model using intensive field measurements
- compare the results from 1D and 3D schemes with the eddy covariance measurements

# Study site: Oak woodland

- Foothills of the Sierra Nevada Mountains, Lone, CA, (38.43N, 120.97W)
- LAI=0.72 (Ryu et al., 2010)
- Tree species:
  - Blue oak (*Quercus douglasii*)
  - Pine (*Pinus sabiniana*)
- Eddy covariance measurement since 2001
- Remote sensing data (AVIRIS, LiDAR etc)
- Traversing radiometer system at understory



# Model structure

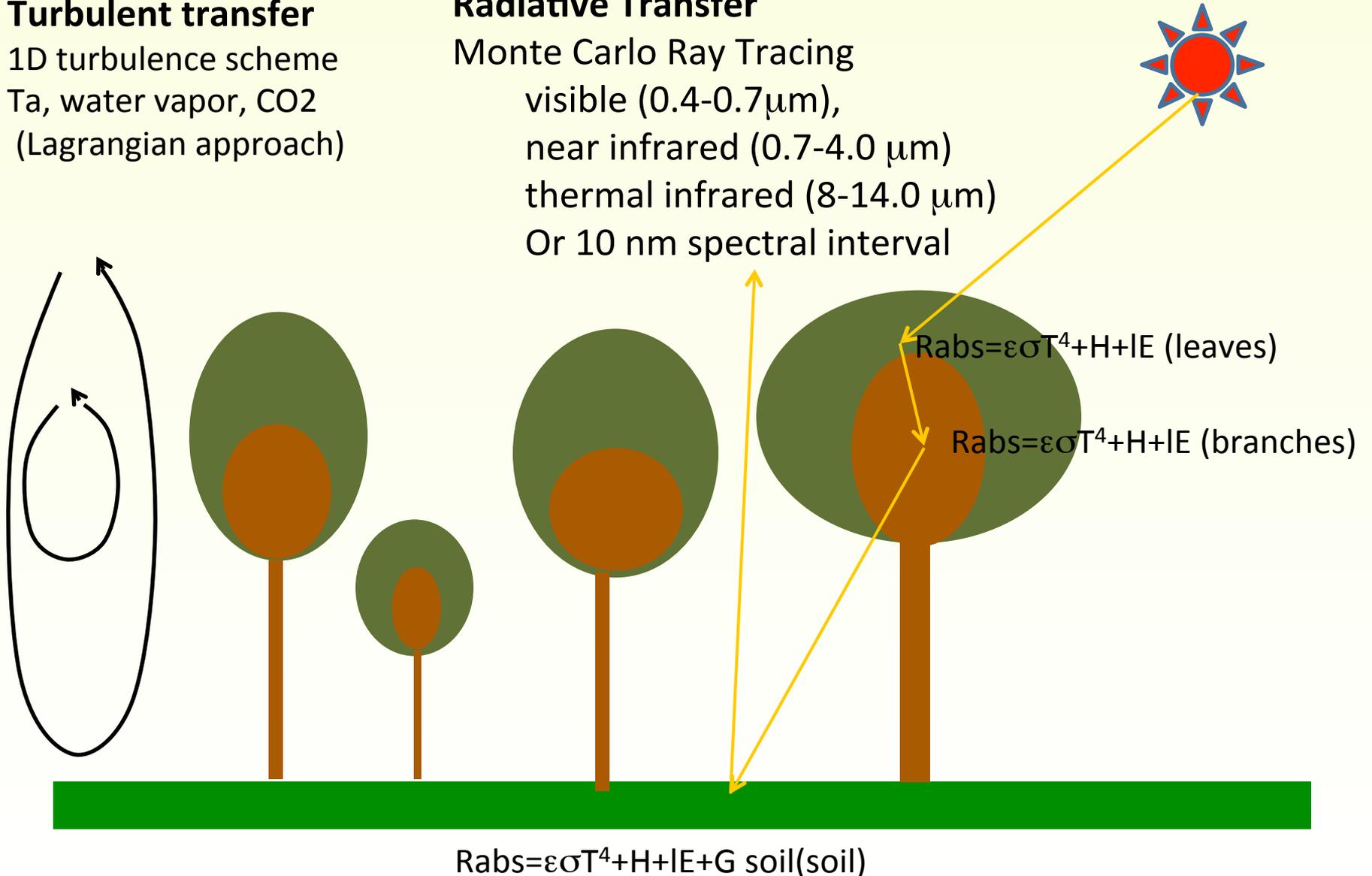
- Energy exchange model (CANOAK) <- 3D radiative transfer (FLiES)
- Hourly time step

## Turbulent transfer

1D turbulence scheme  
Ta, water vapor, CO2  
(Lagrangian approach)

## Radiative Transfer

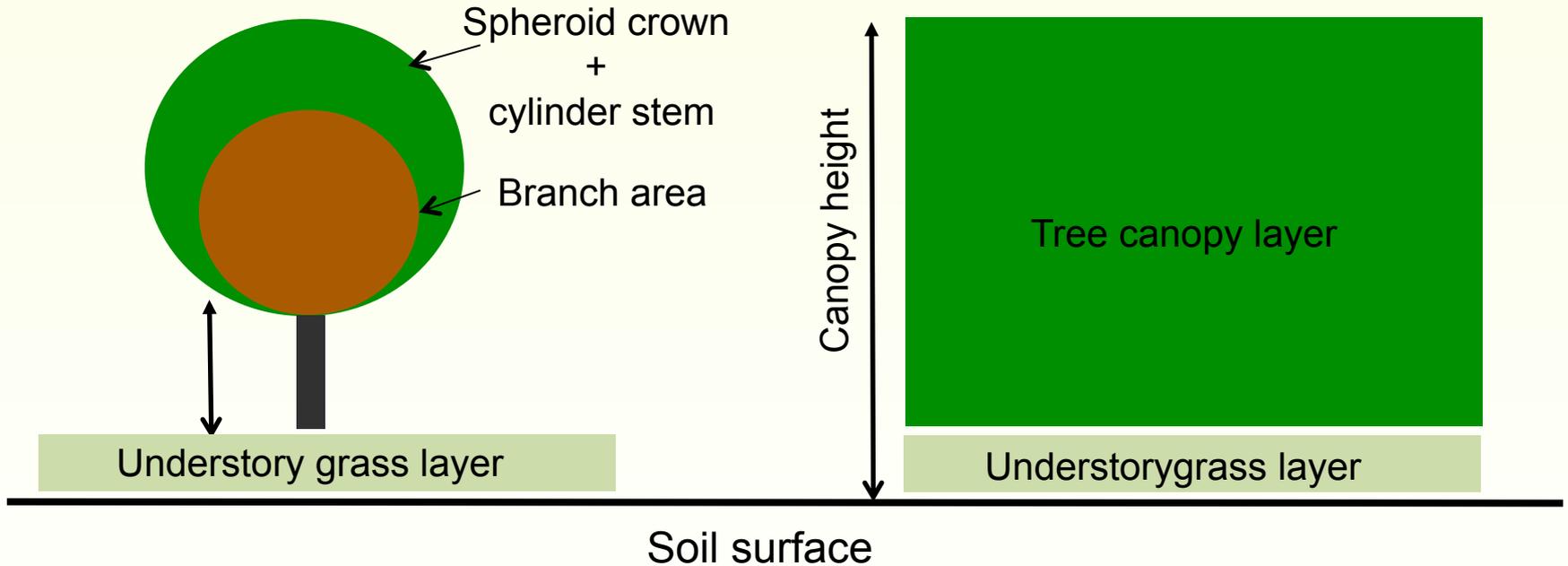
Monte Carlo Ray Tracing  
visible (0.4-0.7 μm),  
near infrared (0.7-4.0 μm)  
thermal infrared (8-14.0 μm)  
Or 10 nm spectral interval



# 1D and 3D schemes

## 3D scheme

## 1D scheme



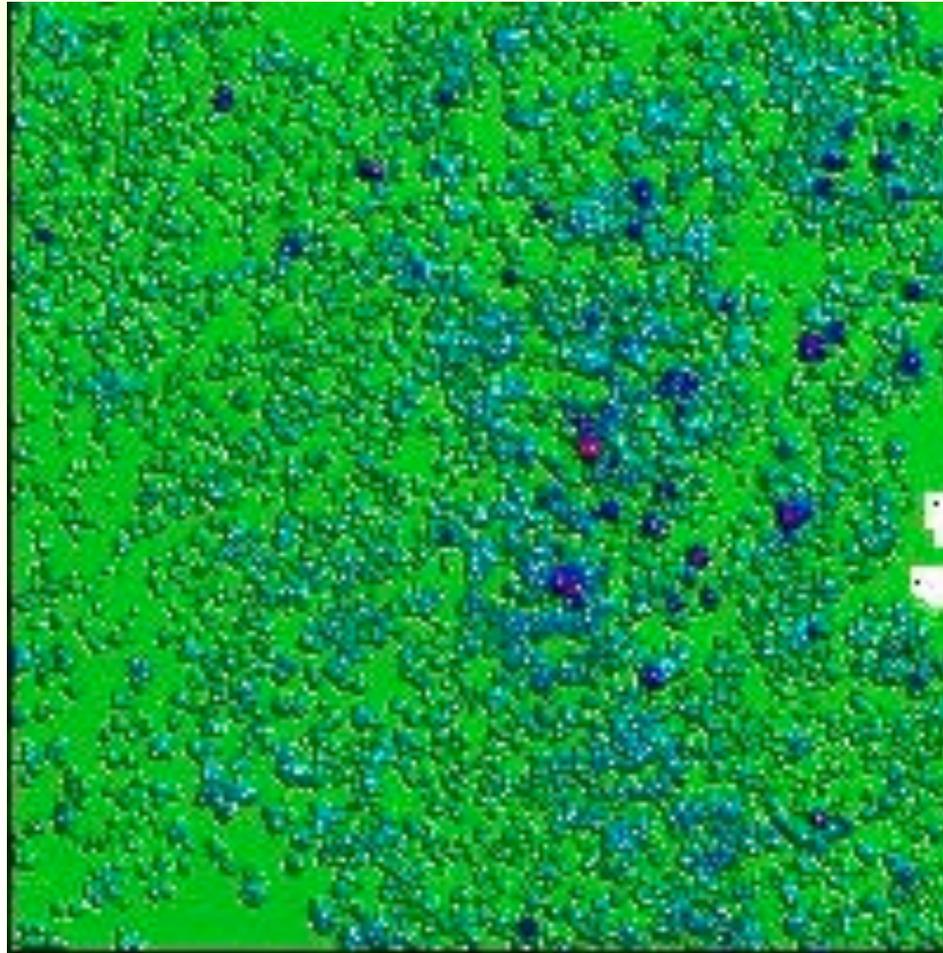
# Woody elements (branches and stems)

- The woody elements are explicitly considered in the 3D models.

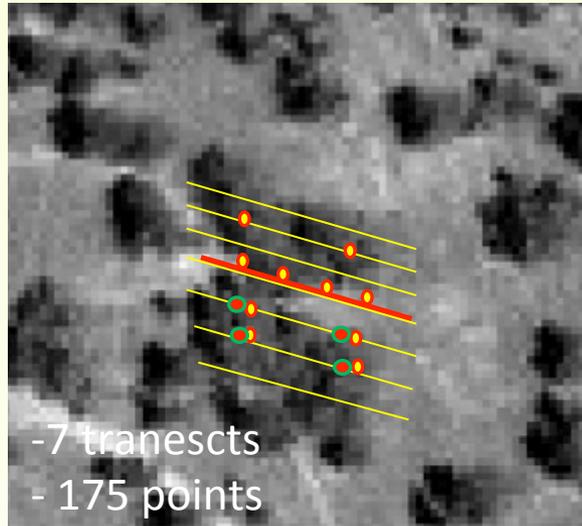


# Crown extraction by LiDAR

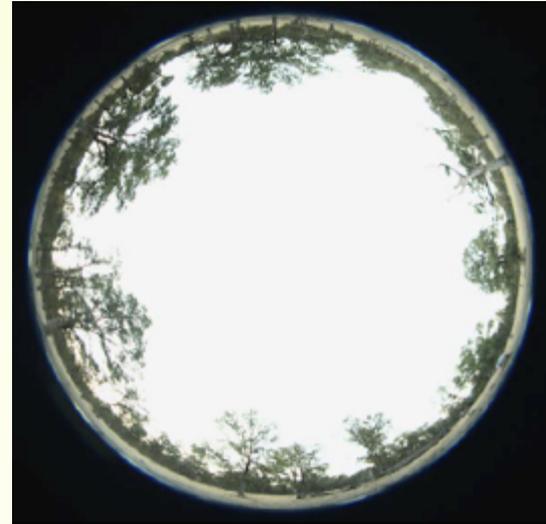
Crown extraction by automated extraction approach (Chen et al., 2007) (600x600m)



# Estimation of leaf area density



The common way is to use hemispherical photo, but...



Ryu et al., 2010

Vertical photos are much better for LAI estimation



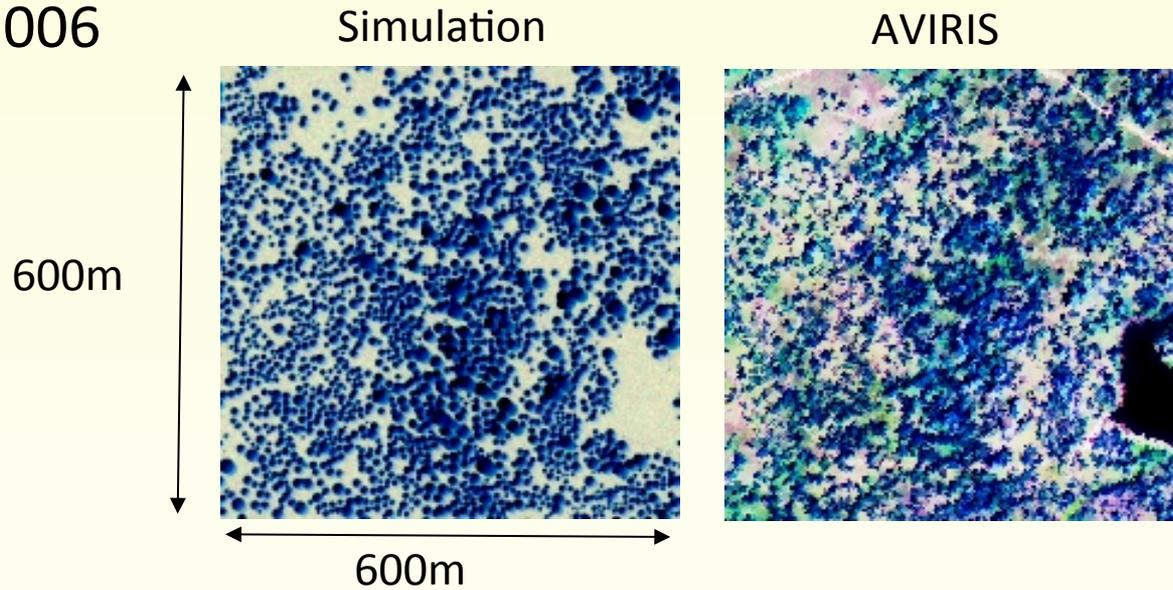
# Spectral reflectances were measured by a field spectrometer

|                |               | PAR              | NIR              | TIR              | 450nm            | 550nm             | 650nm            | 780nm            | 900nm            |                  |
|----------------|---------------|------------------|------------------|------------------|------------------|-------------------|------------------|------------------|------------------|------------------|
| Blue leaf      | Oak           | $\rho$           | 0.085<br>(0.005) | 0.282<br>(0.013) | 0.02             | 0.077<br>(0.020)  | 0.123<br>(0.009) | 0.075<br>(0.007) | 0.513<br>(0.027) | 0.510<br>(0.056) |
|                |               | $\tau$           | 0.028<br>(0.004) | 0.251<br>(0.007) | 0.00             | 0.008<br>(0.017)  | 0.072<br>(0.009) | 0.022<br>(0.006) | 0.441<br>(0.016) | 0.459<br>(0.048) |
|                | $\varepsilon$ | -                | -                | 0.98             | -                | -                 | -                | -                | -                |                  |
|                | Grass         | $\rho$           | 0.090<br>(0.001) | 0.306<br>(0.007) | 0.02             | 0.067<br>(0.014)  | 0.157<br>(0.021) | 0.072<br>(0.007) | 0.534<br>(0.014) | 0.499<br>(0.041) |
| $\tau$         |               | 0.065<br>(0.012) | 0.270<br>(0.019) | 0.00             | 0.018<br>(0.016) | 0.157<br>(0.022)  | 0.046<br>(0.012) | 0.455<br>(0.023) | 0.455<br>(0.043) |                  |
| $\varepsilon$  |               | -                | -                | 0.98             | -                | -                 | -                | -                | -                |                  |
| Woody elements | $\rho$        | 0.171<br>(0.040) | 0.343<br>(0.05)  | 0.02             | 0.127<br>(0.016) | 0.170<br>(0.0087) | 0.218<br>(0.013) | 0.299<br>(0.021) | 0.377<br>(0.026) |                  |
|                | $\varepsilon$ | -                | -                | 0.98             | -                | -                 | -                | -                | -                |                  |
| Ground*        | $\rho$        | 0.105<br>(0.044) | 0.253<br>(0.037) | 0.98             | 0.055<br>(0.012) | 0.102<br>(0.022)  | 0.156<br>(0.030) | 0.225<br>(0.039) | 0.276<br>(0.046) |                  |
|                | $\varepsilon$ | -                | -                | 0.98             | -                | -                 | -                | -                | -                |                  |
| Bare soil      | $\rho$        | -                | -                | -                | 0.033            | 0.065             | 0.108            | 0.170            | 0.220            |                  |

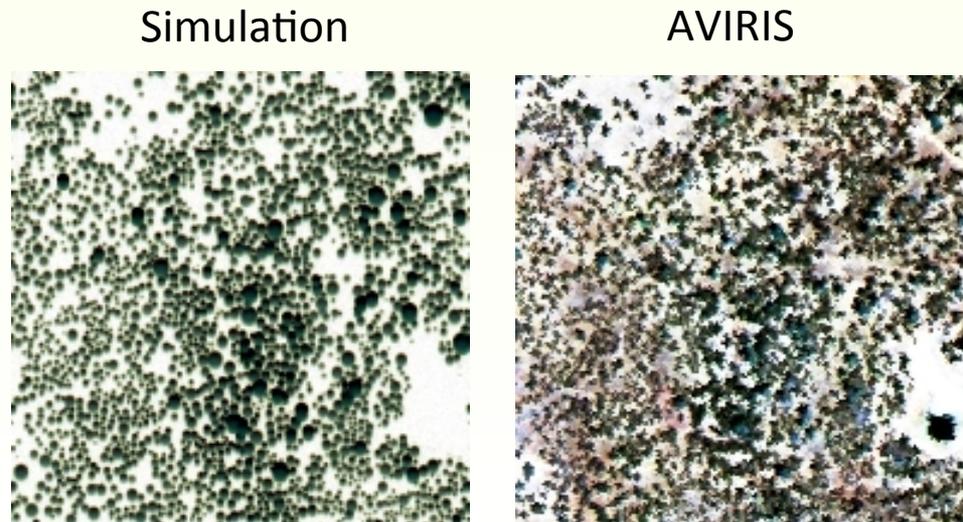
# Results

# Canopy reflectance

May 12, 2006

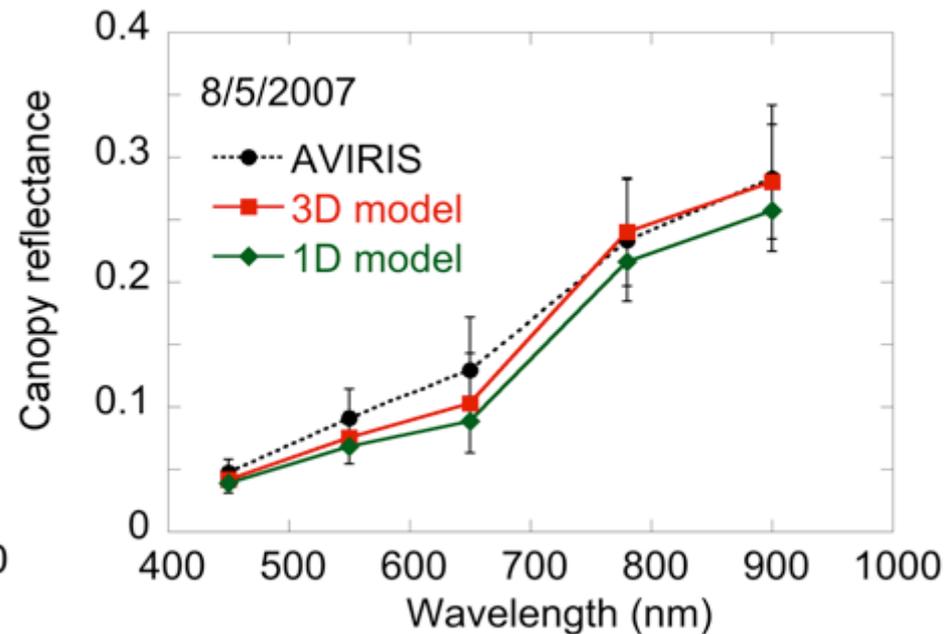
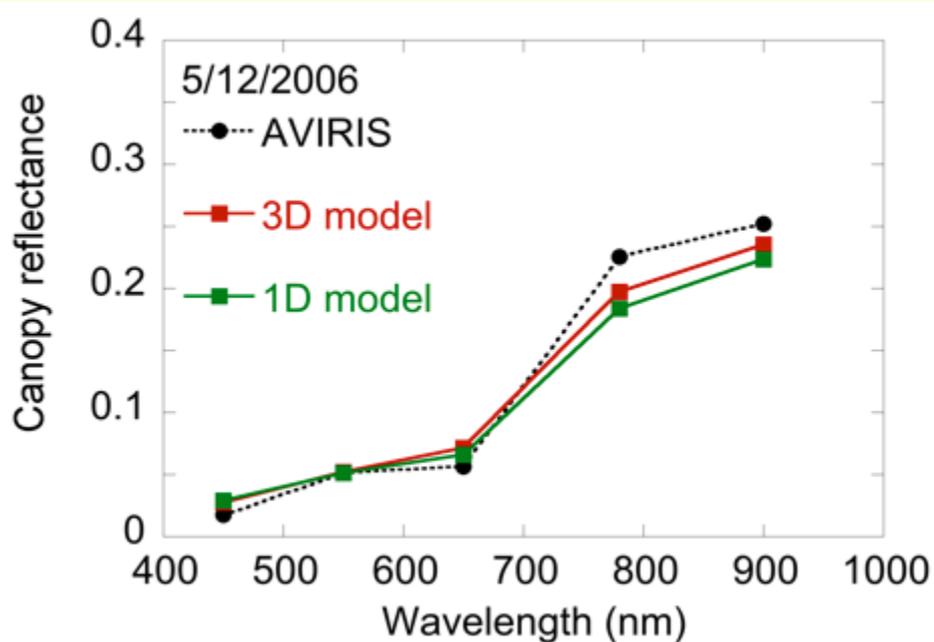


Aug 5, 2007



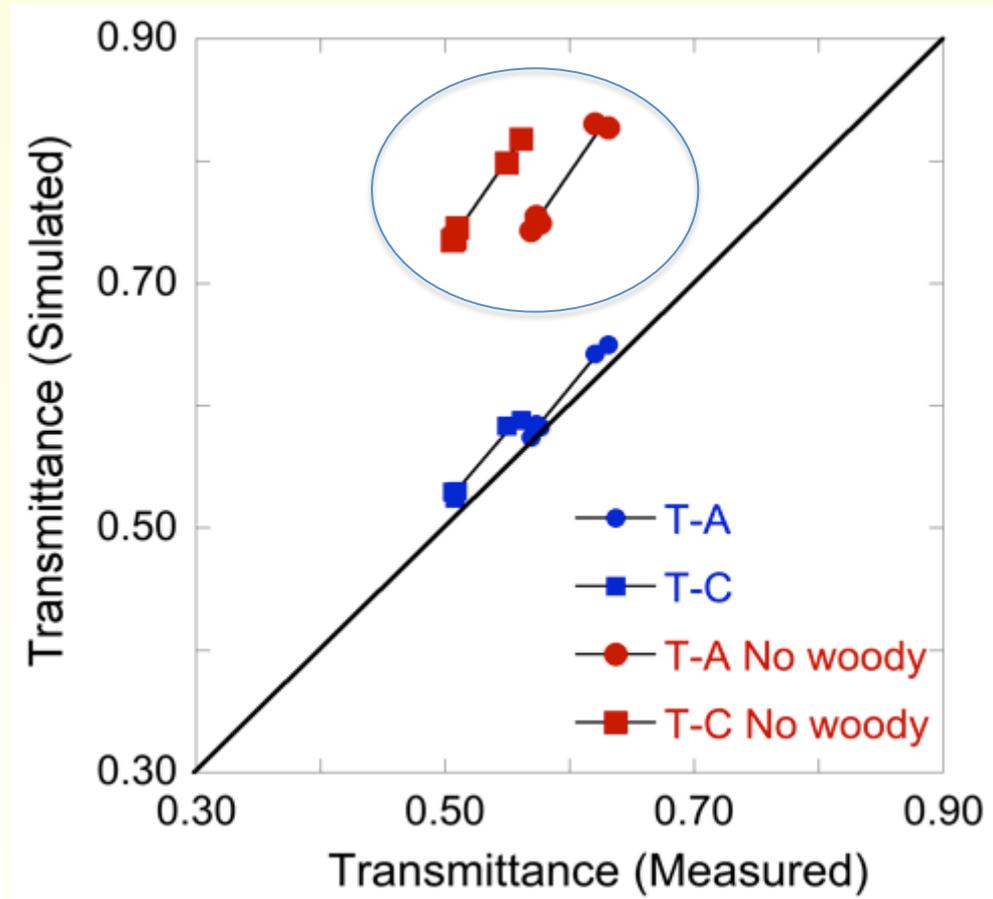
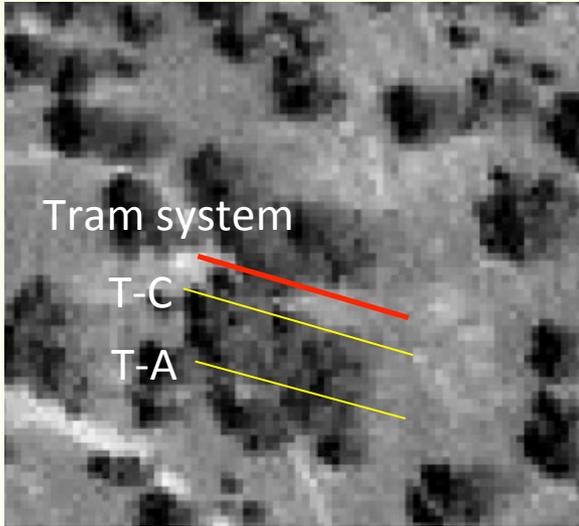
# Canopy spectral reflectance

- Comparison with AVIRIS data



- The simulated results captured spectral patterns in reflectance
- The difference between 1D and 3D is very small

# Spectral transmittance

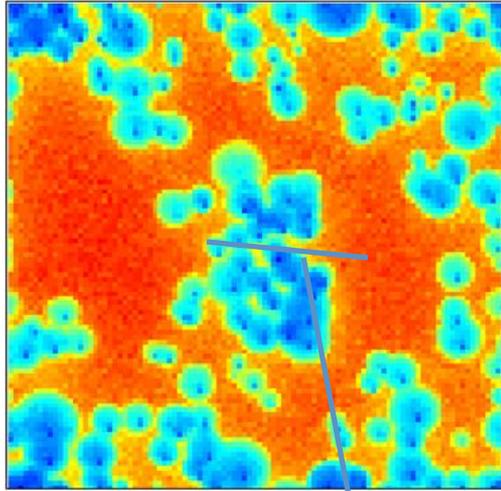


- Spectral transmittance for five spectral domain (450, 550, 640, 780, 900 nm)
- 1D cases lower transmittance and higher slope than 1
- Without woody elements yield higher transmission

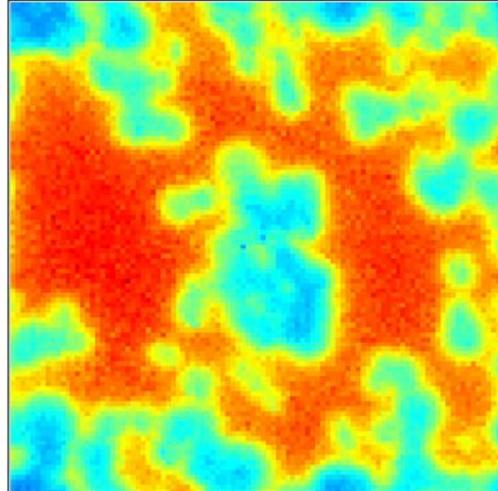
# Transmittance and Rn at understory level

DOY 194, 2008, 12:00p.m.,

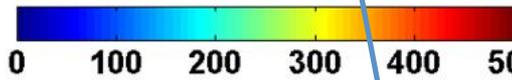
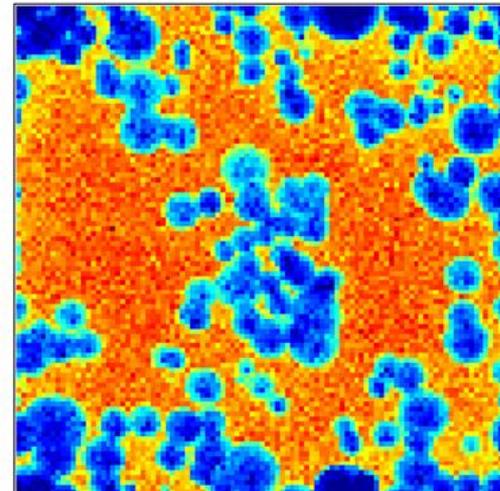
(a) Downward PAR



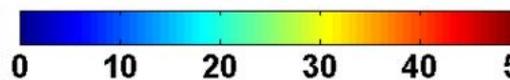
(b) Upward PAR



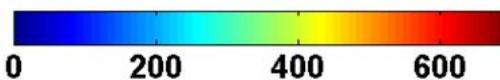
(c) Net radiation



( $\text{W m}^{-2}$ )

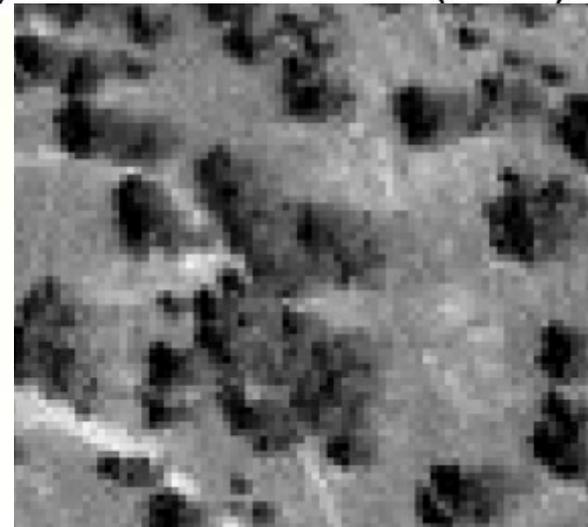


( $\text{W m}^{-2}$ )



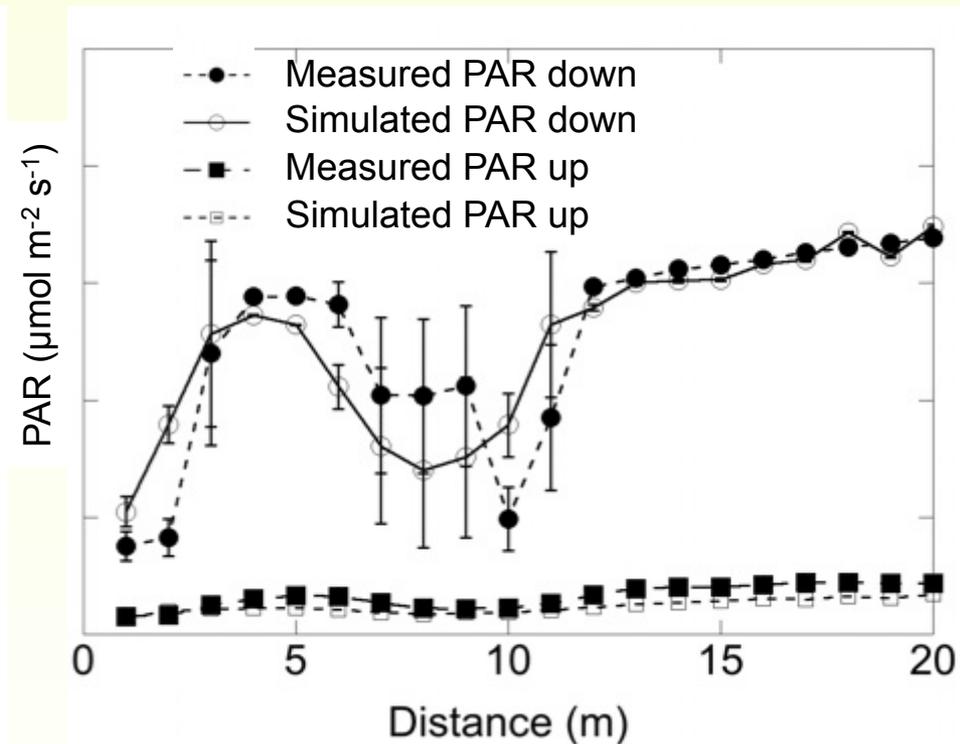
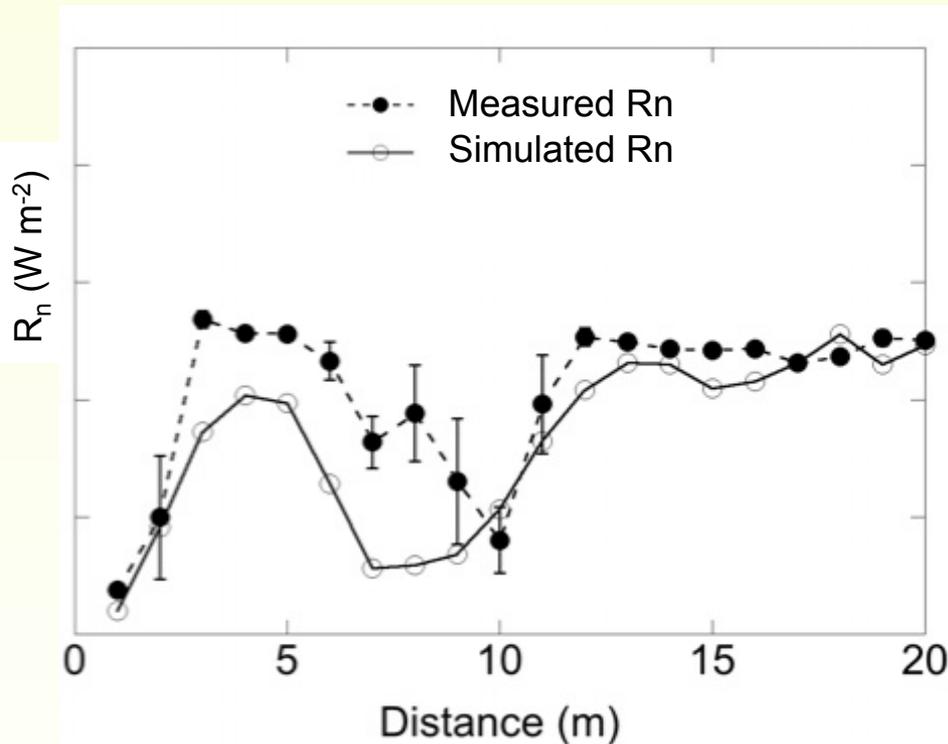
( $\text{W m}^{-2}$ )

traversing radiometer system



# Light environment at understory level

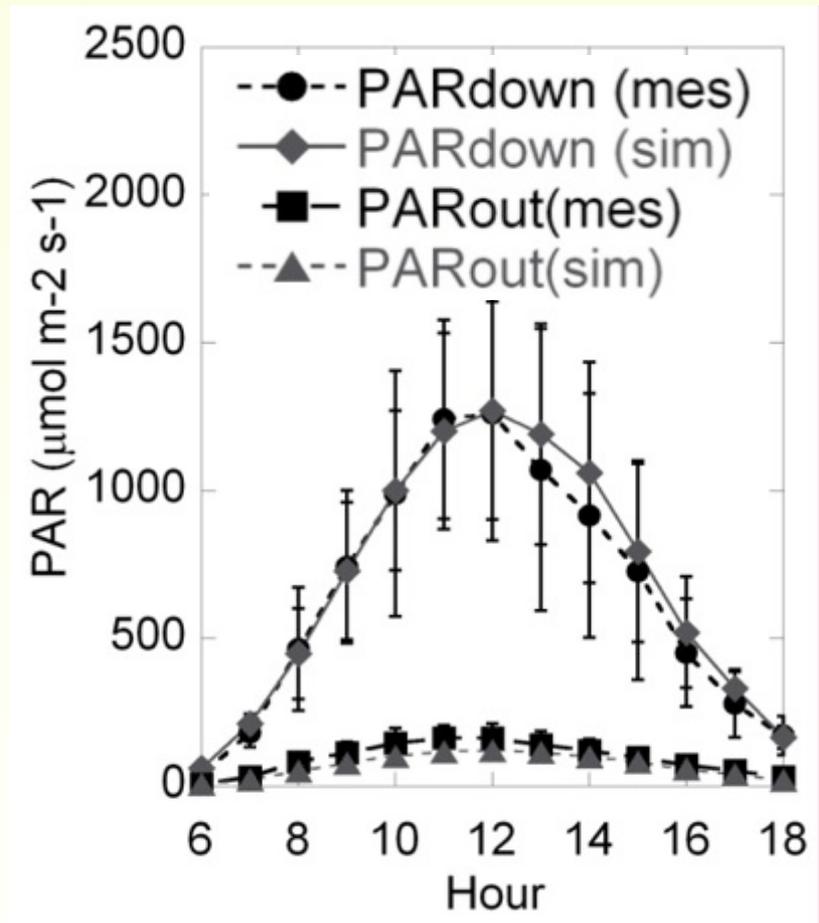
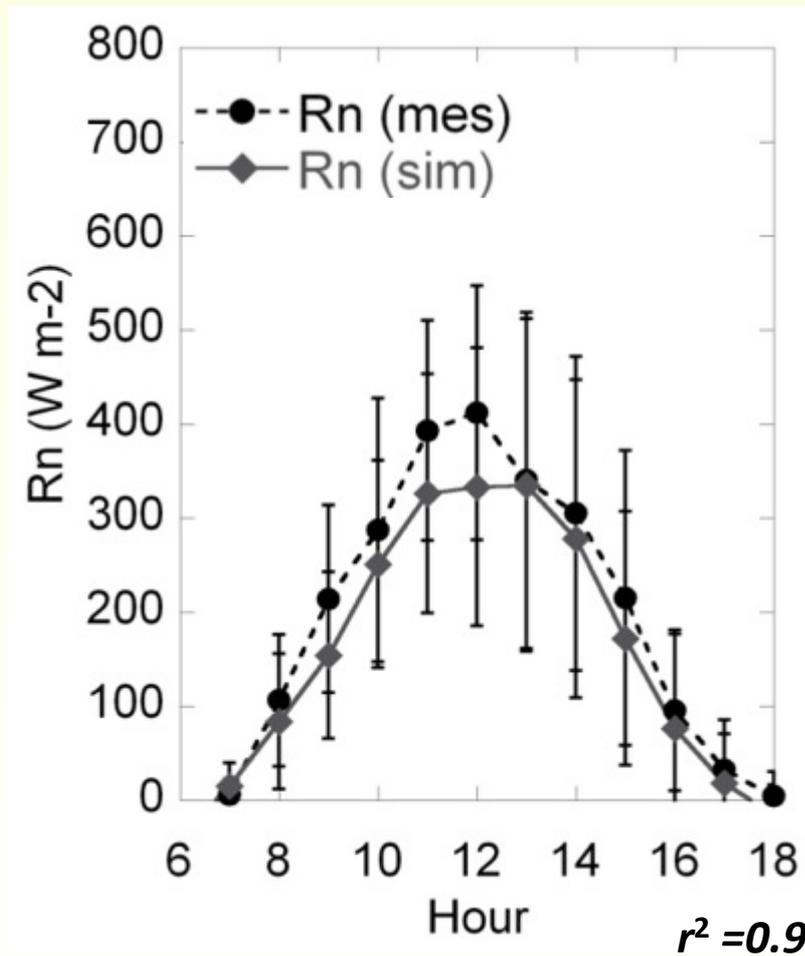
DOY 194 12:00



Although there are some mismatches in incident PAR and  $R_n$  along the rail track due to the mismatch of LiDAR derived crown position and size, 3D model captures general patterns.

# Diurnal patterns (rail track averaged)

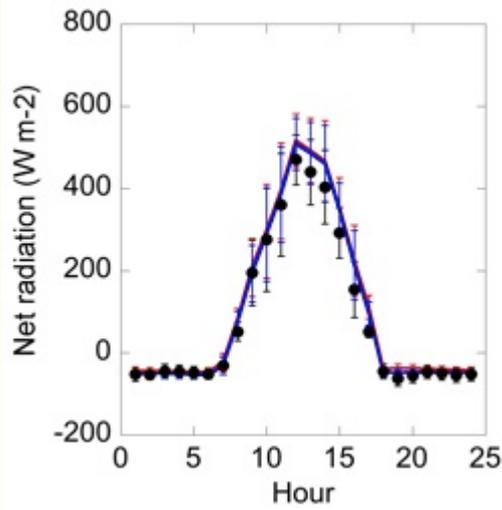
DOY 194



**Comparison with  
eddy covariance measurements  
(1D and 3D schemes)**

# Diurnal patterns of net radiation

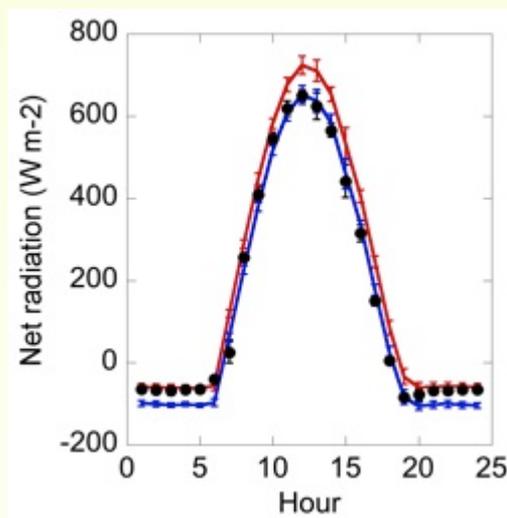
March (DOY 68-74)



No tree leaves  
Green understory



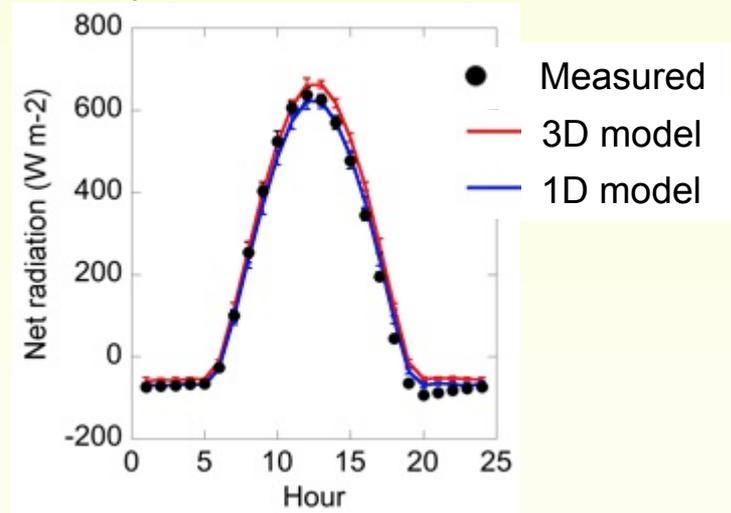
May (DOY 115-121)



Green tree leaves  
Green understory



July (DOY 204-210)



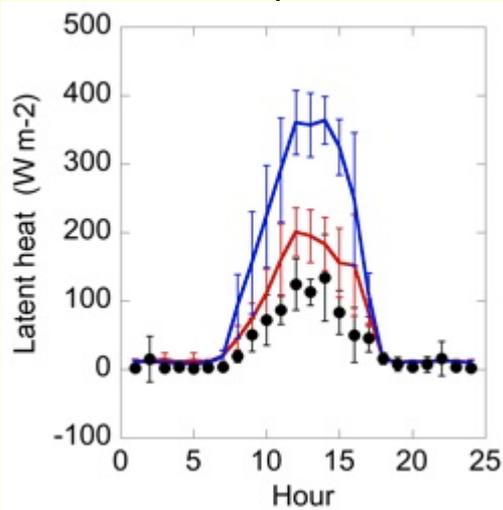
Green tree leaves  
Understory: dead leaves



Both 1D and 3D look good over the three difference phenology stages  
Net radiation of the 3D scheme has a little positive bias for May

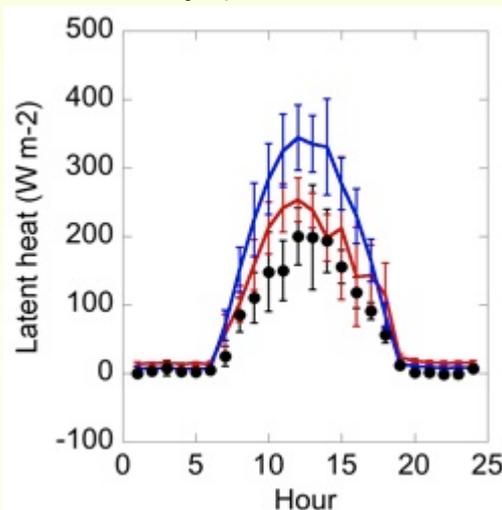
# Diurnal patterns of Evapotranspiration

March (DOY 68-74)



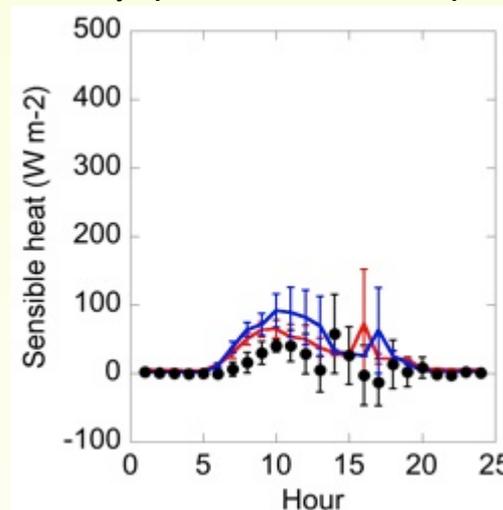
No tree leaves  
Green understory

May (DOY 115-121)



Green tree leaves  
Green understory

July (DOY 204-210)



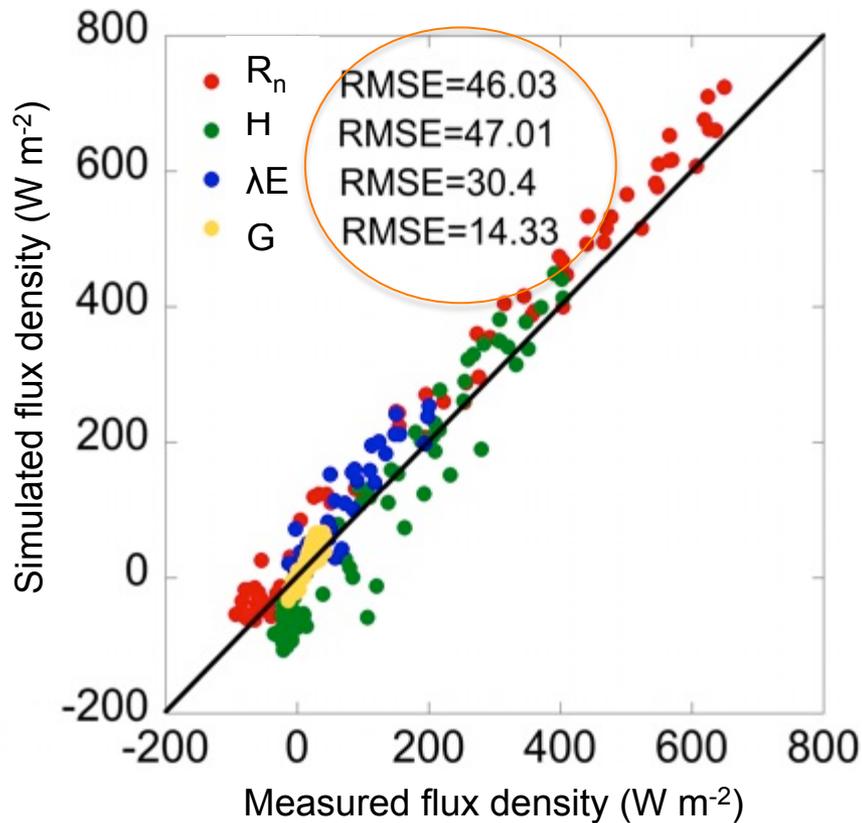
Green tree leaves  
Understory: dead leaves



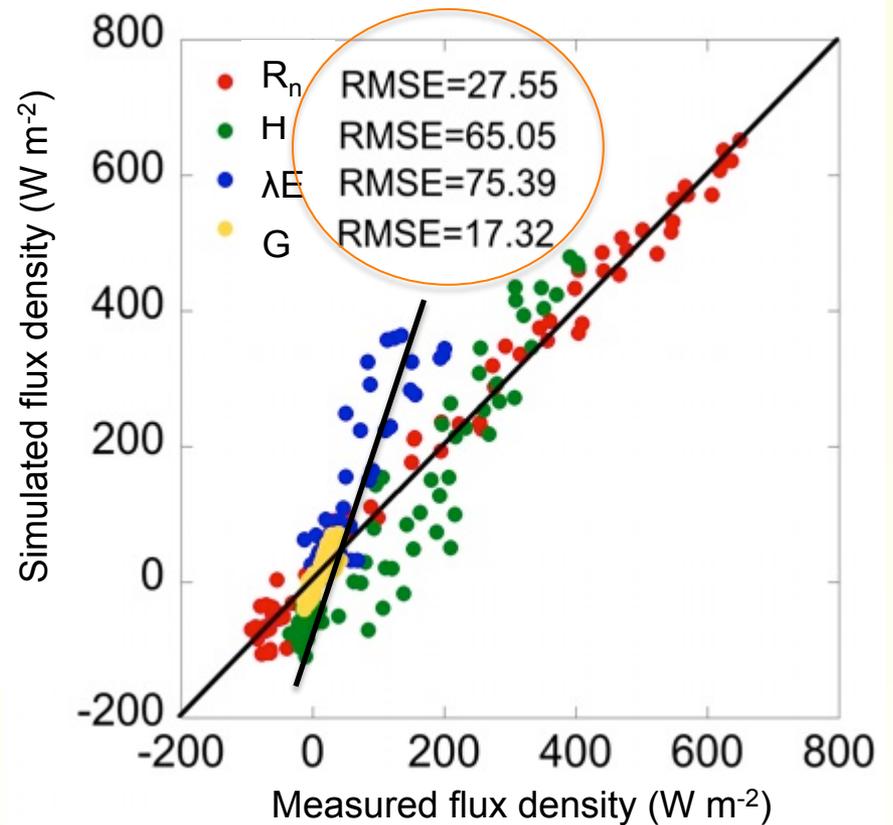
ETs simulated by the 3D schemes perform better than that of 1D

# Comparison with eddy covariance measurements

3D scheme



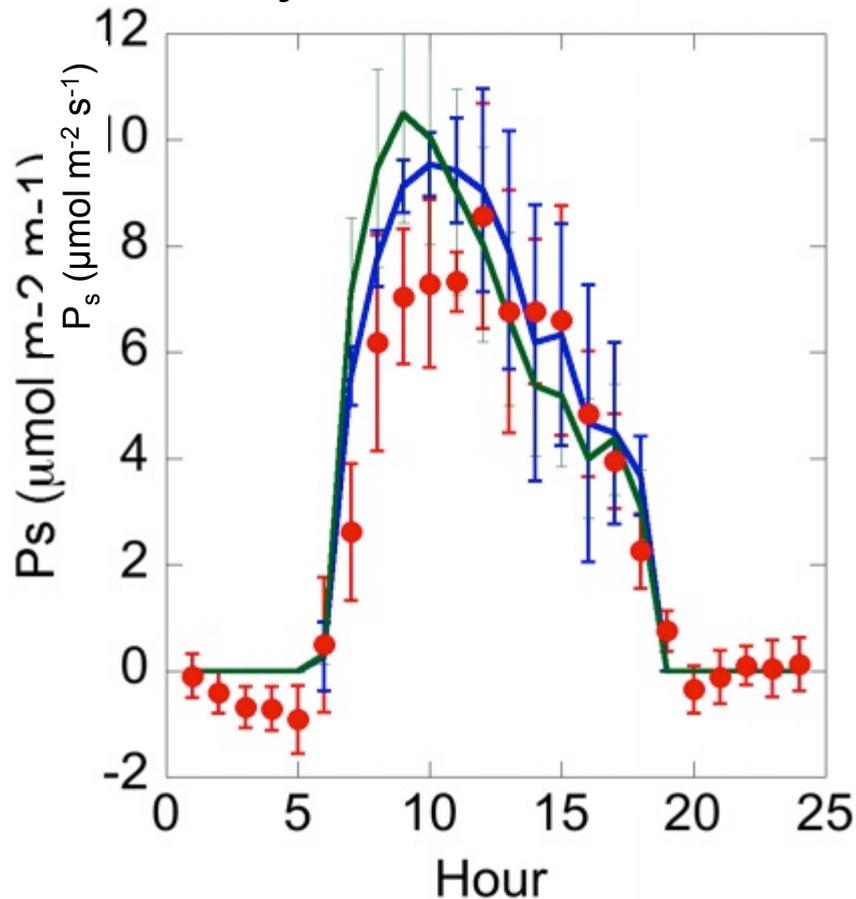
1D scheme



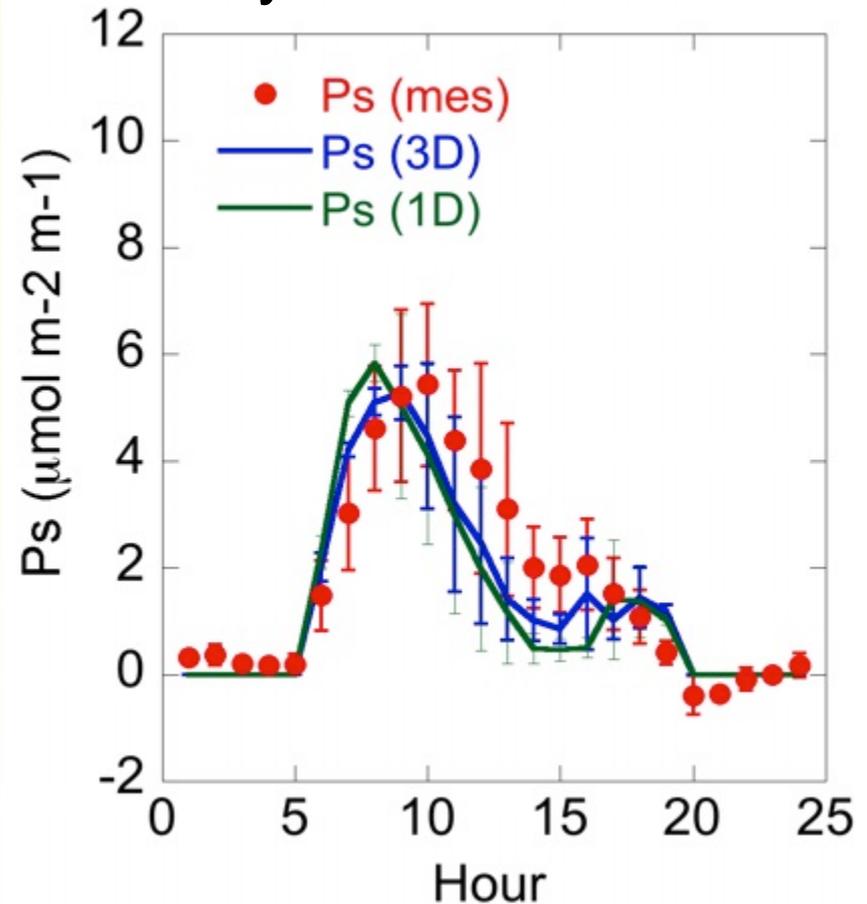
Flux densities in the 3D schemes perform better than that of 1D (except for  $R_n$ )

# Tree photosynthesis

May DOY 115-121

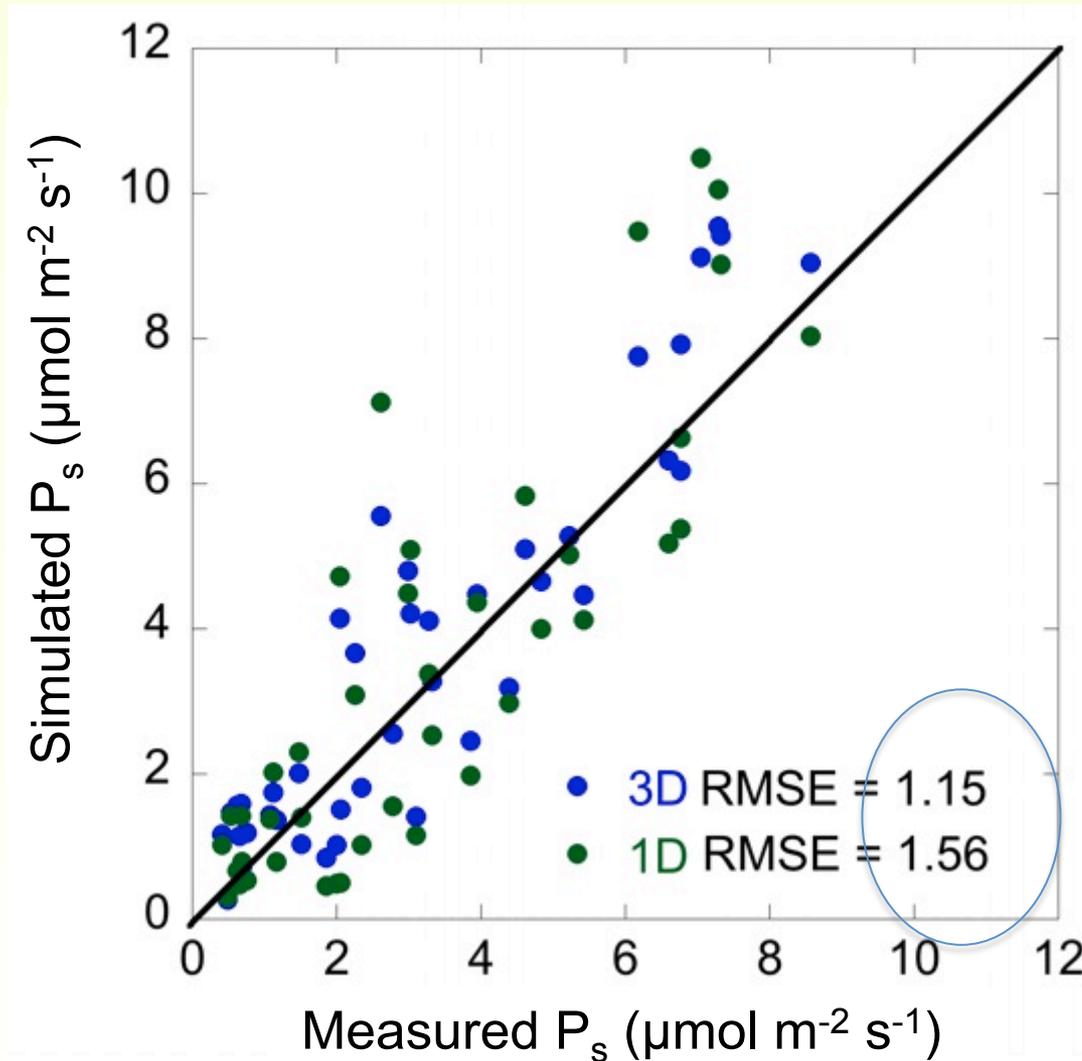


July DOY 204-210



- Ps in 1D case tends to be higher in the morning.
- Afternoon, there are small differences in Ps
- Importance of the light environment depends on the water availability

# Comparison with eddy covariance measurements



# Summary

- The 3D model mostly captured the spatial and temporal patterns of radiation environments as well as energy and carbon fluxes
- The 3D scheme generally performed better than the 1D scheme.
  - The 3D approach is more important in wet mild (light-limited) periods than dry (water-limited) periods. The significant ET and  $P_s$  differences were found in wet mild weather periods because of high radiation sensitivity to ET and  $P_s$ .
- The 3D model has the potential to use as a tool for analyzing the spatial and temporal variability of radiation and energy fluxes

# Thank you for your attention

## Acknowledgement

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- The Office of Science (BER) of the U.S. Department of Energy (DE-FG02-03ER63638 and DE-SC0005130).